

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 04, 2002 8:00 am
Secretary of State

04-04-2002 90016 001 ***150.00

DOCUMENT # 838957

1. Entity Name

CIBC WORLD MARKETS CORP.

Principal Place of Business

**200 LIBERTY STREET
 NEW YORK NY 10281**

Mailing Address

**200 LIBERTY STREET
 NEW YORK NY 10281**

2. Principal Place of Business

245 Park Avenue

3. Mailing Address

245 Park Avenue

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

New York, N.Y.

City & State

New York, N.Y.

Zip

10167

Country

Zip

10167

Country

4. FEI Number

13-2798343

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional Fee Required

DO NOT WRITE IN THIS SPACE



6. Name and Address of Current Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC.
 1201 HAYS STREET
 TALLAHASSEE FL 32301**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

TITLE **D** ☐ Delete
 NAME **PERMUT, KYLE**
 STREET ADDRESS **200 LIBERTY STREET**
 CITY-ST-ZIP **NEW YORK NY 10281**

TITLE **D** ☐ Delete
 NAME **PHOENIX, WILLIAM**
 STREET ADDRESS **425 LEXINGTON AVE**
 CITY-ST-ZIP **NEW YORK NY 10017**

TITLE **VCM** ☒ Delete
 NAME **GALLAGHER, THOMAS M**
 STREET ADDRESS **200 LIBERTY STREET**
 CITY-ST-ZIP **NEW YORK NY 10281**

TITLE **PD** ☐ Delete
 NAME **ROGERS, PAUL**
 STREET ADDRESS **425 LEXINGTON AVE**
 CITY-ST-ZIP **NEW YORK NY 10017**

TITLE **CFOD** ☒ Delete
 NAME **RENIHAN, BRUCE**
 STREET ADDRESS **200 LIBERTY STREET**
 CITY-ST-ZIP **NEW YORK NY 10281**

TITLE **S** ☐ Delete
 NAME **BOURDON, PATRICIA**
 STREET ADDRESS **200 LIBERTY ST**
 CITY-ST-ZIP **NEW YORK NY 10281**

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP **See attached list for current Directors**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP **See attached list for current officers**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

March 15, 2002
 Date Daytime Phone #

Attachment #838957

CIBC WORLD MARKETS CORP.

Directors:

Jay Bloom - 425 Lexington Avenue
New York, NY 10017

Seth Novatt - 622 Third Avenue
New York, NY 10017

Michael G. Capatides - 245 Park Avenue
New York, NY 10167

William Phoenix - 425 Lexington Avenue
New York, NY 10017

Peter J. Crowley - 425 Lexington Avenue
New York, NY 10017

Kyle Permut - 425 Lexington Avenue
New York, NY 10017

Michael Neilson - 425 Lexington Avenue
New York, NY 10017

Bruce Renihan - 245 Park Avenue
New York, NY 10167

Richard Hassard - 425 Lexington Avenue
New York, NY 10017

Paul Rogers - 425 Lexington Avenue
New York, NY 10017

Marshall Heinberg - 425 Lexington Avenue
New York, NY 10281

Lorne Robbins - 425 Lexington Avenue
New York, NY 10017

Andrew Heyer - 425 Lexington Avenue
New York, NY 10017

David J. Kassie - BCE Place, 161 Bay Street
Toronto, Ontario M5J2S8

Dean Kehler - 425 Lexington Avenue
New York, NY 10017

Michael Neilson - 425 Lexington Avenue
New York, NY 10017

Officers:

Michael Weiss - Chief Operations Officer and Managing Director - 417.5th Avenue, New York, NY 10016

Paul Rogers - President - 425 Lexington Avenue, New York, NY 10017

Jay Bloom - Vice Chairman - 425 Lexington Avenue, New York, NY 10017

Peter J. Crowley - Managing Director - 425 Lexington Avenue, New York, NY 10017

Richard Hassard - Managing Director - 425 Lexington Avenue, New York, NY 10017

Andrew Heyer - Vice Chairman - 425 Lexington Avenue, New York, NY 10017

Marshall Heinberg - Managing Director - 425 Lexington Avenue, New York, NY 10017

Dean Kehler - Vice Chairman - 425 Lexington Avenue, New York, NY 10017

Michael Neilson - Managing Director - 425 Lexington Avenue, New York, NY 10017

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Seth Novatt – Managing Director – 622 Third Avenue, New York, NY 10017

Kyle Permut – Managing Director - 425 Lexington Avenue, New York, NY 10017

William Phoenix – Managing Director - 425 Lexington Avenue, New York, NY 10017

Joyce Burns – Executive Director – Tax – 245 Park Avenue, New York, NY 10167

Patricia Bourdon – Secretary – 1 Federal Street, Boston, Massachusetts 02110

Elliot Ganz – Assistant Secretary – 245 Park Avenue, New York, NY 10167

Jeffrey Thibeault – Chief Compliance Officer – 245 Park Avenue, New York, NY 10167

Bruce Renihan – Managing Director– 245 Park Avenue, New York, NY 10167

Paul Rubacky - Chief Financial Officer- 245 Park Avenue, New York, NY 10167