

2001 UNIFORM BUSINESS REPORT (UBR)DOCUMENT # **838957**

1. Entity Name

CIBC WORLD MARKETS CORP.**FILED**
Aug 08, 2001 8:00 am
Secretary of State

08-08-2001 90003 031 ***550.00

0132150 AT

Principal Place of Business

**200 LIBERTY STREET
NEW YORK NY 10281**

Mailing Address

**200 LIBERTY STREET
NEW YORK NY 10281**

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

13-2798343

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐**\$8.75** Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC.
1201 HAYS STREET
TALLAHASSEE FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so. ☐
(See criteria on back)**FILE NOW!!! FEE IS \$550.00
After September 12, 2001 Fee will be \$750.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00** May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **D** ☐ Delete
NAME **PERMUT, KYLE**
STREET ADDRESS **200 LIBERTY STREET**
CITY-ST-ZIP **NEW YORK NY 10281**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE **D** ☐ Delete
NAME **PHOENIX, WILLIAM**
STREET ADDRESS **425 LEXINGTON AVE**
CITY-ST-ZIP **NEW YORK NY 10017**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE **MD** ☐ Delete
NAME **GALLAGHER, THOMAS M**
STREET ADDRESS **200 LIBERTY STREET**
CITY-ST-ZIP **NEW YORK NY 10281**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE **D** ☐ Delete
NAME **ROGERS, PAUL**
STREET ADDRESS **425 LEXINGTON AVE**
CITY-ST-ZIP **NEW YORK NY 10017**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE **D** ☐ Delete
NAME **RENIHAN, BRUCE**
STREET ADDRESS **200 LIBERTY STREET**
CITY-ST-ZIP **NEW YORK NY 10281**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE **S** ☐ Delete
NAME **BOURDON, PATRICIA**
STREET ADDRESS **200 LIBERTY ST**
CITY-ST-ZIP **NEW YORK NY 10281**TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (5/01)

Attachment # 838957
A0080370

CIBC WORLD MARKETS CORP.

Directors:

Jay Bloom - 425 Lexington Avenue
New York, NY 10017

Seth Novatt - 200 Liberty Street
New York, NY 10281

Michael G. Capatides - 200 Liberty Street
New York, NY 10281

William Phoenix - 425 Lexington Avenue
New York, NY 10017

Peter J. Crowley - 425 Lexington Avenue
New York, NY 10017

Kyle Permut - 200 Liberty Street
New York, NY 10281

Thomas Gallagher - 200 Liberty Street
New York, NY 10281

Bruce Renihan - 200 Liberty Street
New York, NY 10281

Richard Hassard - 425 Lexington Avenue
New York, NY 10017

Paul Rogers - 425 Lexington Avenue
New York, NY 10017

Marshall Heinberg - 200 Liberty Street
New York, NY 10281

Lorne Robbins - 425 Lexington Avenue
New York, NY 10017

Andrew Heyer - 425 Lexington Avenue
New York, NY 10017

David J. Kassie - BCE Place, 161 Bay Street
Toronto, Ontario M5J2S8

Dean Kehler - 425 Lexington Avenue
New York, NY 10017

Michael Neilson - 425 Lexington Avenue
New York, NY 10017

Officers:

Michael Weiss - Chief Operations Officer and Managing Director - 200 Liberty Street, New York, NY 10281

Paul Rogers - President - 425 Lexington Avenue, New York, NY 10017

Jay Bloom - Managing Director - 425 Lexington Avenue, New York, NY 10017

Peter J. Crowley - Managing Director - 425 Lexington Avenue, New York, NY 10017

Richard Hassard - Managing Director - 425 Lexington Avenue, New York, NY 10017

Andrew Heyer - Managing Director - 425 Lexington Avenue, New York, NY 10017

Marshall Heinberg - Managing Director - 425 Lexington Avenue, New York, NY 10017

Dean Kehler - Managing Director - 425 Lexington Avenue, New York, NY 10017

Michael Neilson - Managing Director - 425 Lexington Avenue, New York, NY 10017

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Seth Novatt – Managing Director – 200 Liberty Street, New York, NY 10281

Kyle Permut – Managing Director - 200 Liberty Street, New York, NY 10281

William Phoenix – Managing Director - 425 Lexington Avenue, New York, NY 10017

Joyce Burns – Executive Director – Tax – 200 Liberty Street, New York, NY 10281

Thomas Gallagher – Vice Chairman and Managing Director - 200 Liberty Street, New York, NY 10281

Patricia Bourdon – Secretary – 200 Liberty Street, New York, NY 10281

Elliot Ganz – Assistant Secretary – 200 Liberty Street, New York, NY 10281

Jeffrey Thibeault – Chief Compliance Officer – 200 Liberty Street, New York, NY 10281

Bruce Renihan – Chief Financial Officer - 200 Liberty Street, New York, NY 10281