

2/11/2020

Division of Corporations  
**888910**  
 Florida Department of State  
 Division of Corporations  
 Electronic Filing Cover Sheet

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To:  
 Division of Corporations  
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 Account Name : C T CORPORATION SYSTEM  
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**COR AMND/RESTATE/CORRECT OR O/D RESIGN  
 PIPER JAFFRAY & CO.**

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$35.00

PLEASE HONOR THE ORIGINAL EFFECTIVE DATE OF 2/11/2020

2020 FEB 24 11:10:56

STATE OF FLORIDA  
 DEPARTMENT OF STATE

20 FEB 11 AM 9:54

FILED

Electronic Filing Menu

Corporate Filing Menu

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FEB 25 2020

850-617-6381

2/21/2020 10:16:13 AM PAGE 1/001

Fax Server



February 21, 2020

FLORIDA DEPARTMENT OF STATE  
Division of Corporations

CT

SUBJECT: PIPER JAFFRAY & CO.  
REF: 838910

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

"Piper Sandler & Co. Corp." needs to be placed on line #5. The second line to #5 needs to be left blank.

If you have any further questions concerning your document, please call (850) 245-6050.

Terri J Schroeder  
Regulatory Specialist III  
Amendment Section

FAX Aud. #: H20000047494  
Letter Number: 520A00003862

**PROFIT CORPORATION**  
**APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO**  
**APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**  
(Pursuant to s. 607.1504, F.S.)

**SECTION I**  
**(1-3 MUST BE COMPLETED)**

838910  
(Document number of corporation (if known))

1. Piper Jaffray & Co.  
(Name of corporation as it appears on the records of the Department of State)

2. Delaware (Incorporated under laws of) 3. 08/09/1977 (Date authorized to do business in Florida)

**SECTION II**  
**(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 01/03/2020

5. Piper Sandler & Co. Corp.  
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.  
\_\_\_\_\_  
(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.  
\_\_\_\_\_  
(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

*James Grant*

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

James Grant  
(Typed or printed name of person signing)

Assistant Secretary  
(Title of person signing)

**FILED**  
**20 FEB 11 AM 9:30**  
 Tallahassee, Florida  
 State Department of Banking & Finance

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE CERTIFICATE OF MERGER WHICH MERGES:

"SANDLER O'NEILL & PARTNERS LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "PIPER JAFFRAY & CO." UNDER THE NAME OF "PIPER SANDLER & CO.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, WAS RECEIVED AND FILED IN THIS OFFICE THE THIRD DAY OF JANUARY, A.D. 2020, AT 2:02 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CORPORATION SHALL BE GOVERNED BY THE LAWS OF THE STATE OF DELAWARE.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRD DAY OF JANUARY, A.D. 2020 AT 11:55 O'CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

722312 8330  
SR# 20200602718

Authentication: 202272974  
Date: 01-28-20

# Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PIPER SANDLER & CO." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTH DAY OF FEBRUARY, A. D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



*Jeffrey W. Bullock*  
Jeffrey W. Bullock, Secretary of State

722312 8300

SR# 20200833030

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202326926

Date: 02-05-20