

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 838910

FILED
May 17, 2011
Secretary of State

Entity Name: PIPER JAFFRAY & CO.

Current Principal Place of Business:

800 NICOLLET MALL
SUITE 800
MINNEAPOLIS, MN 55402

New Principal Place of Business:

Current Mailing Address:

800 NICOLLET MALL - ATTN: MELISSA RICHASON
MAIL STOP J09N02
MINNEAPOLIS, MN 55402

New Mailing Address:

800 NICOLLET MALL - ATTN: MELISSA RICHASON
MAIL STOP J12LNE
MINNEAPOLIS, MN 55402

FEI Number: 41-0953246

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

OFFICERS AND DIRECTORS:

Title: C
Name: DUFF, ANDREW S
Address: 800 NICOLLET MALL
City-St-Zip: MINNEAPOLIS, MN 55402

Title: V
Name: GEELAN, JOHN W
Address: 800 NICOLLET MALL
City-St-Zip: MINNEAPOLIS, MN 55402

Title: S
Name: CHOSY, JAMES L
Address: 800 NICOLLET MALL
City-St-Zip: MINNEAPOLIS, MN 55402

Title: C
Name: SCHNETTLER, THOMAS P
Address: 800 NICOLLET MALL
City-St-Zip: MINNEAPOLIS, MN 55402

Title: T
Name: SCHONEMAN, DEBBRA L
Address: 800 NICOLLET MALL
City-St-Zip: MINNEAPOLIS, MN 55402

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOHN W. GEELAN

V

05/17/2011

_____ Electronic Signature of Signing Officer or Director

_____ Date