

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

**FILED**  
**Mar 05, 1999 8:00 am**  
**Secretary of State**

03-05-1999 90121 034 \*\*\*150.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
**1999**



FLORIDA DEPARTMENT OF STATE  
**Katherine Harris**  
Secretary of State  
DIVISION OF CORPORATIONS

**DOCUMENT # 838666**

1. Corporation Name

COPELAND ASSOCIATES, INC.

Principal Place of Business

Mailing Address

2 TOWER CENTER  
21ST FLOOR TAX DEPT  
E BRUNSWICK NJ 08816  
US

2 TOWER CENTER  
21ST FLOOR TAX DEPT  
E BRUNSWICK NJ 08816  
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

06/28/1977

4. FEI Number

13-2862391

Applied For

Not Applicable

5. Certificate of Status Desired ☐ ☒ **\$8.75** Additional

Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐ **\$5.00** May Be

Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☐ Yes ☒ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	D <input type="checkbox"/> DELETE	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	DUGHI, ROBERT C	1.2 NAME	Please see Attached List
STREET ADDRESS	525 VALLEY RD	1.3 STREET ADDRESS	
CITY-ST-ZIP	WATCHUNG NJ	1.4 CITY-ST-ZIP	
TITLE	SV <input type="checkbox"/> DELETE	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	CODY, WINTHROP B. JR.	2.2 NAME	
STREET ADDRESS	275 GROVE AVENUE	2.3 STREET ADDRESS	
CITY-ST-ZIP	METUCHEN, NJ 0	2.4 CITY-ST-ZIP	
TITLE	C <input type="checkbox"/> DELETE	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	DUGHI, ROBERT C.	3.2 NAME	
STREET ADDRESS	525 VALLEY RD	3.3 STREET ADDRESS	
CITY-ST-ZIP	WATCHUNG NJ	3.4 CITY-ST-ZIP	
TITLE	<del>SV</del> <input checked="" type="checkbox"/> DELETE	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<del>GASEY, DENNIS M.</del>	4.2 NAME	
STREET ADDRESS	<del>2 RUSH COURT</del>	4.3 STREET ADDRESS	
CITY-ST-ZIP	<del>PLAINSBORE NJ</del>	4.4 CITY-ST-ZIP	
TITLE	D <input type="checkbox"/> DELETE	5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	FEINBERG, PAUL S.	5.2 NAME	
STREET ADDRESS	20 OVERBROOK ROAD	5.3 STREET ADDRESS	
CITY-ST-ZIP	RANDOLPH NJ	5.4 CITY-ST-ZIP	
TITLE	D <input type="checkbox"/> DELETE	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	SKINNER, MARK M.	6.2 NAME	
STREET ADDRESS	26 HILLTOP TERRACE	6.3 STREET ADDRESS	
CITY-ST-ZIP	CHATHAM NJ	6.4 CITY-ST-ZIP	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature has the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other information required by Chapter 607, Florida Statutes.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (11/98)

176897-90121-34'

838666

**COPELAND ASSOCIATES, INC.**  
**Two Tower Center**  
**East Brunswick, New Jersey 08816**

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**Directors**

Robert C. Dughi  
525 Valley Road  
Watchung, NJ 07060

William A. Arnold  
7 Evan Drive  
Cranbury, NJ 08512

Paul J. Chong  
16 Hughes Road  
Bridgewater, NJ 08807

Paul S. Feinberg  
20 Overbrook Road  
Randolph, NJ 07869

Mark M. Skinner  
26 Hilltop Terrace  
Chatham, NJ 07928

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538666

**COPELAND ASSOCIATES, INC.**  
**Two Tower Center**  
**East Brunswick, New Jersey 08816**

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**Officers**

K. Shane Arnold  
90 Libby Lane  
North Branch, NJ 08876

Vice President, Information Systems

William A. Arnold  
7 Evan Drive  
Cranbury, NJ 08512

Senior Vice President, Chief Financial  
Officer and Treasurer

John F. Carey  
15 Hebron Road  
Bolton, CT 06043

Vice President, Financial Planning  
for Retirement

Jacqueline Carmon  
7 Mulberry Court  
Montgomery, NJ 08502

Vice President, Administrative  
Systems

Craig S. Cheyne  
8036 Kelok Way  
Clayton, CA 94517

Regional Vice President

Paul J. Chong  
16 Hughes Road  
Bridgewater, NJ 08807

Senior Vice President (President, Plan  
Services)

Winthrop B. Cody, Jr.  
8 Adams Drive  
Cranbury, NJ 08512

Senior Vice President, Information  
Technologies

Paul Coller  
12 Sun Watch Court  
Ramsey, NJ 07446

Senior Vice President, Human  
Resources

David R. Cotton  
32 Dayton Lane  
Manalapan, NJ 07726

Vice President, IT Operations

Guy DeFazio  
11 Katie Way  
Hamilton Square, NJ 08690

Vice President, 401(k) Recordkeeping  
Services

Robert C. Dughi  
525 Valley Road  
Watchung, NJ 07060

Chairman of the Board

1 16897-90721 -34  
838666

**COPELAND ASSOCIATES, INC.**  
**Two Tower Center**  
**East Brunswick, New Jersey 08816**

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Hazel E. Durand  
160 Pelham Island Road  
Wayland, MA 01778

Regional Vice President

Paul H. Eddy  
63 Hamilton Road  
Belmont, MA 02778

Assistant Secretary

James R. Famularo  
4 Vermont Avenue  
Jackson, NJ 08527

Vice President, Financial Operations

Paul S. Feinberg  
20 Overbrook Road  
Randolph, NJ 07869

Senior Vice President, General  
Counsel, and Secretary

Ellen Fong  
35 Woodland Road  
Maplewood, NJ 07040

Vice President, Corporate  
Development

Mark E. Freemyer  
72 Cooper Avenue  
Dumont, NJ 07628

Vice President, Financial Product  
Management

Gary E. Ganakas  
924 Pebblebrook Lane  
East Lansing, MI 48823

Senior Vice President

Harvey J. Gannon  
27 Allen Street  
Basking Ridge, NJ 07920

Vice President, Client  
Communications and Services

William M. Gardner  
166 Druid Drive  
McMurray, PA 15317

Senior Vice President

Sage D. Grumbach  
26 Apple Tree Road  
Flemington, NJ 08822

Vice President, Field Training and  
Development

Peter J. Gulia  
504 South 22nd Street  
Philadelphia, PA 19146

Vice President, Counsel and  
Assistant Secretary

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**COPELAND ASSOCIATES, INC.**  
**Two Tower Center**  
**East Brunswick, New Jersey 08816**

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Stuart Jentis  
5 Parkview Drive  
Millburn, NJ 07041

Assistant Secretary

Robert C. Kniceley  
11 Linden Drive  
Spring Lake Heights, NJ 07762

Senior Vice President, Government  
Markets

Robert D. Liotto  
P.O. Box 7544  
North Brunswick, NJ 08902

Vice President, 401(k) Recordkeeping  
Services

Andrew C. Lovasz  
3 Joann Court  
Sayreville, NJ 08872

Vice President, Audit

Donna Luciano  
10 Switzlik Road  
Hamilton, NJ 08690

Vice President, Corporate Systems

Stephen E. Maschino  
14470 Clubcreek Drive  
Alpharetta, GA 30201

Regional Vice President

C. Kurt Miller  
11 Oakwood Lane  
Rumson, NJ 07760

Vice President, Healthcare Markets

Mary F. Nolan  
3 Shetland Green  
Belle Mead, NJ 08502

Vice President, Marketing

Elizabeth A. O'Brien  
721 Bradford Avenue  
Westfield, NJ 07090

Regional Vice President

Anthony Panarese  
358 Aldeburgh Court  
Somerset, NJ 8873

Senior Vice President, Administrative  
Operations

Lori M. Renzulli  
22 Glen Eyre Drive  
Bridgewater, NJ 08807

Assistant Secretary

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**COPELAND ASSOCIATES, INC.**  
**Two Tower Center**  
**East Brunswick, New Jersey 08816**

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George A. Ryan  
One Tower Square  
Hartford, CT 06183

Assistant Secretary

William B. Schwartz  
1323 Bradford Drive  
Coppell, TX 75019

Regional Vice President

William P. Schwarzkopf  
30 West Birchwood Avenue  
Hinsdale, IL 60521

Senior Vice President

Mark M. Skinner  
26 Hilltop Terrace  
Chatham, NJ 07928

President

James D. Smith  
8513 Timber Hill Court  
Elliott City, MD 21043

Regional Vice President

Michael L. St. Clair  
536 Birch Avenue  
Westfield, NJ 07090

Senior Vice President (President,  
Travelers Educators Retirement  
Services)

Joseph F. Vazzano  
118-C Bluebird Drive  
Somerville, NJ 08876

Vice President, Financial Reporting  
and Planning

Donna S. Webber  
19 Colburn Road  
East Brunswick, NJ 08816

Assistant Secretary

Michael R. Zarelli  
1 Davis Court  
Martinsville, NJ 08836

Senior Vice President, Finance

Joseph Zavoda  
5 Winton Road  
East Brunswick, NJ 08816

Vice President, 401(k) Compliance  
Services