

FILE NOW: FILING FEE IS \$61.25

NONPROFIT  
CORPORATION  
ANNUAL REPORT  
1996



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # 838333 (3)

1. Corporation Name

TUCKER ANTHONY INCORPORATED

Principal Place of Business

ONE BECON STREET  
BOSTON MA 02108  
US

Mailing Address

200 LIBERTY STREET, 1 WORLD FINANCIAL CTR.  
3RD FLOOR  
NEW YORK NY 10281  
US



3. Date Incorporated or Qualified

05/02/1977

3a. Date of Last Report

02/08/1995

2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

4. FEI Number

04-2566229

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution

☐

\$5.00 May Be  
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,  
Florida Statutes

☐

Yes ☒ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM, INC.  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE FL 32301

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 617.0502 and 617.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 617.0503, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE ☐ DELETE

NAME  
GOLDSMITH, JOHN H  
STREET ADDRESS  
ONE BEACON ST  
CITY-ST-ZIP  
BOSTON MA

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
PASQUALE, THOMAS A  
STREET ADDRESS  
200 LIBERTY STREET  
CITY-ST-ZIP  
NEW YORK NY

2.1 TITLE ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

TITLE ☒ DELETE

NAME  
MICHAEL, MICHAEL L  
STREET ADDRESS  
ONE BEACON STREET  
CITY-ST-ZIP  
BOSTON MA

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
GILLIGAN, THOMAS  
STREET ADDRESS  
200 LIBERTY STREET  
CITY-ST-ZIP  
NEW YORK NY

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
YEYICH, ROBERT H.  
STREET ADDRESS  
200 LIBERTY STREET  
CITY-ST-ZIP  
NEW YORK NY

5.1 TITLE ☒ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
O'NEILL, ROBERT F.  
STREET ADDRESS  
200 LIBERTY ST.  
CITY-ST-ZIP  
NEW YORK NY

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: X

ROBERT H. YEYICH

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

2/5/96

Date

212-225-8050

Daytime Phone #

CR2E037 (12/95)

**ATTACHMENT TO FLORIDA ANNUAL REPORT FORM**

**Questions #12**

<u>TITLE</u>	<u>NAME</u>	<u>ADDRESS</u>	<u>CITY &amp; STATE</u>
E/V	Clive B. Fazioli	1 Beacon Street	Boston, MA
E/V/D	Kevin J. Dunn	1 Beacon Street	Boston, MA
E/V	Lawrence McGuire	1 Beacon Street	Boston, MA
A/S	Paul Lieberman	200 Liberty Street	New York, NY
E/V	Thomas V. Ridge	1 Beacon Street	Boston, MA
D	Lawrence Kirshbaum	200 Liberty Street	New York, NY
D/S	Kevin McKay	200 Liberty Street	New York, NY
CIO	Dennis Cassidy	200 Liberty Street	New York, NY
E/V	Marc Menchel	200 Liberty Street	New York, NY