
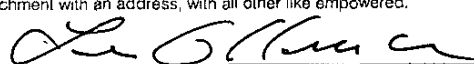


2005 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Feb 04, 2005 8:00 am
Secretary of State

02-04-2005 90089 001 ***300.00

DOCUMENT # 837639 1. Entity Name ADVEST, INC.					
Principal Place of Business ATTN: CHERYL GORHAM 90 STATE HOUSE SQUARE HARTFORD, CT 06103			Mailing Address ATTN: CHERYL GORHAM 90 STATE HOUSE SQUARE HARTFORD, CT 06103		
2. Principal Place of Business		3. Mailing Address			
Suite, Apt. #, etc.		Suite, Apt. #, etc.			
City & State		City & State			
Zip	Country	Zip	Country	4. FEI Number 06-0950348	
				Applied For <input type="checkbox"/> Not Applicable	
				5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324			7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code		
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ <small>Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)</small>					
FILE NOW!!! FEE IS \$150.00 After May 1, 2005 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees			
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE	PD	<input type="checkbox"/> Delete	TITLE	CEO <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
NAME	MULLANE, DANIEL		NAME		
STREET ADDRESS	90 STATE HOUSE SQUARE		STREET ADDRESS		
CITY-ST-ZIP	HARTFORD, CT 06103		CITY-ST-ZIP		
TITLE	VSD	<input type="checkbox"/> Delete	TITLE	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
NAME	KUCKRO, LEE G		NAME		
STREET ADDRESS	90 STATE HOUSE SQUARE		STREET ADDRESS	Please See Attached	
CITY-ST-ZIP	HARTFORD, CT 06103		CITY-ST-ZIP		
TITLE	CEOD	<input checked="" type="checkbox"/> Delete	TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition	
NAME	KURTZ, GRANT W		NAME		
STREET ADDRESS	90 STATE HOUSE SQ		STREET ADDRESS		
CITY-ST-ZIP	HARTFORD, CT 06103		CITY-ST-ZIP		
TITLE	SVPD	<input checked="" type="checkbox"/> Delete	TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition	
NAME	BURNS, KYLE (KC)		NAME		
STREET ADDRESS	90 STATE HOUSE SQUARE		STREET ADDRESS		
CITY-ST-ZIP	HARTFORD, CT 06103		CITY-ST-ZIP		
TITLE	EVPD	<input checked="" type="checkbox"/> Delete	TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition	
NAME	MULLANE, DANIEL J		NAME		
STREET ADDRESS	100 FEDERAL STREET		STREET ADDRESS		
CITY-ST-ZIP	BOSTON, MA 02110		CITY-ST-ZIP		
TITLE	<input type="checkbox"/> Delete		TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition	
NAME			NAME		
STREET ADDRESS			STREET ADDRESS		
CITY-ST-ZIP			CITY-ST-ZIP		
12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: 			1-27-05 760-509-2170		
<small>SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR</small>			<small>Date Daytime Phone #</small>		

66001058



01122005 Chg-P CR2E034 (10/03)

ATTACHMENT
Advest, Inc.

66001658

#837639

Officers & Directors

2005

Officers:

Daniel J. Mullane Chief Executive Officer & Chairman of the Board	90 State House Square Hartford, CT 06103
William A. Cholawa Executive Vice President, Managing Director of Private Client Group & National Sales	90 State House Square Hartford, CT 06103
Jason H. Diamond Executive Vice President, Treasurer & Chief Financial Officer	90 State House Square Hartford, CT 06103
David A. Horowitz Executive Vice President, Asst. Secretary & Asst. General Counsel	90 State House Square Hartford, CT 06103
Lee G. Kuckro Executive Vice President, Secretary and General Counsel	90 State House Square Hartford, CT 06103
Gregory J. Anderson Senior Vice President, Director of Human Resources	12 Broadway, 12 th FL New York, NY 10271
Randall Carbone Senior Vice President and Director of Wealth Management and Advisory Services	90 State House Square Hartford, CT 06103
Kevin J. Charette Senior Vice President of Hartford Information Technology- Application Services	90 State House Square Hartford, CT 06103
Steven A. Ciccarello Senior Vice President and Director of Risk Management	1740 Broadway, 19 th FL New York, NY 10019
Sean Farrell Senior Vice President and Division Manager of Eastern Seaboard Division	90 State House Square Hartford, CT 06103
Gay B. Foster Senior Vice President of Branch Administration	90 State House Square Hartford, CT 06103
Robert A. Fogliano Senior Vice President	1-13 Christopher Way, Ste. 3 Eatontown, NJ 07724
William C. Freitag Senior Vice President and Chief Compliance Officer	90 State House Square Hartford, CT 06103
Alexandra Lebenthal Senior Vice President, President of Lebenthal Division & Senior Managing Director of Municipal Markets	120 Broadway, 12 th FL New York, NY 10271

ATTACHMENT

Advest, Inc.

Officers & Directors

66001058

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James R. Orvis
Senior Vice President of Administration and Director
of Operations

90 State House Square
Hartford, CT 06103

Aaron Scott
Senior Vice President of Strategic Analysis and Planning

90 State House Square
Hartford, CT 06103

Frank Sinatra
Senior Vice President and Syndicate Manager of Taxable
Fixed Income

1740 Broadway
New York, NY 10019

Harry Stengel
Senior Vice President - Manager of New York Operations

1740 Broadway, 19th FL
New York, NY 10019

Philip Wellman
Senior Vice President and Assistant General Counsel

90 State House Square
Hartford, CT 06103

Doran Young
Senior Vice President and Division Manager of Metro
Midwest Division

One Rockefeller Plaza, 21st FL
New York, NY 10020

Thomas P. Byrne
Vice President and Assistant General Counsel

90 State House Square
Hartford, CT 06103

Richard J. DiGiccio
Vice President and Director of Payroll

90 State House Square
Hartford, CT 06103

Christopher P. Drucker
Vice President of New York Stock Exchange Operations

1740 Broadway, 19th FL
New York, NY 10019

John K. Gilchrist
Vice President and Assistant General Counsel

90 State House Square
Hartford, CT 06103

Ronald B. Maggiacomo
Vice President of Direct Investments

One Federal Street, 26th FL
Boston, MA 02110

Lawrence F. McIntosh
Vice President and Supervisory Compliance Officer

90 State House Square
Hartford, CT 06103

Sharon Newkirk
Vice President and Director of Human Resources

90 State House Square
Hartford, CT 06103

Robert Pearce
Vice President of Investment Advisory Compliance

90 State House Square
Hartford, CT 06103

Robert C. Rungee
Vice President and AML Officer

90 State House Square
Hartford, CT 06103

ATTACHMENT

Advest, Inc.

Officers & Directors

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Peter Silbo
Vice President and Supervisor of Registration

90 State House Square
Hartford, CT 06103

Andrea Wasilewski
Vice President of Wealth Management and
Advisory Services

90 State House Square
Hartford, CT 06103

Michael T. Mayes
Senior Managing Director of Investment Banking

One Rockefeller Plaza, 20th FL
New York, NY 10020

Robert F. Olsen
Co-Managing Director of NASDAQ Trading

90 State House Square
Hartford, CT 06103

James H. Sampson
Senior Managing Director of Municipal Division

1740 Broadway, 19th FL
New York, NY 10019

Phillip M. Skidmore
Senior Managing Director of Syndicate

90 State House Square
Hartford, CT 06103

Lawrence D. Bashe
Managing Director

One Rockefeller Plaza
New York, NY 10020

D. Scott Gibson
Managing Director of Public Finance

90 State House Square
Hartford, CT 06103

Robert T. Keane, Jr.
Managing Director of Corporate Finance

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Hartford, CT 06103

Anthony Morgan
Managing Director and Manager of Taxable Fixed Income

1740 Broadway
New York, NY 10019

Mark Cantrel
Director of Institutional Underwriting and Trading

128 S. Tryon Street
Charlotte, NC 28202

Laurie M. Andrews
Assistant Secretary

90 State House Square
Hartford, CT 06103

Stanley Januszewski
Assistant Secretary

90 State House Square
Hartford, CT 06103

Eugenia Kominek
Assistant Secretary

90 State House Square
Hartford, CT 06103

Mark A. Winiarskyj
Assistant Treasurer

90 State House Square
Hartford, CT 06103

ATTACHMENT

Advest, Inc.

Officers & Directors

66001058
837689

Directors (please see address above) :

Randall Carbone
William A. Cholawa
Steven A. Ciccarello
Jason H. Diamond
Sean Farrell

Gay B. Foster
Lee G. Kuckro
Alexandra Lebenthal
Michael T. Mayes
Daniel J. Mullane

Robert F. Olsen
James R. Orvis
Philip M. Skidmore

Mark Bilski
Director

75 N. Kensico Avenue
Valhalla, NY 10595

Kevin R. Byrne
Director

1290 Avenue of the Americas
New York, NY 10104

Harry H. Canavesi
Director

501 3rd Street
Beaver, PA 15009

Donald J. Cristo
Director

17 West Main Street
Avon, CT 06001

Edward Fernberger, Jr.
Director
Allan M. Fink
Director

Benjamin Fox Pavillion, Ste. 923
Jenkintown, PA 19103
One Rockefeller Plaza, 21st FL
New York, NY 10020

Garry L. Hogan
Director

3300 One Oxford Center
Aliquippa, PA 15001

Jeffery M. James
Director

120 Broadway, 12th Fl
New York, NY 10271

James Keim, Jr.
Director

300 State Street
Erie, PA 16507

Judith A. McCann
Director

71 Hill Street, Ste. E.
Southampton, NY 11968

Robert D. Meyers
Director

4100 Horizons Drive
Upper Arlington, OH 43220

Steven M. Nussbaum
Director

33 Riverside Avenue, Ste. 5
Westport, CT 06880

James M. Pucci
Director

4 Coates Drive, Ste. 2
Goshen, NY 10924

Richard E. Ryall
Director

1441 Main Street, 8th FL
Springfield, MA

ATTACHMENT
Advest, Inc.

Officers & Directors

66001058
837639

Jay H. Salkin
Director

1301 York Road, Ste. 110
Lutherville, MD 21093

Paul Skydell
Director

2 Jericho Plaza
2nd FL, Wing A
Jericho, NY 11753

Bernard A.G. Taradash
Director

386 High Street
PO Box 1910
Fall River, MA 02722

Doran Young
Director

One Rockefeller Plaza, 21st FL
New York, NY 10020

Michael J. Vogelzang
Director

One Federal Street, 26th FL
Boston, MA 02110

Chris Wilkinson
Director

One Federal Street, 24th FL
Boston, MA 02110