

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 837639 (4)

1. Corporation Name
ADVEST, INC.



Principal Place of Business
280 TRUMBULL ST.
HARTFORD CT 06103

Mailing Address
280 TRUMBULL ST.
HARTFORD CT 06103

3. Date Incorporated or Qualified 12/30/1976	3a. Date of Last Report 04/26/1995
4. FEI Number 06-0950348	Applied For Not Applicable
5. Certificate of Status Desired ☐	\$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution ☐	\$5.00 May Be Added to Fees
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☒ Yes ☐ No	

2. Principal Place of Business 21 90 State House Square Suite, Apt. #, etc	2a. Mailing Address 26 90 State House Square Suite, Apt. #, etc
22 City & State 23 Hartford, CT 24 06103	27 City & State 28 Hartford, CT 06103 29 06103

9. Name and Address of Current Registered Agent

CORPORATION SERVICE COMPANY
1201 HAYES STREET
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name	85 Zip Code
82 Street Address (P.O. Box Number is Not Acceptable)	
83	
84 City	FL

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and date of signature

(Not a Registered Agent signature required when re-stating)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	P	1.1 TITLE	☐ Change ☐ Addition
NAME	WEINTRAUB, ALLEN	1.2 NAME	
STREET ADDRESS	280 TRUMBULL STR	1.3 STREET ADDRESS	
CITY-ST-ZIP	HARTFORD CT	1.4 CITY-ST-ZIP	(SEE ATTACHED LIST)
TITLE	ASO	2.1 TITLE	☐ Change ☐ Addition
NAME	BARBER, THOMAS C.	2.2 NAME	
STREET ADDRESS	280 TRUMBULL ST.	2.3 STREET ADDRESS	
CITY-ST-ZIP	HARTFORD CT	2.4 CITY-ST-ZIP	
TITLE	EV	3.1 TITLE	☐ Change ☐ Addition
NAME	BOUJOUKOS, GEORGE A.	3.2 NAME	
STREET ADDRESS	40 RECTOR STREET	3.3 STREET ADDRESS	
CITY-ST-ZIP	NEW YORK NY	3.4 CITY-ST-ZIP	
TITLE	SVD	4.1 TITLE	☐ Change ☐ Addition
NAME	BOTWINICK, ALLEN G.	4.2 NAME	
STREET ADDRESS	280 TRUMBULL ST.	4.3 STREET ADDRESS	
CITY-ST-ZIP	HARTFORD CT	4.4 CITY-ST-ZIP	
TITLE	VSD	5.1 TITLE	☐ Change ☐ Addition
NAME	KUCKRO, LEE G	5.2 NAME	
STREET ADDRESS	280 TRUMBULL STR	5.3 STREET ADDRESS	
CITY-ST-ZIP	HARTFORD CT	5.4 CITY-ST-ZIP	
TITLE	D	6.1 TITLE	☐ Change ☐ Addition
NAME	BRANDON, JEFFREY A	6.2 NAME	
STREET ADDRESS	87 STEELE RD	6.3 STREET ADDRESS	
CITY-ST-ZIP	W HARTFORD CT	6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address

SIGNATURE:
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/24/96 (860) 509-1000
Date Daytime Phone

CR2E034 (12/95)

ADVEST, INC.**1996****DIRECTORS & OFFICERS**

<u>NAME & TITLE</u>	<u>RESIDENCE ADDRESS</u>	<u>BUSINESS ADDRESS</u>
Thomas C. Barber Assistant Secretary (044-34-6783) DOB - 12/3/45	51 Standard Street Newington, CT 06111	90 State House Square Hartford, CT 06103
Murray Beach Sr. VP & Director (188-36-9843) DOB - 4/25/54	46 Mary Catherine Circle Windsor, CT	90 State House Square Hartford, CT 06103
Allen G. Botwinick Executive VP & Director (041-32-9719) DOB - 3/6/43	4 Stratford Road Farmington, CT 06032	90 State House Square Hartford, CT 06103
Donna Beaudoin Asst. Secretary (126-46-1950) DOB - 1/15/57	175 East Hampton Road Marlborough, CT 06447	90 State House Square Hartford, CT 06103
George A. Boujoukos Exec. VP & Director (014-26-6829) DOB - 9/13/34	25 Riding Club Road Wilton, CT 06897	40 Rector Street New York, NY 10006
Harold H. Branning Exec. VP- Nat'l Sales Director (107-38-4943) DOB - 5/26/51	51 Palisade Terrace Glastonbury, CT 06033	90 State House Square Hartford, CT 06103
Jeffrey A. Brandon Director (269-40-1912) DOB - 8/31/44	4 Pheasant Lane West Hartford, CT 06117	90 State House Square Hartford, CT 06103

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Donald J. Cristo
Director
(046-34-4742)
DOB - 10/16/33

35 Woodhaven Drive
Simsbury, CT 06070

22 Waterville Road
Avon, CT 06001

Edward Fernberger, Jr.
Director
(198-36-0222)
DOB - 12/15/48

8133 Washington Lane
Wyncote, PA 19095

Benjamin Fox Pavilion
Jenkintown, PA 19103

Allan M. Fink
Director
(059-30-3511)
DOB - 11/6/38

315 Wychwood Road
Westfield, NJ 07090

12 East 49th Street
New York, NY 10017

Robert Fogliano
First VP
(113-38-1032)
DOB - 3/31/46

28 Doherty Drive
Middletown, NJ 07748

40 Rector Street
New York, NY 10006

William C. Freitag
Vice President
(094-40-0574)
DOB - 4/3/51

1450 Allen Court
Cheshire, CT 06410

90 State House Square
Hartford, CT 06103

John Giese
Director
(562-54-7143)
DOB - 3/3/44

6 North Farms Road
Avon, CT 06001

90 State House Square
Hartford, CT 06103

Gerald A. Guild
Director
(050-30-2658)
DOB - 7/29/38

20 Blackburn Place
Summit, NJ 07901

40 Rector Street
New York, NY 10006

Stephen Hampton
Director
(102-36-7651)
DOB - 9/29/45

68 Blackburn Lane
Manhasset, NY 11030

40 Rector Street
New York, NY 10006

Nicholas G. Hano
VP- NYSE
(180-30-3563)
DOB - 2/7/35

R.D. #2
Newton, PA 18940

40 Rector Street
New York, NY 10006

David A. Horowitz
Asst Secretary & VP
(090-50-2458)
DOB - 11/10/56

6 Hyer Drive
Simsbury, CT 06070

90 State House Square
Hartford, CT 06103

4-6

James Keim
Director
(189-28-7825)
DOB - 4/19/38

1530 Taylor Ridge CT
Eerie, PA 16505

300 State Street
Suite 101
Eerie, PA 16507

John A. King
Assistant Treasurer
(021-30-1915)
DOB - 4/4/39

17 Christmas Tree Hill
Canton, CT 06019

90 State House Square
Hartford, CT 06103

Cornelius Koun
Director

12 East 49th Street
New York, NY 10017

Lee G. Kuckro
Sr. VP/Secretary & Director
(046-32-9111)
DOB - 6/9/41

471 Main Street
Wethersfield, CT 06109

90 State House Square
Hartford, CT 06103

Grant W. Kurtz
President & Director
(384-46-4415)
DOB - 1/19/42

26 Daventry Hill
Avon, CT 06001

90 State House Square
Hartford, CT 06103

Martin M. Lilienthal
Sr. VP & CFO
Treasurer & Director
(048-32-7611)
DOB - 5/10/42

150 Tulip Drive
Meriden, CT 06450

90 State House Square
Hartford, CT 06103

Ronald Maggiacomo
VP - Investments
(035-28-2576)
DOB - 9/27/42

579 Weir Road
Glastonbury, CT 06033

90 State House Square
Hartford, CT 06103

Daniel McAuliffe
Asst. Secretary
(097-36-1399)
DOB - 9/5/46

19-A2 Lakeshore Apts.
Farmington, CT 06032

90 State House Square
Hartford, CT 06103

Howard Merriman, Jr.
Director
(035-24-6527)
DOB - 12/20/33

99 President Avenue
Providence, RI 02906

630 Hospital Trust
Providence, RI 02903

Paul Nussbaum
Director
(190-24-5116)
DOB - 2/01/29

825 Moorewood Avenue
Pittsburg, PA 15217

One Oxford Center
Pittsburg, PA 15219

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William J. O'Hagan
VP - NYSE
(120-26-2192)
DOB - 11/30/34

124 Parrott Place
Brooklyn, NY

40 Rector Street
New York, NY 10006

Warren Pavlik
Asst. Secretary
(104-26-2129)
DOB - 9/20/32

22 Billings Place
Brooklyn, NY

40 Rector Street
New York, NY 10006

James Pfeffer
Sr. VP - public Finance &
Director
(266-92-9833)
DOB - 5/24/51

10 Meigs Lane
Essex, CT 06426

90 State House Square
Hartford, CT 06103

Richard E. Ryall
Director
(024-34-5989)
DOB - 10/31/45

133 Colony Road
Longmeadow, MA 01106

1441 Main Street
Springfield, MA 01103

Jay Salkin
Director
(216-36-4805)
DOB - 6/12/39

3315 Midfield Road
Baltimore, MD 21208

110 Heaver Plaza
Lutherville, MD 21093

Jack Shaughnessy
Director
(026-22-6529)
DOB - 2/1/32

53 Sylvan Road, North
Westport, CT 06880

90 State House Square
Hartford, CT 06103

Philip M. Skidmore
Group VP-Investments &
Director
(262-66-1122)
DOB - 6/6/40

77 Indian Head Road
Riverside, CT 06878

90 State House Square
Hartford, CT 06103

Paul Skydell
Director
(083-38-6827)
DOB - 7/13/57

30 Fiddlers Green Drive
Lloyd Neck, NY 11743

2 Jericho Plaza
Jericho, NY 11753

Ernest R. Smith
Director

90 State House Square
Hartford, CT 06103

Bernard A.G. Taradash
Director

958 Neck Road
Tiveston, RI 02332

386 High Street
Fall River, MA 02722

6-4

(031-24-4436)
DOB - 2/25/32

Raymond T. Waller
Director
(228-14-4375)
DOB - 8/23/19

8107 University Drive
Richmond, VA 23229

600 Paragon Place
Richmond, VA 23230

Allen Weintraub
CEO/ Chairman & Director
(141-26-7661)
DOB - 5/14/35

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West Hartford, CT 06117

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