

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 28, 2002 8:00 am
Secretary of State

03-28-2002 90177 014 ***150.00

0613464 AT

DOCUMENT # 835833

1. Entity Name

GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

Principal Place of Business

**8515 EAST ORCHARD ROAD
 GREENWOOD VILLAGE CO 80111
 US**

Mailing Address

**8515 EAST ORCHARD ROAD
 GREENWOOD VILLAGE CO 80111
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

84-0467907

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER
 THE CAPITOL BUILDING
 TALLAHASSEE FL 32399-0300**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete
 NAME **PD**
 STREET ADDRESS **MCCALLUM, WILLIAM THOMAS**
 CITY-ST-ZIP **8515 E ORCHARD RD
 ENGLEWOOD CA**

TITLE ☐ Change ☒ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
 (Please see attached list of all
 officers and directors.)

TITLE ☐ Delete
 NAME **VT**
 STREET ADDRESS **DERBACK, GLEN RAY**
 CITY-ST-ZIP **8515 E ORCHARD RD
 ENGLEWOOD CO**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **S**
 STREET ADDRESS **LENNOX, D CRAIG**
 CITY-ST-ZIP **8515 E ORCHARD RD
 ENGLEWOOD CO**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **AV**
 STREET ADDRESS **MCCALLEN, JAMES LOCKHART**
 CITY-ST-ZIP **8515 E ORCHARD RD
 ENGLEWOOD CO**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **D**
 STREET ADDRESS **DESMARAIS, ANDRE ROGER**
 CITY-ST-ZIP **751 VICTORIA SQUARE
 MONTREAL QU H2YSJ**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
Richard G. Schultz
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/13/02

Date

(303) 737-4649

Daytime Phone #

CR2E034 (9/01)

Attachment # 835833

Great-West Life & Annuity Insurance Company

DIRECTORS:

J. Balog
J.W. Burns
O.T. Dackow
A. Desmarais
P. Desmarais, Jr.
R.G. Graham
R. Gratton
K.P. Kavanagh
W. Mackness
W.T. McCallum
J.E.A. Nickerson
P.M. Pitfield
M. Plessis-Belair
B.E. Walsh

OFFICERS:

R. Gratton - Chairman of the Board
W.T. McCallum - President and Chief Executive Officer
M.T.G. Graye - Executive Vice President, Chief Financial Officer
D.L. Wooden - Executive Vice President, Financial Services
J.A. Brown - Senior Vice President, BenefitsCorp Healthcare Markets
S.M. Corbett - Senior Vice President, Investments
J.R. Gabbert - Senior Vice President and Chief Information Officer
D.A. Goldin - Executive Vice President and Chief Operating Officer,
One Benefits
W.T. Hoffman - Senior Vice President, Investments
D.C. Lennox - Senior Vice President, General Counsel and Secretary
S.H. Miller - Senior Vice President, Mid-Market Sales
C.P. Nelson - President, BenefitsCorp
M. Rosenbaum - Senior Vice President, Finance
G.E. Seller - Senior Vice President, BenefitsCorp Government Markets
R.K. Shaw - Senior Vice President, Individual Markets
G.D. Webb - President, Advised Assets Group, Inc.
W.J. Winer - Senior Vice President, Employee Benefits
J.W. Wright - Senior Vice President, Employee Benefits
W.K. Adam - Vice President, Counsel and Associate Secretary
D.L. Anderson - Vice President, Operations
D.C. Aspinwall - Vice President, Counsel and Chief Compliance Officer
T.J. Breiner - Vice President, Marketing and Strategy Development
B.A. Byrne - Vice President, Counsel and Associate Secretary
J.R. Cavalier - Vice President, Field Operations and Sales
P.A. Christie - Vice President, National Accounts
B.A. Cornish - Vice President, Operations
G.L. Davis - Vice President, Information Technology Services
G.R. Derback - Vice President and Controller
D.S. Duncan - Vice President, Pricing and Underwriting
M.R. Edwards - Vice President, FASCorp Operations
J.B. Ehnes - Vice President, Government Relations
E.P. Friesen - Vice President, Investments
D.M. Galay - Vice President, Traditional Insurance Markets
R.J. Gonzalez - Vice President, FASCorp Institutional Management
M.R. Hackl - Vice President, Employee Benefits Operations
T.E. Holland - Vice President, Finance
S.C. Ingalls - Vice President, Installation Administration
M.P. Ingrassia - Vice President, National Accounts Sales
R.J. Laeyendecker - Vice President, Corporate Owned Life Insurance Markets
R.B. Lurie - Vice President, Government Relations
J.L. McCallen - Vice President and Actuary
D.G. McDonald - Vice President, Institutional Insurance Markets
G.R. McDonald - Vice President, Corporate Finance
S.A. Miller - Vice President, FASCorp Systems
D.G. McLeod - Vice President, Investment Operations

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attachment # 835833

K.A. Morris - Vice President, BenefitsCorp
P.N. Jensen - Vice President, Pension Sales
J.D. Roeske - Vice President, Individual Markets
K.M. Rydalch - Vice President, Internal Audit
R.G. Schultz - Vice President, Counsel and Associate Secretary
W.T. Schultz - Vice President, Systems
D.H. Shantz - Vice President, Group Special Accounts
M.P. Sole - Vice President, BenefitsCorp
D.J. Stefanson - Vice President, Products
C.L. Thomas - Vice President, One Corporation
P.D. Tilley - Vice President, Asset/Liability Management
L. Yearwood - Vice President, Claims and Customer Service
A.B. Zoellner - Vice President, BenefitsCorp

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All addresses are 8515 East Orchard Road, Greenwood Village, CO 80111.