

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
May 01, 2003 8:00 am
Secretary of State

05-01-2003 90210 034 ***150.00

0501780 AT

DOCUMENT # 835538

1. Entity Name
LEGG MASON WOOD WALKER, INCORPORATED



Principal Place of Business
100 LIGHT STREET
36TH FLOOR - FINANCE
BALTIMORE MD 21202
US

Mailing Address
100 LIGHT STREET
36TH FLOOR - FINANCE
BALTIMORE MD 21202
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

☐ CHECK HERE IF MAKING CHANGES

4. FEI Number **52-0902557**

Applied For
Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD BRINKLEY, JAMES W 100 LIGHT ST BALTIMORE MD 21202	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVAS LOWMAN, HORACE 100 LIGHT STREET BALTIMORE MD 21202	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CD MASON, RAYMOND A 100 LIGHT STREET BALTIMORE MD 21202	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SV SCHEVE, TIMOTHY C 100 LIGHT STREET BALTIMORE MD 21202	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVCF DALEY, CHARLES J JR. 100 LIGHT STREET BALTIMORE MD 21202	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS ST-ZIP	V DILLON, BOB 100 LIGHT STREET BALTIMORE MD 21202	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input checked="" type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
See Attached Schedules	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
All Addresses are:	
100 Light Street	
Baltimore, MD 21202	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(l), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

Thomas C. Merchant

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Thomas C. Merchant, Asst. Sec.

Daytime Phone #

CR2E034 (10/02)

ATTACHMENT

90116924
835538

LEGG MASON WOOD WALKER, INCORPORATED

BOARD OF DIRECTORS

James W. Brinkley
Raymond A. Mason
Thomas P. Mulroy
Robert G. Sabelhaus
Timothy C. Scheve

LEGG MASON WOOD WALKER, INCORPORATEDCORPORATE OFFICERSChairman and Chief Executive Officer

Raymond A. Mason

President and Chief Operation Officer

James W. Brinkley

Senior Executive Vice Presidents

Richard J. Himelfarb

Timothy C. Scheve

Executive Vice Presidents

Manoochehr Abbaei

Thomas P. Mulroy

Robert G. Sabelhaus

Senior Vice Presidents

D. Stuart Bowers

Thomas E. Hill

Ira H. Malis

W. William Brab

Thomas Hirschmann

Marvin H. McIntyre

Edwin J. Bradley, Jr.

Arnold S. Hoffman

Jonathan M. Pearl

Charles J. Daley, Jr.

Carl Hohnbaum

Robert F. Price

W. Talbot Daley

David M. Jernigan

Joseph A. Sullivan

Thomas M. Daly, Jr.

William B. Jones, Jr.

Joseph E. Timmins

Jeffrey W. Durkee

Laura L. Lange

Harry M. Ford, Jr.

Horace M. Lowman, Jr.

Vice Presidents

Paul J. Ayd

Patrick G. Hartley

John A. Moag, Jr.

William H. Bass, Jr.

Kendra Heyde

Neil P. O'Callaghan

Nathan S. Betnun

Dale S. Hoffman

Ann O'Shea

Andrew J. Bowden

Timothy A. Jackson

Robert E. Patterson

Scott R. Cousino

Richard A. Jacobs

Thomas K. Peltier

Thomas W. Cullen

Francis J. Jamison, Jr.

Gerard F. Petrik, Jr.

Robert J. Dillon

Elizabeth A. Kane

Thomas E. Robinson

Brian M. Eakes

Robert H. Kennedy

Theresa M. Romano

J. Peter Feketic
James P. Fitzgerald
Norman C. Frost, Jr.
Joseph M. Furey
Francis X. Gallagher, Jr.
David Gately
Steven C. Genyk
Keith E. Getter
W. Kyle Gore
Daniel R. Greller
Kim M. Hagins

Duncan L. Lamme.
Henry Lederer
Edward W. Lister, Jr.
Donna Maher
Jeffrey R. Manning
Richard Marvin
Theresa McGuire
Julia A. McNeal
Thomas C. Merchant
Mark C. Micklen
Martin F. Mitchell

James A. Rowan
B. Andrew Schmucker
Robert W. Schnakenberg
Robert C. Servas
Eugene B. Shepherd
Alexsander M. Stewart
Joyce Ulrich
Sheila M. Vidmar
Barbara Weaver
W. Matthew Zuga

Assistant Vice Presidents

Scott W. Bost
Robert J. DeLeon
Robert J. Gavin
Mary-Jewel Greenlow
Tanya J. Lee

Tracey A. Lumpkin
Edward G. McCaulley
Mark A. Meyers

Robert L. Phillips
Lauri F. Smith
Terry W. Thompson, Jr.
Leigh Ann Webster

Chief Financial Officer and Treasurer

Charles J. Daley, Jr.

Controller

Theresa McGuire

Secretary and General Counsel

Robert F. Price

Assistant Secretary

Thomas C. Merchant