

**2009 FOR PROFIT CORPORATION AMENDED ANNUAL REPORT**

DOCUMENT# 831700

**FILED**  
**Jul 14, 2009**  
**Secretary of State****Entity Name:** HSBC SECURITIES (USA) INC.**Current Principal Place of Business:**452 FIFTH AVENUE  
TOWER 7  
NEW YORK, NY 10018 US**New Principal Place of Business:****Current Mailing Address:**452 FIFTH AVENUE  
TOWER 7  
NEW YORK, NY 10018 US**New Mailing Address:****FEI Number:** 13-2650272 **FEI Number Applied For ( )** **FEI Number Not Applicable ( )** **Certificate of Status Desired ( )****Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**OFFICERS AND DIRECTORS:****Title:** P ( ) Delete  
**Name:** WILLIAM  
**Address:** 452 FIFTH AVENUE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** S ( ) Delete  
**Name:** MICHAEL  
**Address:** 452 FIFTH AVE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** D ( ) Delete  
**Name:** GERARD  
**Address:** 452 FIFTH AVE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** T ( ) Delete  
**Name:** TIBERIO  
**Address:** 452 FIFTH AVE NEW YORK NY 10018  
**City-St-Zip:** NEW YORK, NY 10018 US**ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:****Title:** P (X) Change ( ) Addition  
**Name:** WONG, WILLIAM  
**Address:** 452 FIFTH AVENUE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** S (X) Change ( ) Addition  
**Name:** EMERSON, MICHAEL  
**Address:** 452 FIFTH AVE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** D (X) Change ( ) Addition  
**Name:** MATTIA, GERARD  
**Address:** 452 FIFTH AVE  
**City-St-Zip:** NEW YORK, NY 10018 US**Title:** T (X) Change ( ) Addition  
**Name:** MASSARO, TIBERIO  
**Address:** 452 FIFTH AVE  
**City-St-Zip:** NEW YORK, NY 10018 US

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: WILLIAM WONG

P

07/14/2009

Electronic Signature of Signing Officer or Director

Date