

031571

Florida Department of State
Division of Corporations
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From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA0000000023
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Attn: Darlene
Please refill and
backdate to 8/18/05
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Jimmie

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05 AUG 24 AM 8:00

DIVISION OF CORPORATIONS

BASIC AMENDMENT

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Certificate of Status	0
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Page Count	034
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DIVISION OF CORPORATIONS

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08/23/2005 11:37

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Florida Dept of State

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FLORIDA DEPARTMENT OF STATE

Glenda H. Hood
Secretary of State

August 23, 2005

AMERICAN EXPRESS FINANCIAL ADVISORS INC.
1163 AXP FINANCIAL CENTER
MINNEAPOLIS, MN 55474US

SUBJECT: AMERICAN EXPRESS FINANCIAL ADVISORS INC.
REF: 931571

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refile the complete document, including the electronic filing cover sheet.

The current name of the entity is as referenced above. Please correct your document accordingly.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6906.

Darlene Connell
Document Specialist

FAX Aud. #: H05000198310
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08/22/2005 16:51 8502227615

CT CORP

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Florida Dept of State



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood
Secretary of State

August 19, 2005

AMERICAN EXPRESS FINANCIAL ADVISORS INC.
1163 AXP FINANCIAL CENTER
MINNEAPOLIS, MN 5547405

SUBJECT: AMERICAN EXPRESS FINANCIAL ADVISORS INC.
REF: 831571

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

THE CORPORATE NAMES SHOWN ON THE APPLICATION ARE NOT THE SAME AS THE CORPORATE NAMES ON THE CERTIFICATE PROVIDED????????????

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6906.

Darlene Connell
Document Specialist

FAX Aud. #: E05000198310
Letter Number: 705A00053032

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

831571

(Document number of corporation (If known))

1. American Express Financial Advisors Inc.

(Name of corporation as it appears on the records of the Department of State)

2. Delaware

(Incorporated under laws of)

3. 01/02/1974

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 08/01/2005

5. American Express Financial Services, Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

Laura M. Imhoite
(Signature of a director, president or other officer. If in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Laura M. Imhoite

(Typed or printed name of person signing)

August 5, 2005

(Date)

Assistant Secretary

(Title of person signing)

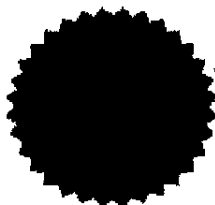
05 AUG 18 PM 3:56
SECRETARY OF CORPORATION
DIVISION OF CORPORATION

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "AMERICAN EXPRESS FINANCIAL ADVISORS INC.", FILED A RESTATED CERTIFICATE, CHANGING ITS NAME TO "AMERIPRISE FINANCIAL SERVICES, INC.", THE FIRST DAY OF AUGUST, A.D. 2005, AT 9:36 O'CLOCK A.M.



0772870 8320

050661425

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 4083926

DATE: 08-10-05