

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jan 20, 2004 8:00 am
Secretary of State

01-20-2004 90059 050 ***150.00

DOCUMENT # 831571

1. Entity Name
AMERICAN EXPRESS FINANCIAL ADVISORS INC.



Principal Place of Business
**1163 -AXP FINANCIAL CENTER
MINNEAPOLIS, MN 55474 US**

Mailing Address
**1163 AXP FINANCIAL CENTER
MINNEAPOLIS, MN 55474 US**

44003237



01062004 Chg-P CR2E034 (10/03)

4. FEI Number
41-0973005

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324**

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE: Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEO ORAPCHLODO, JAMES M 200 AXP FINANCIAL CTR MINNEAPOLIS, MN. 55474 ☒ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEO Cracchiolo, James M. ☒ Change ☐ Addition 200 AXP Financial Center Minneapolis, MN 55474
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVP ADOLF, RUEDIGER 200 AXP FINANCIAL CTR MINNEAPOLIS, MN 55474 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCED CRACCHIOLO, JAMES M 200 AXP FINANCIAL CTR MINNEAPOLIS, MN 55474 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP ARMSTRONG, WEIRD D 200 AXP FINANCIAL CTR MINNEAPOLIS, MN 55474 ☒ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP Armstrong, Ward D ☒ Change ☐ Addition 200 AXP Financial Center Minneapolis, MN 55474
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP ROLOFF, REBECCA 200 AXP FINANCIAL CTR MINNEAPOLIS, MN 55474 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVP MURPHY, BARRY J 200 AXP FINANCIAL CENTER MINNEAPOLIS, MN. 55474 ☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(l), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Leslie Tvedt Assistant Treasurer 1-7-04 (612) 671-2890
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

Attachment

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AMERICAN EXPRESS FINANCIAL ADVISORS INC.

10/03

44003237
Business Address

Name	Title	Business Address
Directors		
Walter S. Berman		
James M. Cracchiolo		
Officers		
James M. Cracchiolo	Chairman President and Chief Executive Officer	200 AXP Financial Center Minneapolis, MN 55474
Barbara H. Fraser	Executive Vice President - Chief Marketing Officer	200 AXP Financial Center Minneapolis, MN 55474
Barry J. Murphy	Executive Vice President - U.S. Retail Group	200 AXP Financial Center Minneapolis, MN 55474
Ruediger Adolf	Senior Vice President	200 AXP Financial Center Minneapolis, MN 55474
Ward D. Armstrong	Senior Vice President-Retirement Services and Asset Management	200 AXP Financial Center Minneapolis, MN 55474
Arthur H. Berman	Senior Vice President and CFO	200 AXP Financial Center Minneapolis, MN 55474
Walter S. Berman	Senior Vice President and Treasurer	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Hanratty	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Brian M. Heath	Sr. Vice President and General Sales Manager	200 AXP Financial Center Minneapolis, MN 55474
John C. Junek	Sr. Vice President, General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Michelle M. Keeley	Sr. Vice President - Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Paula R. Meyer	Sr. Vice President and General Manager - Mutual Funds	200 AXP Financial Center Minneapolis, MN 55474
Holley Morris	Sr. Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Rebecca K. Roloff	Sr. V.P. - Field Management & Financial Advisory Services	200 AXP Financial Center Minneapolis, MN 55474
Stephen W. Roszell	Sr. Vice President - Institutional Group	200 AXP Financial Center Minneapolis, MN 55474
Bridget Spert	Sr. Vice President - Client Service	200 AXP Financial Center Minneapolis, MN 55474
Caroline Stockdale - Boon	Sr. Vice President - Relationship Leader of Human Resources	200 AXP Financial Center Minneapolis, MN 55474
William F. Ted Truscott	Sr. Vice President- Chief Investment Officer	200 AXP Financial Center Minneapolis, MN 55474
Peter S. Velardi	Sr. Vice President - Field Management	3325 Paddocke Pkwy, Suite 110 Suwannee, GA 30024
Jeffrey A. Williams	Sr. Vice President - Cross-Sell/Strategic Mgmt	200 AXP Financial Center Minneapolis, MN 55474
William J. Williams	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Michael R. Woodward	Sr. Vice President- Field Management	32 Elliot St. Suite 100 Batavia, NY 14020
Gurner C. Alvero	Vice President - General Manager Annuities	200 AXP Financial Center Minneapolis, MN 55474
John M. Baker	Vice President-Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Dudley Barksdale	Vice President - Service Development	200 AXP Financial Center Minneapolis, MN 55474
Timothy V. Bechtold	Vice President - Insurance Products	200 AXP Financial Center Minneapolis, MN 55474
Robert C. Bloomer	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Leslie H. Bodell	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Kenneth J. Ciak	Vice President & Gen.Mgr. - IDS Property Casualty	3500 Packerland Drive DePere, WI 54115
Paul A. Connolly	Vice President - Relationship Leader Retail Distribution Services	200 AXP Financial Center Minneapolis, MN 55474
Colleen Curran	Vice President & Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Luz Maria Davis	Vice President- Communications	200 AXP Financial Center Minneapolis, MN 55474
Paul James Dolan	Vice President - CAO Products Sales	200 AXP Financial Center Minneapolis, MN 55474
William V. Elliott	Vice President - Financial Planning & Advice	200 AXP Financial Center Minneapolis, MN 55474
Benjamin R. Field	Vice President - Finance Education & Planning Services	200 AXP Financial Center Minneapolis, MN 55474
Gordon M. Fines	Vice President - Senior Portfolio Manager I	200 AXP Financial Center Minneapolis, MN 55474
Giuseppe Floro	Vice President - Creative Services	200 AXP Financial Center Minneapolis, MN 55474
Terrence J. Flynn	Vice President - Brokerage Clearing Operations	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey P. Fox	Vice President - Investment Accounting	200 AXP Financial Center Minneapolis, MN 55474
Peter A. Gallus	Vice President-Investment Administration	200 AXP Financial Center Minneapolis, MN 55474
Steve Guida	Vice President - New Business and Service	200 AXP Financial Center Minneapolis, MN 55474

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Lorraine R. Hart	Vice President - Investments Administration Officer	200 AXP Financial Center Minneapolis, MN 55474
Janis K. Heaney	Vice President - Incentive Management	200 AXP Financial Center Minneapolis, MN 55474
Henry Helman	Vice President - Brokerage Product Development	200 AXP Financial Center Minneapolis, MN 55474
Carol A. Holton	Vice President - Third Party Distribution	200 AXP Financial Center Minneapolis, MN 55474
Debra A. Hutchinson	Vice President - Technology Relationship Leader	200 AXP Financial Center Minneapolis, MN 55474
James M. Jensen	Vice President - ARD Group Product, Compensation and Field Administration	200 AXP Financial Center Minneapolis, MN 55474
Nancy E. Jones	Vice President - Interactive Business Development	200 AXP Financial Center Minneapolis, MN 55474
William A. Jones	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Ora J. Kaine	Vice President - Retail Distribution Services and Chief of Staff	200 AXP Financial Center Minneapolis, MN 55474
Theresa A. Kaminoid	Vice President - Compliance	200 AXP Financial Center Minneapolis, MN 55474
Claire Kolmodin	Vice President - Service Quality	200 AXP Financial Center Minneapolis, MN 55474
Lori J. Larson	Vice President - Brokerage and Direct Services	200 AXP Financial Center Minneapolis, MN 55474
Daniel E. Laufenberg	Vice President and Chief U.S. Economist	200 AXP Financial Center Minneapolis, MN 55474
Jane W. Lee	Vice President and General Manager Platinum Financial Services	200 AXP Financial Center Minneapolis, MN 55474
Catherine M. Libbe	Vice President - Marketing and Product Services	200 AXP Financial Center Minneapolis, MN 55474
Diane D. Lyngstad	Vice President - Lead Financial Officer U. S. Retail	200 AXP Financial Center Minneapolis, MN 55474
Tom Mahowald	Vice President - Director of Equity Research	200 AXP Financial Center Minneapolis, MN 55474
Timothy J. Masek	Vice President and Director of Fixed Income Research	200 AXP Financial Center Minneapolis, MN 55474
Mark T. McGannon	Vice President and General Sales Manager - AEFA Products	200 AXP Financial Center Minneapolis, MN 55474
Brian J. McGrane	Vice President - LFO - Finance	200 AXP Financial Center Minneapolis, MN 55474
Sarah M. McKenzie	Vice President - Managed and Brokerage Products	200 AXP Financial Center Minneapolis, MN 55474
Penny J. Meier	Vice President - Business Transformation/Stx Sigma	200 AXP Financial Center Minneapolis, MN 55474
Rebecca Nash	Vice President - Service Operations	3500 Packertand Drive DePere, WI 54115
Balakrish R. Natarajan	Vice President - Finance	200 AXP Financial Center Minneapolis, MN 55474
Francois B. Odouard	Vice President - Brokerage	200 AXP Financial Center Minneapolis, MN 55474
Michael J. O'Keeffe	Vice President - Advisory Business Systems	200 AXP Financial Center Minneapolis, MN 55474
Kris Petersen	Vice President - SPS and External Products	200 AXP Financial Center Minneapolis, MN 55474
Ronald W. Powell	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Teresa J. Rasmussen	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Mark A. Rordan	Vice President - Finance Emerging Technologies	200 AXP Financial Center Minneapolis, MN 55474
Daniel J. Rivera	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Andrew C. Schell	Vice President - Client Development & Migration	200 AXP Financial Center Minneapolis, MN 55474
Peter B. Schofield	Vice President - Auditing Service Support	200 AXP Financial Center Minneapolis, MN 55474
Gary A. Scott	Vice President - Client Acquisition Marketing	200 AXP Financial Center Minneapolis, MN 55474
Jacqueline M. Sinjem	Vice President - Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Lisa A. Steffes	Vice President - Marketing Offer Development	200 AXP Financial Center Minneapolis, MN 55474
David K. Stewart	Vice President - AEFA Controller	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey J. Stroncha	Vice President - Technologies I Resource Management/ISD	200 AXP Financial Center Minneapolis, MN 55474
John T. Sweeney	Vice President - LFO, Products Equity Research	200 AXP Financial Center Minneapolis, MN 55474
George F. Tsafaridis	Vice President - Quality & Service Support	200 AXP Financial Center Minneapolis, MN 55474
Andrew O. Washburn	Vice President - Mutual Fund Marketing	200 AXP Financial Center Minneapolis, MN 55474
Beth E. Weimer	Vice President - Chief Compliance Officer	200 AXP Financial Center Minneapolis, MN 55474
Dianne Wilson	Vice President - Insurance Products	3500 Packertand Drive DePere, WI 54115
Michael D. Wolf	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Timothy S. Meehan	Secretary	200 AXP Financial Center Minneapolis, MN 55474
Christopher J. Kopka	Money Laundering Prevention Officer	200 AXP Financial Center Minneapolis, MN 55474
Rob Bohli	Group V.P. - South Texas	10375 Richmond Ave. Ste. 600 Houston, TX 77042-4154
Walter K. Booker	Group V.P. - New Jersey	61 S. Paramus Rd. Mack-Call Off. Ctr. IV 3rd Paramus, NJ 07652

Attachment

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Bruce J. Bordon	Group V.P. - Northern California	1333 N. California Blvd Suite 200 Walnut Creek, CA 94546 7500 E Pinnacle Peak Rd. Ste A100 Scottsdale, AZ 85255-3406 4 Auburn Drive, # 100 Albany, NY 12205 8045 Leesburg Pike, Suite 500 Vienna, VA 22182 9130 S Dadeland Blvd Miami, FL 33156 6000 28th St. SE, Suite 200 Portage, MI 49645-6027 26777 Central Pk. Blvd., Ste. 365 Southfield, MI 48076-4172 108 Grove Street Worcester, MA 01605 830 Crescent Centre Dr., Suite 490 Franklin, TN 37067 3030 NW Expressway, Su 900 Oklahoma City, OK 73112 6000 Freedom Sq. Dr., Su 300 Cleveland, OH 44131 7601 France Ave., Suite 600 Edina, MN 55435-5971 801 East Campbell Road, Suite 250 Richardson, TX 75081 125 South Wacker Dr., Suite 1550 Chicago, IL 60608 11835 W Olympic Blvd., Suite 900 Los Angeles, CA 90064 500 Mamaroneck Ave. Su 220 Harris, NY 10528 333 E River Dr. East Hartford, CT 06108-4200 12312 Olive Blvd., Suite 200 Crestview, MO 63141-6448 Four Tower Bridge, 200 Barr Harbor Drive Suite 100 West Conshohocken, PA 19428 5511 Capital Center Dr., Suite 100 Raleigh, NC 27606-3365 1400 Lombardi Ave Su 201 SO Green Bay, WI 54304 101 Plaza E. Blvd Suite 320 Evansville, IN 47715 6925 Union Pk. Cr., Ste. 200 Midvale, UT 84047-4142 Two Park Plaza, Suite 1100 Irvine, CA 92614 4190 Belford Rd., Enterprise Pk. Suite 150 Jacksonville, FL 32216 188 106th Ave. NE, Suite 640 Bellevue, WA 98004-5002 3951 Westlone Pkwy., Suite 250 Richmond, VA 23233-1313 3500 Market Street Suite 200 Camphill, PA 17011 1 Galleria Blvd, Suite 1900 Metairie, LA 70001 6800 College Blvd., Ste 500 Overland Park, KS 66211-1533 61 S. Paramus Road Paramus, NJ 07652 655 Metro Place S., Suite 570 Dublin, OH 43017-3396 101 Elliot Ave. W., Suite 100 Seattle, WA 98119-4293 1445 Research Blvd., Ste 100 Rockville, MD 20850-6109 1333 N California Blvd., Suite 200 Walnut Creek, CA 94596-4559 5 Bedford Farms Bedford, NH 03110-6531 1111 Northshore Drive Knoxville, TN 37919-4060 3490 Piedmont Road Atlanta, GA 30305-1743 5950 Symphony Woods Road Suite 501 Columbia, MD 21044-3587 3731 Wilshire Blvd., Suite 610 Los Angeles, CA 90010-2824 3500 Market St., Ste 200 Camphill, PA 17011 8910 University Cr. Ln. Suite 200 San Diego, CA 92122-1023 2625 North Clark St Chicago, IL 60614-1566 7248 Tilghman Street Allentown, PA 18106 180 Montgomery St, Suite 2480 San Francisco, CA 94104-4203 1160 Town Center Dr., Suite 130 Las Vegas, NV 89144-0562
Martin T. Cole	Group V.P. - Southwest	
Arthur E. DeLorenzo	Group Vice President - Upstate New York/Vermont	
Scott M. DiGiammarino	Group V.P. - Washington D.C./ Baltimore	
Bradford L. Drew	Group V.P. - Southern Florida	
Kenneth Dylman	Group V.P. - Greater Michigan	
Gary W. Gassmann	Group V.P. - Detroit Metro	
Jon E. Hjelm	Group V.P. - Ohio Valley	
David J. Hockenberry	Group V.P. - Mid South	
Diana R. Iannarone	Group V.P. - Great Plains	
Theodore M. Jenkin	Group V.P. - Steel Cities	
Jody M. Johnson	Group V.P. - Twin Cities Metro	
Raymond G. Kelly	Group Vice President - North Texas	
Mitre Kutanovsk	Group V.P. - Chicago Metro	
Dean O. McGill	Group V.P. - Los Angeles Metro	
Thomas V. Nicolosi	Group V.P. - New York Metro Area	
Patrick H. O'Connell	Group V.P. - Southern New England	
John G. Poole	Group V.P. - Gateway/Springfield	
Larry M. Post	Group V.P. - New England	
R. Daniel Richardson III	Group V.P. - Carolinas	
Max G. Roth	Group V.P. - Wisconsin/Upper Michigan	
Russell L. Scaffano	Group V.P. - Illinois/Indiana/ Kentucky	
Albert I. Soule	Group V.P.	
Paul J. Stanislaw	Group V.P. - Southern California/Hawaii	
Craig P. Taucher	Group V.P. - Georgia/North Florida	
Neil G. Taylor	Group V.P. - Pacific Northwest	
Janet M. Vandenberg	Group V.P. - Virginia	
Donald F. Weaver	Group V.P. - Eastern PA/Delaware	
Rande L. Zellers	Group V.P. - Delta States	
Joseph D. Anderson	Field Vice President	
Christopher Bacalis	Field Vice President	
John D. Begley	Field Vice President	
Debra S. Bennett	Field Vice President	
Mitchell G. Berlin	Field Vice President	
James M. Bizzack	Field Vice President	
Robert A. Bonfiglio	Field Vice President	
Kevin D. Briggs	Field Vice President	
Jake T. Bryant	Field Vice President	
Scott W. Bryant	Field Vice President	
David L. Brundage	Field Vice President	
Gregory S. Buker	Field Vice President	
Suzanne L. Cadwallader	Field Vice President	
Thomas M. Calkusic	Field Vice President	
Timothy J. Campbell	Field Vice President	
Matthew T. Carbone	Field Vice President	
Elaina A. Carlson	Field Vice President	

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Raymond E. Carothers	Field Vice President	3490 Piedmont Rd. Atlanta, GA 30305-1743
Steven K. Cates	Field Vice President	5100 Poplar Ave., Suite 1200 Memphis, TN 38137-4000
Marc B. Cohen	Field Vice President	1 Northwind Centre Alpharetta, GA 30201
Jeremy V. Combe	Field Vice President	Two Park Plaza, Suite 1100 Irvine, CA 92614-8521
Nancy L. Congdon	Field Vice President	10200 SW Greenburg Rd. Ste. 110 Portland, OR 97223-5510
Andrew J. Cooper	Field Vice President	1333 N California Blvd., Ste 200 Walnut Creek, CA 94596-4559
Timothy B. Crain	Field Vice President	1 Galleria Blvd., Suite 1660 Metairie, LA 70001
Daniel E. Crockett	Field Vice President	14960 Oak Road Carmel, IN 46033-8982
Ian W. Davis	Field Vice President	2000 Oxford Dr., Suite 630 Bethel Park, PA 15102-1841
Mark DeCelle	Field Vice President	Suite 150 6400 Shafter Court Rosemont, IL 60018
Maria D. Dell'Ovo	Field Vice President	108 Grove Street Worcester, MA 01605
David R. DeJen	Field Vice President	1400 Lombardi Ave., Suite 201 Green Bay, WI 54304
Sylvia DeWitt	Field Vice President	14755 N Outer Forty, Ste. 500 Chesterfield, MO 63017-2027
Paul J. DiPalma	Field Vice President	100 Midway Place Cranston, RI 02920-5742
Matthew T. Echariz	Field Vice President	5405 Utica Ridge Rd., Ste 100 Davenport, IA 52807-2938
Gary L. Fisher	Field Vice President	8245 Holly Rd., Suite 204 Grand Blanc, MI 48439-2443
Patrick J. Fitzsimmons	Field Vice President	1250 Tower Lane Erie, PA 16505-2533
Paul K. Foley	Field Vice President	9442 Capital of TX Hwy., Plaza One Suite 800 Austin, TX 78758-7269
Noel I. Forma	Field Vice President	535 Connecticut Ave., Ste. 301 Norwalk, CT 06854-1727
James A. Frisone	Field Vice President	100 Arboretum, FL 1, Ste 101 Portsmouth, NH 03801-8200
Paula R. Funderburke	Field Vice President	3109 W Martin Luther King Blvd. Tampa, FL 33607-6221
Kristie A. Garrett	Field Vice President	101 Elliott Ave. W. Ste 100 Seattle, WA 98119-4293
Heather M. Gifford	Field Vice President	500 Southborough Dr. South Portland, ME 04106-6928
Russell M. Giordano	Field Vice President	325 Essay Rd., Ste. 410 Williamsville, NY 14221-8214
John M. Grad	Field Vice President	325 Essay Rd., Ste. 410 Williamsville, NY 14221-8214
Harris Greene	Field Vice President	5151 Broadway Blvd., Ste. 320 Tucson, AZ 85711-3705
John C. Greiber	Field Vice President	900 Long Lake Dr. Su 300 New Brighton, MN 55112-6440
Bruce M. Guarino	Field Vice President	6370 Magnolia Ave., Su 300 Riverside, CA 92506-2407
Jeffrey T. Hankins	Field Vice President	250 Old Wilson Bridge Rd. Worthington, OH 43085-2215
Thomas H. Harbour	Field Vice President	1000 Pine Island Rd. Ste. 500 Plantation, FL 33324-3904
David W. Heichel	Field Vice President	6000 Freedom Sq. Dr., Ste. 300 Cleveland, OH 44131
Thomas R. Hendricks	Field Vice President	6045 Rockwell Dr. NE Cedar Rapids, IA 52402-7204
John A. Henchan	Field Vice President	333 East River Drive East Hartford, CT 06108-4200
Randy M. Herr	Field Vice President-New Advisor Development	2710 Gateway Oaks Dr., Su 105 Sacramento, CA 95833-3505
Tim A. Holland	Field Vice President	Suite 300 111 Franklin Road Roanoke, VA 24011
Armin Hooman	Field Vice President-New Advisor Development	5700 Corporate Dr., Su 650 Pittsburgh, PA 15237-5829
Lori L. Hoopingarner	Field Vice President	Linthicum, MD 21090 6370 Magnolia Ave. Ste. 300
Daniel J. Horan	Field Vice President	Riverside, CA 92506-2407 7979 E Tufts Ave. Plwy., Ste. 715
William E. Hrynshyn	Field Vice President	Denver, CO 80237-2846 5700 Corporate Dr., Ste. 650
Howard A. Huckins	Field Vice President	Pittsburgh, PA 15237-5829 100 W. Broadway Suite 100
Timothy B. Hunter	Field Vice President	Glendale, CA 91210-1202 25 W. Cedar St., Suite 100
Steven Jablonski	Field Vice President	Pensacola, FL 32501-5975 1 South Church Street
Jonathan D. Jackson	Field Vice President	West Chester, PA 19382-3228 6925 Union Pl. Cir., Ste 200
Gary D. Jurden	Field Vice President	Midvale, UT 84047-6551 1499 SE Tech Center Pl
Lori K. Jury	Field Vice President	Suite 220 Vancouver, WA 98683-9575 30 D East Roseville Road Sterling Center Lancaster, PA 17601-3928

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Olin G. Kees	Field Vice President	8301 E 21st St Wichita, KS 67206-2932
David E. Keller	Field Vice President	10110 Nicholas St., Ste 200 Omaha, NE 68114-2174
Barry S. Kemp	Field Vice President	830 Crescent Cir. Dr., Ste 400 Franklin, TN 37067-6218
Christopher J. Klink	Field Vice President	17200 E Ten Mile Rd., Ste 200 Eastpointe, MI 48021-3300
Julie W. Knust	Field Vice President	2525 Bay Area Blvd., Ste. 400 Houston, TX 77058-1556
Gregg S. Konopaske	Field Vice President	6810 Rockledge Dr., Suite 400 A Bethesda, MD 20817-7812
Bruce A. Krarup	Field Vice President	3131 Newmark Dr., Ste 247 Miamisburg, OH 45342-5400
Paul Kutanovsk	Field Vice President	4220 Edison Lakes Pkwy., Ste 201 Mishawaka, IN 46545-1420
Craig D. Laday	Field Vice President	100 Overlook St., Su 410 Princeton, NJ 08540-7814
Susan I. Lamb	Field Vice President	25 Braintree Hill Pk., Suite 207 Braintree, MA 02184-8702
Steven R. LaRocco	Field Vice President	377 E. Butterfield Rd. Lombard, IL 60148-5615
John T. Laurito	Field Vice President	333 East River Dr. East Hartford, CT 06108-4200
Michael E. Lawson	Field Vice President	6000 28th Street SE Suite 200 Grand Rapids, MI 49546
Khai C. Le	Field Vice President	1580 Eisenhower Pl Ann Arbor, MI 48108-3284
Jefferson A. Ledbetter	Field Vice President	9130 S. Dadeland Blvd. Miami, FL 33156-7809
Kyle E. Lewis	Field Vice President	4506 Stephens Circ. NW Canton, OH 44718-3699
John M. Loper	Field Vice President	30 D E. Roseville Rd. Lancaster, PA 17601-3866
Tom Lozanoski	Field Vice President	270 E 90th Dr. Merrillville, IN 46410-8102
Thomas K. Luxem	Field Vice President	7767 Elm Creek Blvd., Ste. 150 Maple Grove, MN 55369-7041
Charlton H. Lyons	Field Vice President	1 Galleria Blvd., Ste 1900 Metairie, LA 70001-7553
William T. Martin	Field Vice President	100 Summit Lk. Dr. Valhalla, NY 10595-1339
Dennis K. Marvel	Field Vice President	1150 Haywood Rd. Greenville, SC 29615-2289
Daniel R. Masieffo	Field Vice President	140 Broadway Fl 43 New York, NY 10005-1101
Brett M. Macauley	Field Vice President	Kew Garden, NY 11415
Jeffrey S. Magson	Field Vice President	255 Shondine Dr., Ste 500 Redwood City, CA 94065-1431
Tyler J. Mattson	Field Vice President	1499 Tech Center Pl., Ste 220 Vancouver, WA 98683-9575
Roger McCoy	Field Vice President	7601 France Ave. So., Ste 600 Edina, MN 55435-5968
Robert A. McCuiston	Field Vice President	4870 S Lewis, Ste 240 Tulsa, OK 74105-5153
Claude C. McManus	Field Vice President	5511 Capital Center Dr., Ste. 100 Raleigh, NC 27606-3365
Thomas J. Meade	Field Vice President	6000 - 28th St SE, Ste 200 Grand Rapids, MI 49546-6927
Steven R. Merenda	Field Vice President	5 Bedford Farms Bedford, NH 03110-6531
Stephen M. Micelotta	Field Vice President	800 N Magnolia Ave., Ste. 1700 Orlando, FL 32803-3270
Glenn D. Michaels	Field Vice President	14 Bobala Rd. Holyoke, MA 01040-9632
Jason K. Mickool	Field Vice President	5151 East Broadway Su300 Tucson, AZ 85711
Scott M. Miller	Field Vice President	2 Constitution Plaza 1st Fl Boston, MA 02129-2032
Sasa Mirkovic	Field Vice President	2650 Military Tr., Two Fountain Square, Ste 100 Boca Raton, FL 33431
Timothy J. Monahan	Field Vice President	4 Atrium Dr., Ste. 100 Albany, NY 12205-1441
Francis W. Mossell III	Field Vice President	180 Admiral Cochran Dr. Annapolis, MD 21401-7300
Johnny Ng	Field Vice President	6800 College Blvd. Suite 500 Overland Park, KS 66211-1533
Thomas M. North	Field Vice President	180 Montgomery St., Ste 2480 San Francisco, CA 94104-4203
Victoria L. Oller	Field Vice President	35 Waterview Blvd. Parsippany, NJ 07054-1200
Matthew A. Olson	Field Vice President	8002 Kew Gardens, Ste 602 Kew Garden, NY 11415-3600
Geoffery Oprandy	Field Vice President	200 Meridian Cir., Suite 230 Rochester, NY 14618-3971
Robert J. Peavey	Field Vice President	200 Barn Harbor Drive Suite 100 West Conshohocken, PA 19428-2960
Eugene B. Peters	Field Vice President	5029 Corporate Woods Dr. Virginia Beach, VA 23462-4376
Clifford B. Powers	Field Vice President	100 Business Way, Ste 100 Cincinnati, OH 45241-2386
		4190 Belfort Road, Suite 320 Jacksonville, FL 32216

Attachment

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Michael J. Reardon	Field Vice President
Tracy M. Redmon	Field Vice President
John D. Rehant	Field Vice President
Elizabeth A. Reilly	Field Vice President
William J. Reiner	Field Vice President
Mark A. Ricca	Field Vice President
Mark R. Robinson	Field Vice President
Scott M. Rose	Field Vice President
Frederick C. Rouns	Field Vice President
Francis M. Rouquette III	Field Vice President
David M. Rozzano	Field Vice President
Shannon T. Ryan	Field Vice President
Bradford S. Sabol	Field Vice President
Harvinder S. Sahni	Field Vice President
Jason Salinski	Field Vice President
Lawrence D. Sangirardi	Field Vice President
Valentino A. Scaramuzzo	Field Vice President
Steve Schanback	Field Vice President
Aaron D. Schenkman	Field Vice President
Thomas M. Schuh	Field Vice President
Gregory A. Schultze	Field Vice President
Gary H. Schwartz	Field Vice President
Uwe F. Schweigert	Field Vice President
Brian J. Seastone	Field Vice President
Robert E. Sherman	Field Vice President
Michael R. Shuck	Field Vice President
Philip A. Simonides	Field Vice President
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John K. Utwick	Field Vice President
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Sergio G. Weitzman	Field Vice President
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