

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 23, 2002 8:00 am
Secretary of State

01-23-2002 90030 003 ***150.00

0608296 AT

DOCUMENT # 831571

1. Entity Name

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

Principal Place of Business

**1163 AXP FINANCIAL CENTER
MINNEAPOLIS MN 55474
US**

Mailing Address

**1163 AXP FINANCIAL CENTER
MINNEAPOLIS MN 55474
US**

110898



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

41-0973005

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☒ Delete
NAME **C**
STREET ADDRESS **HUBERS, DAVID R.**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME **List Attached**
STREET ADDRESS
CITY-ST-ZIP

TITLE ☒ Delete
NAME **D**
STREET ADDRESS **KUMAGAI, STEVEN C**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME **PCED**
STREET ADDRESS **CRACCHIOLO, JAMES M**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☒ Delete
NAME **T**
STREET ADDRESS **HORTON, JEFFREY S.**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☒ Delete
NAME **AT**
STREET ADDRESS **RITTER, STEVEN J.**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☒ Delete
NAME **AS**
STREET ADDRESS **WILLIAMS-HARRIS, VALERIE D**
CITY-ST-ZIP **200 AXP FINANCIAL CTR
MINNEAPOLIS MN 55474**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Valerie D Williams-Harris
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

Attachment

AMERICAN EXPRESS FINANCIAL ADVISORS INC.

11/01

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Name	Title	Business Address
<u>Directors</u>		
Walter S. Berman		
James M. Cracchiolo		
<u>Officers</u>		
James M. Cracchiolo	Chairman President and Chief Executive Officer	200 AXP Financial Center Minneapolis, MN 55474
Barry J. Murphy	Executive Vice President - U.S. Retail Group	200 AXP Financial Center Minneapolis, MN 55474
Ruediger Adolf	Senior Vice President	200 AXP Financial Center Minneapolis, MN 55474
Douglas A. Alger	Sr. Vice President - Human Resources	200 AXP Financial Center Minneapolis, MN 55474
Ward D. Armstrong	Senior Vice President - Retirement Services	200 AXP Financial Center Minneapolis, MN 55474
Walter S. Berman	Senior Vice President and Chief Financial Officer	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Hanratty	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Brian M. Hesth	Sr. Vice President and General Sales Manager	200 AXP Financial Center Minneapolis, MN 55474
Marietta L. Johns	Sr. Vice President - Field Management	801 E. Campbell Rd Suite 150 Richardson, TX 75081
John C. Junek	Sr. Vice President, General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Steven C. Kumagal	Sr. Vice President Direct and Interactive Group	200 AXP Financial Center Minneapolis, MN 55474
Peter A. Letterts	Senior Vice President - Corporate Strategy and Development	200 AXP Financial Center Minneapolis, MN 55474
Pamela J. Moret	Sr. Vice President - Investment Products	200 AXP Financial Center Minneapolis, MN 55474
Frederick C. Quinsfeld	Sr. Vice President - Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Rebecca K. Roloff	Sr. V.P. - Field Management & Financial Advisory Service	200 AXP Financial Center Minneapolis, MN 55474
Stephen W. Roszell	Sr. Vice President - Institutional	200 AXP Financial Center Minneapolis, MN 55474
Erven A. Samsel	Sr. Vice President Field Management	200 AXP Financial Center Minneapolis, MN 55474
Stuart A. Sedacek	Sr. Vice President and	200 AXP Financial Center Minneapolis, MN 55474
Bridget Spert	Sr. Vice President - Client Service Organization	200 AXP Financial Center Minneapolis, MN 55474
John R. Thomas	Sr. Vice President - Information and Technology	200 AXP Financial Center Minneapolis, MN 55474
William F. Ted Truscott	Sr. Vice President, Chief Investment Officer	200 AXP Financial Center Minneapolis, MN 55474
Michael R. Woodward	Sr. Vice President Field Management	32 Elliot St Suite 100 Batavia, NY 14020
Teresa J. Rasmussen	Managing Counsel - Products Group	200 AXP Financial Center Minneapolis, MN 55474
Beth E. Weimer	Chief Compliance Officer	200 AXP Financial Center Minneapolis, MN 55474
Ronald G. Abrahamson	Vice President - Business Transformation	200 AXP Financial Center Minneapolis, MN 55474
Guner C. Alvero	Vice President - Annuities	200 AXP Financial Center Minneapolis, MN 55474
John M. Baker	Vice President - Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Dudery Barksdale	Vice President - Service Development	200 AXP Financial Center Minneapolis, MN 55474
Joseph M. Barsky III	Vice President Mutual Fund Equities	200 AXP Financial Center Minneapolis, MN 55474
Timothy V. Bechtold	Vice President - Risk Management Products	200 AXP Financial Center Minneapolis, MN 55474
Douglas W. Brewers	Vice President Sales Support	200 AXP Financial Center Minneapolis, MN 55474
Kenneth J. Clark	Vice President & Gen. Mgr. - IDS Property Casualty	200 AXP Financial Center Minneapolis, MN 55474
Paul A. Connolly	Vice President - Retail Distribution Services	200 AXP Financial Center Minneapolis, MN 55474
Colleen Curran	Vice President & Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Luz Maria Davis	Vice President - Communications	200 AXP Financial Center Minneapolis, MN 55474
Douglas K. Dunning	Vice President - Assured Assets Product Development and Management	200 AXP Financial Center Minneapolis, MN 55474
Robert M. Elconin	Vice President - Government Relations	200 AXP Financial Center Minneapolis, MN 55474
Gordon M. Fines	Vice President - Mutual Fund Equity Investments	200 AXP Financial Center Minneapolis, MN 55474
Judy Forker	Vice President - Relationship Leader Investments	200 AXP Financial Center Minneapolis, MN 55474
Douglas L. Forsberg	Vice President - International	200 AXP Financial Center Minneapolis, MN 55474
Peter A. Galkus	Vice President - Investment Administration	200 AXP Financial Center Minneapolis, MN 55474

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Derek G. Gledhill	Vice President-Integrated Financial Services	200 AXP Financial Center Minneapolis, MN 55474
David A. Hammer	Vice President and Marketing Controller	200 AXP Financial Center Minneapolis, MN 55474
Lorraine R. Hart	Vice President - Insurance Investments	200 AXP Financial Center Minneapolis, MN 55474
Janis K. Heaney	Vice President-Incentive Management	200 AXP Financial Center Minneapolis, MN 55474
Carol A. Holton	Vice President - Third Party Distribution	200 AXP Financial Center Minneapolis, MN 55474
Debra A. Hutchinson	Vice President - Relationship Leader	200 AXP Financial Center Minneapolis, MN 55474
James M. Jensen	Vice President - ARD Product, Compensation and Field Administration	200 AXP Financial Center Minneapolis, MN 55474
Nancy E. Jones	Vice President - Business Development	200 AXP Financial Center Minneapolis, MN 55474
Ora J. Kalne	Vice President - Retail Distribution Services and Chief of Staff	200 AXP Financial Center Minneapolis, MN 55474
Linda B. Keene	Vice President-Market Development	200 AXP Financial Center Minneapolis, MN 55474
John M. Knight	Vice President - Investment Accounting	200 AXP Financial Center Minneapolis, MN 55474
Claire Kolmodin	Vice President - Service Quality	200 AXP Financial Center Minneapolis, MN 55474
Bruce G. Lamo	Vice President - Institutional Products	200 AXP Financial Center Minneapolis, MN 55474
Kurt A. Larson	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Lori J. Larson	Vice President-Brokerage and Direct Services	200 AXP Financial Center Minneapolis, MN 55474
Daniel E. Laufenberg	Vice President and Chief U.S. Economist	200 AXP Financial Center Minneapolis, MN 55474
Jane W. Lee	Vice President-New Business Development and Marketing	200 AXP Financial Center Minneapolis, MN 55474
Steve Lobo	Vice President - Investment Risk Management	200 AXP Financial Center Minneapolis, MN 55474
Fred A. Mandell	Vice President - Distribution Channel Marketing	200 AXP Financial Center Minneapolis, MN 55474
Timothy J. Massek	Vice President and Director of Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Sarah M. McKenzie	Vice President - Wrap and Trust Products	200 AXP Financial Center Minneapolis, MN 55474
Paula R. Meyer	Vice President - Mutual Funds	200 AXP Financial Center Minneapolis, MN 55474
Shashank B. Modak	Vice President - Technology Leader	200 AXP Financial Center Minneapolis, MN 55474
Mary Owens Neal	Vice President-Consumer Marketing Segment	200 AXP Financial Center Minneapolis, MN 55474
Scott M. Nelson	Vice President - Alternative Investments	200 AXP Financial Center Minneapolis, MN 55474
Francois B. Odouard	Vice President	200 AXP Financial Center Minneapolis, MN 55474
Michael J. O'Keefe	Vice President - Advisory Business Systems	200 AXP Financial Center Minneapolis, MN 55474
James R. Palmer	Vice President - Taxes	200 AXP Financial Center Minneapolis, MN 55474
Carla P. Pavone	Vice President - Product Business Development	200 AXP Financial Center Minneapolis, MN 55474
Kris Petersen	Vice President - Non Proprietary Products	200 AXP Financial Center Minneapolis, MN 55474
Susan B. Plimpton	Vice President - Marketing Services	200 AXP Financial Center Minneapolis, MN 55474
Ronald W. Powell	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
James M. Punch	Vice President - Branded Platform Project	200 AXP Financial Center Minneapolis, MN 55474
Rollyn C. Renstrom	Vice President - Corporate Planning and Analysis	200 AXP Financial Center Minneapolis, MN 55474
Theresa M. Sapp	Vice President - Relationship Leader	200 AXP Financial Center Minneapolis, MN 55474
Donald K. Shanks	Vice President-Property Casualty	200 AXP Financial Center Minneapolis, MN 55474
Judy P. Skoglund	V.P. - Quality and Service Support	200 AXP Financial Center Minneapolis, MN 55474
Lisa A. Steffes	Vice President - Marketing Offer Development	200 AXP Financial Center Minneapolis, MN 55474
James J. Strauss	Vice President and General Auditor	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey J. Strenche	Vice President - Information Resource Management/ISD	200 AXP Financial Center Minneapolis, MN 55474
Barbara Stroup Stewart	Vice President- Channel Development	200 AXP Financial Center Minneapolis, MN 55474
Keith N. Tufte	Vice President and Director of Equity Research	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey F. Welter	Vice President-Equity & Fixed Income Trading	200 AXP Financial Center Minneapolis, MN 55474
Edwin M. Wistrand	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Michael D. Wolf	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Doretha R. Wright	Vice President	200 AXP Financial Center Minneapolis, MN 55474
David L. Yowan	Vice President and Treasurer	200 AXP Financial Center Minneapolis, MN 55474

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Brent L. Bisson	Group V.P.-Los Angeles Metro	11835 W. Olympic Blvd. Suite 900 E. Los Angeles, CA 90064
Walter K. Booker	Group V.P.-New Jersey	61 S. Paramus Rd. Mack-Cali Off. Ctr. IV 3rd Paramus, NJ 07652
Bruce J. Bortelon	Group V.P. San Francisco Bay Area	1333 N. California Blvd Suite 200 Walnut Creek, CA 94546
Charles R. Branch	Group V.P.-Northwest	1313 N. Atlantic St. Suite 4800 Spokane, WA 99201-2303
Henry J. Cormier	Group V.P.-Connecticut	333 East River Drive East Hartford, CT 06108
John M. Crawford	Group V.P.-Arkansas/Springfield/Memphis	10800 Financial Ctr. Plwy., Suite 200 Little Rock, AR 72211
Kevin F. Crowe	Group V.P.-Carolinas/Eastern Georgia	7300 Carmel Executive Park, Suite 312 Charlotte, NC 28226
Athur E. DeLorenzo	Group Vice President - Upstate New York	4 Atrium Drive, # 100 Albany, NY 12205
Scott M. DiGiammarino	Group V.P.-Washington/Baltimore	8045 Leesburg Pike, Suite 500 Vienna, VA 22182
Bradford L. Drew	Group V.P.-Eastern Florida	9130 S Dadeland Blvd Miami, FL 33156
James P. Egge	Group V.P. - Western Iowa, Nebraska and Dakotas	South Western Ave. # 102 Sioux Falls, SD 57108-2677
William P. Fritz	Group V.P. - Gateway	12312 Olive Blvd., Suite 200 Creve Coeur, MO 63141-6448
Robert L. Harden	Group V.P.-Boston Metro	25 Braintree Hill Park, Suite 301 Braintree, MA 02184-8702
Jon E. Hjem	Group V.P. - Rhode Island/Central Western Massachusetts	108 Grove Street Worcester, MA 01605
David J. Hockenberry	Group V.P.-Eastern Tennessee	830 Crescent Centre Dr., Suite 490 Franklin, TN 37067
Diana R. Iannarone	Group V.P. - Kansas/Oklahoma	3030 NW Expressway, Su 900 Oklahoma City, OK 73112
Theodore M. Jenkin	Group V.P. - Cleveland Metro	6000 Freedom Sq. Dr., Su 300 Cleveland, OH 44131
Raymond G. Kelly	Group Vice President - North Texas	801 East Campbell Road, Suite 250 Richardson, TX 75081
Milne Kutanowski	Group V.P.-Chicago Metro	125 South Wacker Dr., Suite 1550 Chicago, IL 60606
Thomas V. Nicolosi	Group V.P.-New York Metro Area	500 Mamaroneck Ave. Su 220 Harts, NY 10528
Marc A. Parker	Group Vice President Portland/Eugene	1800 SW 1st Avenue, Suite 1 Portland, OR 97201
Larry M. Post	Group V.P.-Philadelphia Metro and Northern New England	Four Tower Bridge, 200 Barr Harbor Drive Suite 100 West Conshohocken, PA 19428
R. Daniel Richardson	Group V.P.-Southern Texas	1250 Capitol of Texas Hwy. S. Bldg 2 Su 260 Austin, TX 78746
Max G. Roth	Group V.P.-Wisconsin/Upper Michigan	1400 Lombard Ave Su 201 SO Green Bay, WI 54304
Diane Ruebling	Group Vice President - Central California/Western Nevada	3017 Douglas Blvd. Suite 300 Roseville, CA 95661
Russell L. Scalfano	Group V.P.-Illinois/Indiana/Kentucky	101 Plaza E. bld Suite 320 Evansville, IN 47715
William G. Scholtz	Group V.P. - Arizona/Las Vegas	7500 E. Pinnacle Peak Rd., Suite A100 Scottsdale, AZ 85255-3405
Paul J. Stanislaw	Group V.P.-Southern California	Two Park Plaza, Suite 1100 Irvine, CA 92614
Lois A. Stowell	Group V.P.- Outstate Minnesota Area/North Dakota/Western Wisconsin	10405 6th Ave. N. Su 140, 55 W. Financial Ctr. Plymouth, MN 55441
Craig P. Taucher	Group V.P.-Orlando/Jacksonville	4190 Belfort Rd., Enterprise Pk. Suite 150 Jacksonville, FL 32216
Neil G. Taylor	Group V.P.-Seattle/Tacoma/Hawaii	188 106th Ave. NE, Suite 640 Bellevue, WA 98004-5902
Janet M. Vandenberg	Group V. P. - Virginia	3951 Westlawn Plwy., Suite 250 Richmond, VA 23233-1313
Peter S. Velard	Group V.P.-Atlanta/Birmingham	2475 Northwinds Plwy., Suite 101 Alpharetta, GA 30201
Charles F. Wachendorfer	Group V.P.-Detroit Metro	26777 Central Park Blvd., Suite 350 Southfield, MI 48076
Donald F. Weaver	Group V.P. - Greater Pennsylvania	3500 Market Street Suite 200 Camphill, PA 17011
William J. Williams	Group V.P.- Twin Cities Metro	Centennial Lakes Office Park 7601 France Ave. S., Suite 600 Edina, MN 55435-5971
Rande L. Zellers	Group V.P.-Gulf States	1 Galleria Blvd. Suite 1900 Metairie, LA 70001
Rodney P. Alexander	Field Vice President	11590 Century Blvd., Suite 204 Cincinnati, OH 45246
Roger A. Auger	Field Vice President	500 Southborough Drive Southborough Office Park South Portland, ME 04106
Debra S. Bennett	Field Vice President	101 Elliot Ave. W., Suite 100 Seattle, WA 98119-4293
Gary L. Bernard	Field Vice President	18167 U.S. Highway 19 N. Su 100 Clearwater, FL 34624
William J. Bezdichek	Field Vice President	400 First St., Su 290 St. Cloud, MN 56301-3600
James M. Bizzack	Field Vice President	1333 N California Blvd., Suite 200 Walnut Creek, CA 94596-4559
David Boccignone	Field Vice President	Suite 200 6833 Statter Drive Rockford, IL 61108

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Robert L. Bohl	Field Vice President-New Advisor Development	Suite 600 10375 Richmond Avenue Houston, TX 77042
David L. Brundage	Field Vice President	3731 Wilshire Blvd., Suite 610 Los Angeles, CA 90010-2824
Matthew J. Burke	Field Vice President	9200 Keystone E. Crossing Suite 100 Indianapolis, IN 46240-4699
Thomas A. Burke	Field Vice President	Suite 200 12312 Olive Boulevard Westview Place Creve Coeur, MO 63141
Bruce G. Bushman	Field Vice President	W. 111 North River Dr Su 200 Spokane, WA 99201
Thomas M. Calkusic	Field Vice President	2625 North Clark St Chicago, IL 60614-1566
Timothy J. Campbell	Field Vice President	7248 Tighman Street Allentown, PA 18106
Matthew T. Carbone	Field Vice President	180 Montgomery St Suite 2480 San Francisco, CA 94104-4203
Raymond E. Carothers	Field Vice President	3490 Piedmont Rd. Atlanta, GA 30305-1743
Gregory V. Choquette	Field Vice President	15800 West Bluemound Road, Suite 120 Brookfield, WI 53005-6003
Martin T. Cole	Field Vice President	175 Hillside Road Cranston, RI 02920-5602
Nancy L. Congdon	Field Vice President	6902 SE Lake Rd., Su 301 Milwaukee, OR 97267-2148
Mark DeCele	Field Vice President	Suite 150 6400 Shafer Court Rosemont, IL 60018
Frederick T. Driscoll	Field Vice President	25 Braintree Hill Park Braintree, MA 02184
Kenneth S. Dylman	Field Vice President	655 Metro Place S., Suite 130 Dublin, Ohio 43017
Kevin H. Fringer	Field Vice President	5100 Poplar Ave., Suite 1200 Memphis, TN 38137-1200
Gary W. Gassman	Field Vice President	Suite 530 1999 S. Bascom Ave Campbell, CA 95008
Gerald B. Gill	Field Vice President	7979 E. Turf Ave. Pkwy. Suite 715 Denver, CO 80237-2846
Jeffrey B. Greco	Field Vice President	Islandia Pavilion 1377 Motor Parkway Hauppauge, NY 11788
John C. Greiber	Field Vice President	900 Long Lake Dr. Su 300 New Brighton, MN 55112-6440
Bruce M. Guarino	Field Vice President	6370 Magnolia Ave., Su 300 Riverside, CA 92506-2407
Thomas R. Hendricks	Field Vice President	6045 Rockwell Dr. NE Cedar Rapids, IA 52402-7204
John A. Henehan	Field Vice President	333 East River Drive East Hartford, CT 06108-4200
Randy M. Herr	Field Vice President-New Advisor Development	2710 Gateway Oaks Dr., Su 105 Sacramento, CA 95833-3505
Tim A. Holland	Field Vice President	Suite 300 111 Franklin Road Roanoke, VA 24011
Armin Hooman	Field Vice President-New Advisor Development	5700 Corporate Dr., Su 650 Pittsburgh, PA 15237-5829
William E. Hrynystyn	Field Vice President-New Advisor Development	Linthicum, MD 21090
Larry R. Jensen	Field Vice President	10101 Reunion Pl., Su 100 San Antonio, TX 78216-4165
Gregory C. Johnson	Field Vice President	830 Crescent Centre Dr., Suite 490 Franklin, TN 37067-7217
Jody M. Johnson	Field Vice President	499 Thoma St. Edison, NJ 08837-2235
Ira Alan Johnston	Field Vice President	10405 56th Ave. N., Suite 200 Plymouth, MN 55441-6393
Michael K. Jones	Field Vice President-New Advisor Development	Osborne Office Center, Su 602 Chattanooga, Tennessee 37411
Raymond R. Jones	Field Vice President-Marketing/ Risk Management/FES	4220 Edison Lakes Pkwy., Su 201 Mishawaka, IN 46545-1420
Olin G. Kees	Field Vice President	250 Old Wilson Bridge, Su 120/15 Worthington, OH 43085-2215
Gregg S. Konopaske	Field Vice President	8301 E 21st St Wichita, KS 67206-2932
Craig D. Laday	Field Vice President	6610 Rockledge Dr., Suite 400 A Bethesda, MD 20817-7812
Susan I. Lamb	Field Vice President	100 Overlook Str., Su 410 Princeton, NJ 08540-7814
Craig S. Landers	Field Vice President-Veteran Advisor Development	25 Braintree Hill Pk., Suite 207 Braintree, MA 02184-8702
Michael E. Lawson	Field Vice President	3200 E Camelback, Su 129 Phoenix, AZ 85018-2397
Tom Lozanoski	Field Vice President	6000 28th Street SE Suite 200 Grand Rapids, MI 49546
William T. Martin	Field Vice President	270 E 90th Dr. Merrillville, IN 46410-8102
Daniel R. Masiello	Field Vice President	100 Summit Lk. Dr. Valhalla, NY 10595-1339
		140 Broadway Fl 43 New York, NY 10005-1101
		Kew Garden, NY 11415

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Dean O. McGill	Field Vice President	1333 North California Blvd Suite 200 Walnut Creek, CA 94596
Steven R. Merenda	Field Vice President	800 N. Magnolia Ave., Suite 1700 Orlando, FL 32803-3247
Glenn D. Michaels	Field Vice President	5151 East Broadway Su300 Tucson, AZ 85711
Scott M. Miller	Field Vice President	2650 Military Tr., Two Fountain Square, Ste 100 Boca Raton, FL 33431
Francis W. Mossett III	Field Vice President	6800 College Blvd. Suite 500 Overland Park, KS 66211-1533
Madison F. Mulkey	Field Vice President	5000 E Spring St., Suite 200 Long Beach, CA 90815-5215
Homer L. Nottingham	Field Vice President	300 Esplanade Drive Su 1450 Oxnard, CA 93030
Patrick H. O'Connell	Field Vice President	200 Bellevue Parkway Bellevue Park Corp Ctr Wilmington, DE 19809
Matthew A. Olson	Field Vice President	200 Meridian Cir., Suite 230 Rochester, NY 14618-3971
Geoffery Oprandy	Field Vice President	200 Barr Harbor Drive Suite 100 West Conshohocken, PA 19428-2960
Stephen R. Palen	Field Vice President	207 Hwy 52 N Rochester, MN 55901
Calvin J. Perry	Field Vice President	Suite 255 900 Wilshire Drive Troy, MI 48064
Mary Lee Pierce	Field Vice President	6565 Americas Parkway NE, Suite 350 Albuquerque, NM 87110
John G. Poole	Field Vice President	1500 Urban Center Drive Suite 200 Birmingham, AL 35242
Clifford B. Powers	Field Vice President	4190 Belfort Road, Suite 320 Jacksonville, FL 32216
Michael J. Reardon	Field Vice President	1105 Laurel Oaks Rd Voorhees, NJ 08043
John D. Rehani	Field Vice President	901 St. Louis Drive The Hammons Tower Springfield, MO 65806
Mark R. Robinson	Field Vice President	101 Elliot Ave. W., Su 100 Seattle, WA 98119-4293
Francis M. Rouquette III	Field Vice President	14800 Landmark Blvd. Su 800 Dallas, TX 75240-7500
Shannon T. Ryan	Field Vice President	801 E Campbell Rd., Suite 250 Richardson, TX 75081-1887
Valentino A. Scaramuzzo	Field Vice President	1120 Avenue of the Americas 19th Floor New York, NY 10036
Steve Schanback	Field Vice President	188 106th Avenue N.E. Bellevue, WA 98004
Uwe F. Schweigert	Field Vice President-New Advisor Development	7300 Camel Exec., Su 300 Charlotte, NC 28226-3626
Albert W. Sharp	Field Vice President-Veteran Advisor Development	600 Freedom Sq. Dr., Su 300 Cleveland, OH 44131-2547
Philip A. Simonides	Field Vice President	8045 Leesburg Pike, Suite 500 Vienna, VA 22182-2796
Albert L. Soule	Field Vice President	3109 W. Dr. Martin Luther King Blvd Suite 150 Tampa, FL 33607
Barry L. Stockdale	Field Vice President	860 Airport Freeway W., Suite 401 Hurst, TX 76054-3249
Mary E. Terry	Field Vice President	2600 Westown Pkwy., Su 110 West Des Moines, IA 50266-7301
Paul D. Tiller	Field Vice President	5029 Corporate Woods, Su 190 Virginia Beach, VA 23462-4376
Jimmy R. Turner	Field Vice President	3030 Northwest Expressway Suite 900 Oklahoma City, OK 73112-5404
John K. Uwick	Field Vice President	1671 Worchester Road Frammingham, MA 01701
Carlos Viera	Field Vice President	8045 Leesburg Pike Suite 500 Vienna, VA 22182
Sergio G. Weltzman	Field Vice President	10375 Richmond Ave., Suite 600 Houston, TX 77042-4143
Robert K. Whalen	Field Vice President	Suite 300 31555 W. Fourteen Mile Road Farmington Hills, MI 48334
Steven R. Wimber	Field Vice President	101 Plaza East Blvd, Suite 201 Evansville, IN 47715-3500
Gayle W. Winfree	Field Vice President	1 Galleria Blvd., Su 1900 Metairie, LA 70001-2082
Vernon V. Wong	Field Vice President	Suite 1736 1585 Kapiolani Blvd Honolulu, HI 96814
Richard C. Young, Jr.	Field Vice President	501 Wando Park Blvd Suite 130 Mount Pleasant, SC 29464
Timothy S. Meehan	Secretary	200 AXP Financial Center Minneapolis, MN 55474
Robert A. Andreacchio	Associate Vice President	1105 Laurel Oaks Rd., Suite 165 Voorhees, NJ 08043-4313

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Gregory P. Athur	Associate Vice President	7300 Carmel Exec. Pk., Suite 300 Charlotte, NC 28226-1323
Richard F. Barnett	Associate Vice President	2121 New Road, Suite 12 Linwood Professional Plaza Linwood, NJ 08221-1020
Maria D. Batastini	Associate Vice President	104 Grove St., Unit 238 Worcester, MA 01615-1265
John A. Beaudoin	Associate Vice President	101 Plaza E Blvd., Suite 201 Evensville, IN 47715-3500
Richard N. Beletz	Associate Vice President	47 W Polk St. Chicago, IL 60605-2000
Mitchell G. Berlin	Associate Vice President	6903 Rockledge Dr., Suite 1230 Bethesda, MD 20817-1862
Robert A. Bortiglio	Associate Vice President	5 Bedford Farms Bedford, NH 03110-6531
Kevin D. Briggs	Associate Vice President	1111 Northshore Dr., Suite 1305 Knoxville, TN 37919-4005
Scott W. Bryant	Associate Vice President	5950 Symphony Woods Rd., Suite 501 Columbia, MD 21044-3587
Suzanne L. Cadwallader	Associate Vice President	8910 University Cir., Ln., Suite 200 San Diego, CA 92122-1023
Elaina A. Carlson	Associate Vice President	900 Long Lake Rd., Suite 300 New Brighton, MN 55112-6428
Steven K. Cates	Associate Vice President	4190 Belfort Rd., Suite 150 Jacksonville, FL 32216-1407
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