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FILED
Apr 20 1998 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1998



FLORIDA DEPARTMENT OF STATE
Sandra B. Northam
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 829797 (0)
1. Corporation Name
THE EXCESS AND SPECIAL RISK MARKET, INC.



Principal Place of Business
777 SAN MARIN DR
% CORP SECRETARY'S OFFICE
NOVATO CA 94988

Mailing Address
777 SAN MARIN DR
% CORP SECRETARY'S OFFICE
NOVATO CA 94988

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified
03/27/1973

4. FEI Number
94-2160031

Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐ \$5.00 May Be
Added to Fees

8. This corporation owes or has paid the current year Intangible
Personal Property Tax due June 30. ☐ Yes ☒ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip Country

9. Name and Address of Current Registered Agent

DUDLEY, MICHAEL E
5310 CYPRESS CENTER DRIVE
TAMPA FL 33609

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE D
NAME HANSMeyer, HERBERT F.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA ☐ DELETE

TITLE PCEO
NAME POLLARD, DAVID R
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA ☐ DELETE

TITLE SVT
NAME MARSH, HAROLD N. III
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA ☐ DELETE

TITLE SV
NAME SWANSON, THOMAS A.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA ☒ DELETE

TITLE SV
NAME WARREN, RICHARD G.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA ☐ DELETE

TITLE DCFO
NAME POST, JEFFREY H
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA 94988 ☐ DELETE

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP ☐ Change ☐ Addition

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP ☐ Change ☐ Addition

3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP ☐ Change ☐ Addition

4.1 TITLE S/V
4.2 NAME Janet S. Kloenhamer
4.3 STREET ADDRESS 777 San Marin Drive
4.4 CITY-ST-ZIP Novato CA 94988 ☐ Change ☒ Addition

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP ☐ Change ☐ Addition

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP ☐ Change ☐ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address

CR2E034 (10/97)

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THE EXCESS AND SPECIAL RISK MARKET, INC.

PURPOSE: To act as a managing general agent for insurers; acting as an insurance broker; acting as a special lines surplus line broker and surplus line broker; and performing all services of an insurance production agency.

DIRECTORS

Gary E. Black
Herbert F. Hansmeyer
Jeffrey H. Post

David R. Pollard
Thomas E. Rowe
Joe L. Stinnette, Jr.

ELECTED OFFICERS

Joe L. Stinnette, Jr.
David R. Pollard

Jeffrey H. Post

Janet S. Kloenhamer

Harold N. Marsh, III

Richard G. Warren

Chairman of the Board
President and
Chief Executive Officer
Executive Vice President and
Chief Financial Officer
Senior Vice President, General
Counsel and Corporate Secretary
Senior Vice President and
Treasurer
Senior Vice President and
Controller

APPOINTED OFFICERS

Janet M. Holland

Assistant Secretary

Home office address: All of the above are located at 777 San Marin Drive, Novato, CA 94998 unless noted.