

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

1-2

DOCUMENT # 829797 (0)

1. Corporation Name

THE EXCESS AND SPECIAL RISK MARKET, INC.



Principal Place of Business

Mailing Address

777 SAN MARIN DR
% CORP SECRETARY'S OFFICE
NOVATO CA 94998

777 SAN MARIN DR
% CORP SECRETARY'S OFFICE
NOVATO CA 94998

3. Date Incorporated or Qualified

03/27/1973

3a. Date of Last Report

04/19/1995

2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

4. FEI Number

94-2160031

Applied For

Not Applicable

5. Certificate of Status Desired

☐ \$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐ \$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s 199.032,
Florida Statutes ☐ Yes ☒ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

DUDLEY, MICHAEL E
5310 CYPRESS CENTER DRIVE
TAMPA FL 33609

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title, if applicable.

(NOTE: Registered Agent signature required when remaining)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE C ☐ DELETE

NAME HANSMEYER, HERBERT F.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA

TITLE P ☐ DELETE

NAME KOO, TIMOTHY T. M.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA

TITLE SVT ☐ DELETE

NAME MARSH, HAROLD N. III
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA

TITLE SV ☐ DELETE

NAME SWANSON, THOMAS A.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA

TITLE SV ☐ DELETE

NAME WARREN, RICHARD G.
STREET ADDRESS 777 SAN MARIN DR
CITY-ST-ZIP NOVATO CA

TITLE ☐ DELETE

NAME
STREET ADDRESS
CITY-ST-ZIP

1.1 TITLE

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

Director

☒ Change ☐ Addition

☐ Change ☐ Addition

☐ Change ☐ Addition

☐ Change ☐ Addition

☐ Change ☐ Addition

☐ Change ☒ Addition

D/CFO
Jeffrey H. Post
777 San Marin Drive
Novato CA 94998

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Robert M. Holland
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR
Robert M. Holland, Asst. Secretary

4/26/96 (415) 899-3621

Date

Daytime Phone #

CR2E034 (12/95)

829797

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THE EXCESS AND SPECIAL RISK MARKET, INC.

PURPOSE: To act as a managing general agent for insurers; acting as an insurance broker; acting as a special lines surplus line broker and surplus line broker; and performing all services of an insurance production agency.

DIRECTORS

Herbert F. Hansmeyer
Timothy T. M. Koo

Jeffrey H. Post
Joe L. Stinnette, Jr.

ELECTED OFFICERS

Joe L. Stinnette, Jr.
Timothy T.M. Koo

Jeffrey H. Post

Harold N. Marsh, III

Thomas A. Swanson

Richard G. Warren

Chairman of the Board
President and
Chief Executive Officer
Executive Vice President and
Chief Financial Officer
Senior Vice President and
Treasurer
Senior Vice President, General
Counsel and Corporate Secretary
Senior Vice President and
Controller

APPOINTED OFFICERS

Janet M. Holland

Assistant Secretary

Home office address: All of the above are located at 777 San Marin Drive, Novato, CA 94998.