

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Mar 14, 2002 8:00 am**  
**Secretary of State**

03-14-2002 90331 014 \*\*\*150.00

2916990  
 NI

**DOCUMENT # 828190**

1. Entity Name

**SUN LIFE ASSURANCE COMPANY OF CANADA (U.S.)**

Principal Place of Business

**ONE SUN LIFE EXECUTIVE PARK  
 WELLESLEY HILLS MA 02181  
 US**

Mailing Address

**ONE SUN LIFE EXECUTIVE PARK  
 SC 1335  
 WELLESLEY HILLS MA 02181  
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

**04-2461439**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional  
 Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**TREASURER OF THE STATE OF FLORIDA  
 INSURANCE COMMISSIONER  
 THE CAPITOL  
 TALLAHASSEE FL**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
 Tax filing requirement and elects to do so.  
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00  
 After May 1, 2002 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing  
 Trust Fund Contribution. ☐

**\$5.00 May Be  
 Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete  
 NAME **VC/D**  
 STREET ADDRESS **PRIEUR, C. JAMES**  
 CITY-ST-ZIP **150 KING STREET WEST  
 TORONTO, ONTARIO, CANADA M5H-1J9**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME **P/D**  
 STREET ADDRESS **MCNULTY, JAMES A III**  
 CITY-ST-ZIP **ONE SUN LIFE EXECUTIVE PARK  
 WELLESLEY HILLS MA 02181**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME **V/T**  
 STREET ADDRESS **SCOON, DAVEY S**  
 CITY-ST-ZIP **ONE SUN LIFE EXECUTIVE PARK  
 WELLESLEY HILLS MA 02181**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME **S**  
 STREET ADDRESS **KING, ELLEN B**  
 CITY-ST-ZIP **ONE SUN LIFE EXECUTIVE PARK  
 WELLESLEY HILLS MA 02181**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME **C/D**  
 STREET ADDRESS **STEWART, DONALD A**  
 CITY-ST-ZIP **150 KING STREET WEST  
 TORONTO, ONTARIO, CANADA M5H-1J9**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME **V**  
 STREET ADDRESS **FERNANDES, RONALD J**  
 CITY-ST-ZIP **ONE COPLEY PLACE  
 BOSTON MA 02116**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:** *Ellen B. King*  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

2/20/2002

(781) 446-2486

Date

Daytime Phone #

CR2E034 (9/01)

## Directors, Officers Report

### Sun Life Assurance Company of Canada (U.S.)

420237

Feb 22, 2002

#### DIRECTORS

|                              |                                                                                                                                                                |
|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Donald A. Stewart</b>     | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Sun Life Assurance Company of Canada<br>150 King Street West<br>Toronto, Ontario M5H 1J9 Canada<br>416-979-6360<br>416-974-9251                                |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>C. James Prieur</b>       | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Sun Life Assurance Company of Canada<br>6th floor<br>150 King Street West<br>Toronto, Ontario M5H 1J9 Canada<br>416-979-4191<br>416-585-9474                   |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>James A. McNulty, III</b> | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Sun Life Assurance Company of Canada - US Operations<br>One Sun Life Executive Park<br>Wellesley Hills, MA 02481 USA<br>781-446-1530<br>781-237-6309           |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>James Cameron Baillie</b> | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Torys<br>Box 270, TD Centre<br>Maritime Life Tower<br>Suite 3000, 79 Wellington Street West<br>Toronto, Ontario M5K 1N2 Canada<br>416-865-8225<br>416-865-8123 |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>David D. Horn</b>         | <b>Director</b>                                                                                                                                                |
| Primary Address:             | 257 Lake Street<br>P.O. Box 24<br>New Vineyard, ME 04956<br>207-652-2414<br>207-557-4806                                                                       |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>Angus A. MacNaughton</b>  | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Genstar Investment Corporation<br>555 California Street, Suite 4850<br>San Francisco, CA 94104<br>925-736-0665<br>925-736-0664                                 |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>S. Caesar Raboy</b>       | <b>Director</b>                                                                                                                                                |
| Primary Address:             | 220 Boylston Street<br>Boston, MA 02110<br>617-351-2030<br>617-351-2279                                                                                        |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |
| <b>William W. Stinson</b>    | <b>Director</b>                                                                                                                                                |
| Primary Address:             | Apt. 2402<br>2000 Somervale Court S.W.<br>Calgary, AB T2Y 4J1 Canada<br>704-347-6811<br>403-218-8092                                                           |
| Primary Phone:               |                                                                                                                                                                |
| Primary Fax:                 |                                                                                                                                                                |

**EXECUTIVE OFFICERS**

**Donald A. Stewart**

**Chairman**

Primary Address: Sun Life Assurance Company of Canada  
150 King Street West  
Toronto, Ontario M5H 1J9 Canada  
Primary Phone: 416-979-6360  
Primary Fax: 416-974-9251

**C. James Prieur**

**Vice-Chairman**

Primary Address: Sun Life Assurance Company of Canada  
6th floor  
150 King Street West  
Toronto, Ontario M5H 1J9 Canada  
Primary Phone: 416-979-4191  
Primary Fax: 416-585-9474

**James A. McNulty, III**

**President**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481  
Primary Phone: 781-446-1530  
Primary Fax: 781-237-6309

**James M.A. Anderson**

**Vice-President, Investments**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481  
Primary Phone: 781-446-1780  
Primary Fax: 781-237-6309

**Robin L. Camara**

**Vice-President, Human Resources & Administrative Services**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-1318  
Primary Fax: 781-237-6309

**Peter F. Demuth**

**Vice President, Chief Strategy and Business Development Officer**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-1217  
Primary Fax: 781-446-3250

**Mark W. DeTora**

**Vice-President, Individual Insurance**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481  
Primary Phone: 781-446-1910  
Primary Fax: 781-237-6309

**Ronald J. Fernandes**

**Vice-President, Retirement Products & Services**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley, MA 02481  
Primary Phone: 781-263-4600  
Primary Fax: 781-304-5328

**Ellen B. King**

**Assistant Vice President and Senior Counsel and Secretary**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-2486  
Primary Fax: 781-237-0707

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Sun Life Assurance Company of Canada (U.S.)

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**Philip K Polkinghorn**

**Vice-President, Retirement Products & Services**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 800-633-4500  
Primary Fax: 617-526-1740

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**Davey S. Scoon**

**Vice President, Chief Administrative & Financial Officer & Treasuere**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-3255  
Primary Fax: 781-237-6309

**Michael E. Shunney**

**Vice-President, Group Insurance**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-2363  
Primary Fax: 781-237-6309

**James R. Smith**

**Vice President & Chief Information Officer**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, Massachusetts 02481 USA  
Primary Phone: 781-446-3209  
Primary Fax: 781-237-6309

**Robert P. Vrolyk**

**Vice-President & Actuary**

Primary Address: Sun Life Financial  
One Sun Life Executive Park  
Wellesley Hills, MA 02481  
Primary Phone: 781-446-1532  
Primary Fax: 781-237-6309



Amy E. Mihaich  
Paralegal  
Law Department

420237

Sun Life Assurance Company  
of Canada (U.S.)  
1335  
One Sun Life Executive Park  
Wellesley Hills, MA 02481-5699  
Tel: (781) 446-1825  
(800) 786-5433 ext. 1825  
Fax: (781) 237-0707  
amy\_mihaich@sunlife.com

VIA OVERNIGHT MAIL

February 22, 2002

Uniform Business Report  
Division of Corporations  
409 East Gaines Street  
P.O. Box 1500  
Tallahassee, Florida 32399

Re: Sun Life Assurance Company of Canada (U.S.)  
2002 Uniform Business Report

To Whom It May Concern:

Enclosed please find the 2002 Uniform Business Report, together with check number 73018747  
in the amount of \$150.00 for Sun Life Assurance Company of Canada (U.S.).

If you have any questions, please do not hesitate to contact me at the above numbers.

Very truly yours,

Amy E. Mihaich  
Paralegal

Enclosures