

# 2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 11, 2003 8:00 am**  
**Secretary of State**

04-11-2003 90164 021 \*\*\*150.00

**DOCUMENT # 827075**

1. Entity Name  
**FINOVA CAPITAL CORPORATION**



Principal Place of Business  
**4800 N SCOTTSDALE RD  
MS4E80  
SCOTTSDALE AZ 85251  
US**

Mailing Address  
**4800 N SCOTTSDALE RD  
MS4E80  
SCOTTSDALE AZ 85251  
US**



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **94-1278569**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

☐ CHECK HERE IF MAKING CHANGES

## 6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324**

## 7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00**

**After May 1, 2003 Fee will be \$550.00**

**Make Check Payable to Florida Department of State**

9. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

## 10. OFFICERS AND DIRECTORS

TITLE **CEO** ☒ Delete  
NAME **HERSHFIELD, LAWRENCE S**  
STREET ADDRESS **4800 N SCOTTSDALE RD**  
CITY-ST-ZIP **SCOTTSDALE AZ 85251**

TITLE **D** ☐ Delete  
NAME **DURHAM, ROBERT**  
STREET ADDRESS **244 CARLYLE LAKE DRIVE**  
CITY-ST-ZIP **CREVE COEUR MO 63141**

TITLE **VCAS** ☐ Delete  
NAME **WETHER, ELIZABETH A**  
STREET ADDRESS **4800 N SCOTTSDALE RD**  
CITY-ST-ZIP **SCOTTSDALE AZ 85251**

TITLE **VC** ☐ Delete  
NAME **TASHLIK, STUART A**  
STREET ADDRESS **4800 N SCOTTSDALE RD**  
CITY-ST-ZIP **SCOTTSDALE AZ 85251**

TITLE **VT** ☐ Delete  
NAME **NELSON, VIRGINIA H**  
STREET ADDRESS **4800 N SCOTTSDALE RD**  
CITY-ST-ZIP **SCOTTSDALE AZ 85251**

TITLE **AS** ☒ Delete  
NAME **CURRENS, CHRISTINE**  
STREET ADDRESS **115 W. CENTURY RD.**  
CITY-ST-ZIP **PARAMUS NJ 07652**

## 11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **CEO** ☐ Change ☒ Addition  
NAME **THOMAS E. MARA**  
STREET ADDRESS **315 PARK AVENUE SOUTH, FLOOR 20**  
CITY-ST-ZIP **NEW YORK, NY 10010-3607**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **AS** ☐ Change ☒ Addition  
NAME **FAITH E. REDMAN**  
STREET ADDRESS **4800 N SCOTTSDALE RD.**  
CITY-ST-ZIP **SCOTTSDALE, AZ 85251-7623**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: **ELIZABETH WETHER**  
**UNWIRE**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

**480-636-4800**

CR2E034 (10/02)

Attachment

80076879  
#827075

## Directors and Officers

## FINOVA Capital Corporation

| <u>Director</u>        | <u>Title</u>  |
|------------------------|---|
| Thomas F. Boland       | Director  |
| Ian M. Cumming         | Director  |
| G. Robert Durham       | Director  |
| Thomas E. Mara         | Director  |
| R. Gregory Morgan      | Director  |
| Kenneth R. Smith       | Director  |
| Joseph S. Steinberg    | Director  |
| <br><u>Officer</u>     | <br><u>Title</u>  |
| Mark R. Ellis          | Vice President-Project Mgmt & Analysis                                |
| Ian M. Cumming         | Chairman of the Board   |
| Thomas E. Mara         | Chief Executive Officer   |
| Joseph S. Steinberg    | President   |
| Glenn E. Gray          | Chief Operating Officer   |
| John B. Burtchaell Jr. | Senior Vice President-Special Assets                                  |
| Richard Lieberman      | Senior Vice President, General Counsel and Secretary                  |
| Myles A. MacDonald     | Senior Vice President-Group Manager                                   |
| Mirian C. Santa Cruz   | Senior Vice President   |
| Stuart A. Tashlik      | Senior Vice President-Chief Financial Officer                         |
| Peggy A. Taylor        | Senior Vice President-Human Resources, Comp., Benefits & Facilities   |
| Jeffrey D. Weiss       | Senior Vice President-Group Manager                                   |
| Donald F. Barrickman   | Vice President-Senior Portfolio Manager                               |
| James M. Batdorf       | Vice President-Portfolio Management                                   |
| Larry D. Beazer        | Vice President-IT Servicing   |
| Laura Black            | Vice President-IT Director  |
| Callis Brown           | Vice President-Service Center   |
| Maureen M. Brown       | Vice President-Operations Management                                  |
| Trevor L. Bush         | Vice President-Portfolio Management                                   |
| Cynthia L. Cain        | Vice President-Servicing & Administration and Assistant Secretary     |
| Bernice H. Carr        | Vice President-Contract Administration                                |
| Philip Clark           | Vice President-Assistant Secretary                                    |
| Patrick M. Cornell     | Vice President-Portfolio Manager                                      |
| James H. Curtin        | Vice President-Associate General Counsel-Litigation & Asst. Secretary |
| Carol Y. Davis         | Vice President-Loan Administration & Assistant Secretary              |
| Philip A. Donnelly     | Vice President-Assistant General Counsel & Assistant Secretary        |
| Caroline Ducas         | Vice President  |
| Mark E. Fickenschner   | Vice President-Remarketing  |
| Gregory Y. Fletcher    | Vice President-Information Systems Support                            |
| Gerard A. Gabriele     | Vice President-Special Assets   |
| Ashfaq M. (Alan) Ghole | Vice President-Audit Executive  |
| Robert L. Hagle        | Vice President-Assistant General Counsel & Assistant Secretary        |
| Ann F. Halton          | Vice President-Assistant General Counsel & Assistant Secretary        |
| Pamela M. Hart         | Vice President-Portfolio Management                                   |
| Deborah A. Inman       | Vice President-Risk Management and Assistant Secretary                |
| James T. Kernen        | Vice President-Internal Audit   |
| Don Y. Kim             | Vice President-Client Relations                                       |
| Gail Klepfisz          | Vice President-Credit   |
| Kim S. Kruegel         | Vice President-Asset Research & Valuation                             |
| Jennifer A. Laforcade  | Vice President-HR Commercial Finance Group                            |
| Randall M. Lenton      | Vice President-Technical Management                                   |
| William L. Letizia     | Vice President-Personal Computers & Network Support                   |
| Pamela Marchant        | Vice President-Contract Administration                                |

Attachment

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#827075

**Directors and Officers**

|                       |  |
|-----------------------|--|
| Michael J. McCauley   | Vice President-Portfolio Manager                               |
| Gayle R. McKenzie     | Vice President-Portfolio Management                            |
| David R. McMullan Jr. | Vice President-Managing Account Executive                      |
| Janice L. Merrick     | Vice President-Portfolio Management                            |
| Scott H. Mitchell     | Vice President-National Audit Manager                          |
| Steven A. Narsutis    | Vice President-Division Manager                                |
| Virginia H. Nelson    | Vice President-Treasurer                                       |
| Michael J. Nemelka    | Vice President-Division Manager                                |
| Kevin S. Pearce       | Vice President-Portfolio Reporting                             |
| Scott P. Ploshay      | Vice President-Credit  |
| James Ricchiuti       | Vice President-Assistant General Counsel & Assistant Secretary |
| Maryann V. Richardson | Vice President-Account Manager                                 |
| Michael N. Rosner     | Vice President-Group Counsel and Assistant Secretary           |
| Richard A. Ross       | Vice President-Controller-Financial Accounting                 |
| David A. Sands        | Vice President-Special Assets                                  |
| Carl L. Schmitz Jr.   | Vice President-Portfolio Management                            |
| Roger D. Schroeder    | Vice President-Portfolio Management                            |
| Wayne A. Teeter       | Vice President-Assistant Controller-Financial Accounting       |
| Elizabeth A. Wethor   | Vice President-Controller-Taxes & Assistant Secretary          |
| James M. Wifler       | Vice President-Marketing                                       |
| John Zakoworotny      | Vice President-Credit  |
| Debra Birrer          | Assistant Secretary  |
| Jill R. Harness       | Assistant Secretary  |
| Anthony Holland       | Assistant Secretary  |
| Faith E. Redman       | Assistant Secretary  |

Thomas Boland

Business Address: 162 Ferris Hill Road  
New Caanan, CT 06840

Residence Address: 162 Ferris Hill Road  
New Caanan, CT 06840

Ian Cumming

Business Address: 529 E South Temple  
Salt Lake City, UT 84102

Residence Address: 165 Huckleberry Dr.  
Jackson, WY 83001

G. Robert Durham

Business Address: 244 Carlyle Lake Drive  
Creve Coeur, MO 63141

Residence Address: 244 Carlyle Lake Drive  
Creve Coeur, MO 63141

Thomas Mara

Business Address: 315 Park Avenue South, Floor 20  
New York, NY 10010-3607

Residence Address: 6 Shinnecock Trail  
Franklin Lakes, NJ 07417

R. Morgan

Attachment

80076879  
#827075

**Directors and Officers**

Business Address: 355 South Grand Avenue  
Floor 35  
Los Angeles, CA 90071

Residence Address: 659 Via de la Paz  
Pacific Palisades, CA 90272

Kenneth R. Smith

Business Address: McClelland Hall Room #430MM  
The University of Arizona  
Tucson, AZ 85721

Residence Address: 4381 N. Larrea Lane  
Tucson, AZ 85750

Joseph Steinberg

Business Address: 315 Park Avenue S.  
Floor 20  
New York, NY 10010

Residence Address: 84 Remsen Street  
Brooklyn, NY 11201

Mark Ellis

Business Address: VP-Project Management &  
Analysis  
4800 North Scottsdale Road  
Scottsdale, AZ 85251

Residence Address: VP-Project Management &  
Analysis  
12061 East Shangri La Road  
Scottsdale, AZ 85259

Glenn Gray

Business Address: 4800 N. Scottsdale Rd  
Scottsdale, AZ 85251-7623

Residence Address: 6207 N. 51st Place  
Paradise Valley, AZ 85253

John Burtchaell Jr.

Business Address: 500 Church Street  
Suite 200  
Nashville, TN 37219

Residence Address: 506 Brennan Ln.  
Franklin, TN 37067-6235

Richard Lieberman

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 9169 East Carol Way  
Scottsdale, AZ 85260

Myles MacDonald

~~Attachment~~ ~~80076879~~  
~~#857075~~

**Directors and Officers**

Business Address: 500 Church Street  
Suite 200  
Nashville, TN 37219

Residence Address: 3606 Wilbur Place  
Nashville, TN 37204

Mirian Santa Cruz

Business Address: 4800 N. Scottsdale Rd  
Scottsdale, AZ 85251-7623

Residence Address: 2044 E. Norwood St.  
Mesa, AZ 85213

Stuart A. Tashlik

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 10391 N. 117th Place  
Scottsdale, AZ 85259

Peggy Taylor

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 6424 East Kelton Lane  
Scottsdale, AZ 85254

Jeffrey Weiss

Business Address: 1000 First Avenue  
P. O. Box 61774  
King of Prussia, PA 19406

Residence Address: 53 Saddlebrook Drive  
North Wales, PA 19454

Donald Barrickman

Business Address: 500 Church Street  
Suite 200  
Nashville, TN 37219

Residence Address: 1582 Fawn Creek Road  
Brentwood, TN 37027-8616

James Batdorf

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 7234 N. 11th Avenue  
Phoenix, AZ 85021

Larry Beazer

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 8525-7623

Residence Address: 432 N. Larkspur  
Gilbert, AZ 85234

Attachment 80076879  
# 827075

**Directors and Officers**

Laura Black

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 4519 E. Paso Trail  
Phoenix, AZ 85050

Callis Brown

Business Address: 115 W. Century Road  
Paramus, NJ 07661

Residence Address: 85 Gates Avenue  
River Edge, NJ 07661

Maureen Brown

Business Address: 1000 First Avenue  
King of Prussia, PA 19406

Residence Address: 1104 W. Kings Highway  
Haddon Heights, NJ 08035

Trevor Bush

Business Address: Vice President - Portfolio  
Management  
4800 North Scottsdale Road  
Scottsdale, AZ 85251

Residence Address: Vice President - Portfolio  
Management  
4508 East Desert Trumpet Road  
Phoenix, AZ 85044

Cynthia Cain

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 10200 N. 82nd Street  
Scottsdale, AZ 85251

Bernice Carr

Business Address: 115 West Century Road  
Paramus, NJ 07652

Residence Address: 257 Demarest Avenue  
Wyckoff, NJ 07481

Philip Clark

Business Address: 500 Church Street  
Suite 200  
Nashville, TN 37219

Residence Address: 173 Kenner Ave.  
Nashville, TN 37205

Patrick Cornell

~~Attachment~~ <sup>80076879</sup>  
#827075

**Directors and Officers**

Business Address: 1000 First Avenue  
King of Prussia, PA 19406

Residence Address: 21 South Iroquois Lane  
Chester Springs, PA 19425

James Curtin

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 10430 N. 43rd Pl  
Phoenix, AZ 85028

Carol Davis

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 1339 E. Butler Drive  
Phoenix, AZ 85020

Philip Donnelly

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 8540 E. Via de Risa  
Scottsdale, AZ 85258

Caroline Ducas

Business Address: 500 Church Street, Suite 200  
Nashville, TN 37219

Residence Address: 143 W. Brookfield Ave.  
Nashville, TN 37205

Mark Fickenschier

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 231 E. Broadmor Drive  
Tempe, AZ 85251

Gregory Fletcher

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 2328 North Glenview Drive  
Mesa, AZ 85213

Gerard Gabriele

Business Address: 311 N. University Drive  
Suite 621  
Coral Springs, FL 33065

Residence Address: 10980 NW 7th Court  
Plantation, FL 33324

Ashfaq M. (Alan) Ghole

Attachment 80076879  
#827075

**Directors and Officers**

Business Address: 355 S. Grand Ave.  
Suite 2500  
Los Angeles, CA 90071

Residence Address: 13949 La Jolla Plaza  
Garden Grove, CA 92844

Robert Hagle

Business Address: 355 South Grand Avenue  
Suite 2500  
Los Angeles, CA 90071

Residence Address: 4266 Pacific Avenue  
Long Beach, CA 90807

Ann F. Halton

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 4848 E. Palo Brea Lane  
Cave Creek, AZ 85331

Pamela Hart

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 15419 N. 19th Way  
Phoenix, AZ 85022

Deborah A. Inman

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 6215 East Greenway Lane  
Scottsdale, AZ 85254

James Kernen

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 2852 W. Gail Dr.  
Chandler, AZ 85224

Don Kim

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 29705 N. 69th Place  
Scottsdale, AZ 85262

Gail Klepfisz

Business Address: 115 West Century Rd.  
Paramus, NJ 07652

Residence Address: 5 Lowell Drive  
New York, NY 10956

Kim Kruegel



Attachment

80076879  
#827075

**Directors and Officers**

Business Address: 115 West Century Rd.  
Paramus, NJ 07652

Residence Address: 497 Demarest Ave.  
Oradell, NJ 07649

Jennifer Laforcade

Business Address: 355 S. Grand Ave., Ste. 2500  
Los Angeles, CA 90071

Residence Address: 10345 Almayo Ave., Unit 307  
Los Angeles, CA 90064

Randall Lenton

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 9459 E. Minton Street  
Mesa, AZ 85207

William Letizia

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 1260 E. Spur Ave.  
Gilbert, AZ 85296

Pamela Marchant

Business Address: 115 West Century Road  
Paramus, NJ 07652

Residence Address: 241 Capri Terrace  
Park Ridge, NJ 07656

Michael McCauley

Business Address: 1000 First Avenue  
King of Prussia, PA 19406

Residence Address: 1528 Meadowbrook Lane  
West Chester, PA 19380

Gayle McKenzie

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 2069 E. Ranch Road  
Tempe, AZ 85284

David McMullan Jr.

Business Address: 1000 First Ave.  
1st Floor  
King of Prussia, PA 19406

Residence Address: 30 Elm Drive  
East Windsor, NJ 08520

Attachment

80076879

#87075

**Directors and Officers**

Business Address: 115 West Century Road  
Paramus, NY 07652

Residence Address: 17 Whitson Road  
Briarcliff Manor, NY 10510

Maryann Richardson

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 5470 E. Calle del Medio  
Phoenix, AZ 85018

Michael Rosner

Business Address: 355 S. Grand Avenue  
Suite 2500  
Los Angeles, CA 90071

Residence Address: 1033 Sixth Street, #309  
Santa Monica, CA 90403

Richard Ross

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 20647 N. 16th Way  
Phoenix, AZ 85024

David Sands

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 12148 E. Sahuaro Drive  
Scottsdale, AZ 85259

Carl Schmitz Jr.

Business Address: 1905 Huguenot Rd.  
Suite 200  
Richmond, VA 23235

Residence Address: 1931 Normandstone Drive  
Midlothian, VA 23113

Roger D. Schroeder

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 7632 West Crocus  
Peoria, AZ 85381

Wayne Teeter

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 117 W. Glenn Drive  
Phoenix, AZ 85021

Elizabeth Wethor

Attachment 80076879  
#807075

**Directors and Officers**

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 11139 E Winchcomb Dr  
Scottsdale, AZ 85259

James Wifler

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 4411 E. Onyx  
Phoenix, AZ 85028

John Zakoworotny

Business Address: 115 West Century Rd.  
Paramus, NJ 07652

Residence Address: 11 Randolph Drive  
Dix Hills, NY 11746

Debra Birrer

Business Address: 115 W. Century Road  
Paramus, NJ 07652

Residence Address: 63 Roth Avenue  
Franklin Lakes, NJ 07417

Jill Harness

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 4534 W. Marcus Drive  
Glendale, AZ 85310

Anthony Holland

Business Address: 115 West Century Road  
Paramus, NJ 07652

Residence Address: 36 Washington Road  
Monroe, NY 10950

Faith Redman

Business Address: 4800 N. Scottsdale Road  
Scottsdale, AZ 85071-7623

Residence Address: 29906 N. 59th Street  
Cave Creek, AZ 85331

Attachment 800716879  
#807075

**Directors and Officers**

Janice Merrick

Business Address: 4800 North Scottsdale Road  
Scottsdale, AZ 85251

Residence Address: 14122 East Desert Cove Avenue  
Scottsdale, AZ 85259

Scott H. Mitchell

Business Address: 355 S. Grand Avenue  
Suite 2500  
Los Angeles, CA 90071

Residence Address: 2 Concho Lane  
Bell Canyon, CA 91307

Steven Narsutis

Business Address: 200 S. Wacker Drive  
Suite 3100  
Chicago, IL 60606

Residence Address: 233 Gale Street  
Blue Forest, IL 60305

Virginia Nelson

Business Address: 4800 N. Scottsdale Rd.  
Scottsdale, AZ 85251-7623

Residence Address: 4131 N. 51st Place  
Phoenix, AZ 85018

Michael Nemeika

Business Address: 2650 South Decker Lake Lane  
2nd Floor  
West Valley City, UT 84119

Residence Address: 8969 Flat Iron Dr.  
Sandy, UT 84093

Kevin Pearce

Business Address: 500 Church Street  
Suite 200  
Nashville, TN 37219

Residence Address: 5029 Traceway Drive  
Nashville, TN 37221

Scott Ploshay

Business Address: 115 West Century Rd.  
Paramus, NJ 07652

Residence Address: 38 Woodland Road  
Chatham, NJ 07928

James Ricchiuti