

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 825157

1. Entity Name
LEHMAN BROTHERS INC.

FILED
Jul 21, 2002 8:00 am
Secretary of State

07-21-2002 90013 039 ***550.00

Principal Place of Business
3 WORLD FINANCIAL WARD
NEW YORK NY 10285
US

Mailing Address
101 HUDSON STREET
TAX DEPARTMENT, 39TH FLOOR
JERSEY CITY NJ 07302



2. Principal Place of Business

745 7th Avenue
Suite, Apt. #, etc.

3. Mailing Address

Suite, Apt. #, etc.

City & State

New York, N.Y.

City & State

4. FEI Number 13-2518466

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM, INC.
1201 HAYS STREET
SUITE 105
TALLAHASSEE FL 32301

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$550.00
After September 13, 2002 Fee will be \$750.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
V
O'BRIEN, BARRY J.
101 HUDSON ST
JERSEY CITY NJ 07302 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
S
MARRE, JENNIFER
3 WORLD FINANCIAL CENTER
NEW YORK NY 10285 ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
D
FIANNERY, JOSEPH J
3 WORLD FINANCIAL CENTER
NEW YORK NY 10285 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
P
CHO, YON K
3 WORLD FINANCIAL CENTER
NEW YORK NY 10285 ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
T
MINERVA, DANIEL D
3 WORLD FINANCIAL CENTER
NEW YORK NY 10285 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
S
JEFFREY A. WELIKSON
745 7th Avenue
New York, N.Y. 10019 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
D
Roger S. Berlind
745 7th Avenue
New York, N.Y. 10019 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
P
Richard S. Fuld Jr.
745 7th Avenue
New York, N.Y. 10019 ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
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13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Barry J. O'Brien

7/15/02

(201) 524-5430

CR2E034 (4/02)

Attachment
LEHMAN BROTHERS

BD130261

July 15, 2002

825157

State of Florida
Division of Corporations
409 E. Gaines Street
Tallahassee, FL 32399

CORPORATION NAME
Lehman Brothers Inc.

DOCUMENT#
825157

FEE DUE
\$550.00

Dear Sir/Madam:

Return: Uniform Business Report

Period: 2002

Total Fee Due: \$550.00

Check Enclosed: Yes (X) No ()

Kindly acknowledge receipt by stamping and returning to the undersigned the enclosed copy of this letter.

Very truly yours,

Barry J. O'Brien
Barry J. O'Brien
Vice President

BJOB/vp
Enclosures

Attachment
LEHMAN BROTHERS

B0130261

July 15, 2002

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Division of Corporations
409 E. Gaines Street
Tallahassee, FL 32399

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