

# 2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 823791

FILED  
Apr 21, 2010  
Secretary of State

**Entity Name:** UBS FINANCIAL SERVICES INC.

**Current Principal Place of Business:**

800 HARBOR BLVD  
TAX DEPT 1ST FLOOR  
WEEHAWKEN, NJ 07086

**New Principal Place of Business:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**Current Mailing Address:**

800 HARBOR BLVD  
TAX DEPT 1ST FLOOR  
WEEHAWKEN, NJ 07086

**New Mailing Address:**

1200 HARBOR BOULEVARD  
WEEHAWKEN, NJ 07086

**FEI Number:** 13-2638166

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

**Election Campaign Financing Trust Fund Contribution ( )**

**OFFICERS AND DIRECTORS:**

Title: D  
Name: NARATIL, THOMAS C  
Address: 1200 HARBOR BOULEVARD  
City-St-Zip: WEEHAWKEN, NJ 07086

Title: PCEO  
Name: MCCANN, ROBERT J  
Address: 1200 HARBOR BOULEVARD  
City-St-Zip: WEEHAWKEN, NJ 07086

Title: TCFO  
Name: FREY, WILLIAM  
Address: 1200 HARBOR BOULEVARD  
City-St-Zip: WEEHAWKEN, NJ 07086

Title: S  
Name: KING, TAMBRA S  
Address: 1200 HARBOR BOULEVARD  
City-St-Zip: WEEHAWKEN, NJ 07086

Title: D  
Name: PRICE, JAMES D  
Address: 1200 HARBOR BOULEVARD  
City-St-Zip: WEEHAWKEN, NJ 07086

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TAMBRA S. KING

S

04/21/2010

Electronic Signature of Signing Officer or Director

Date