

822801

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

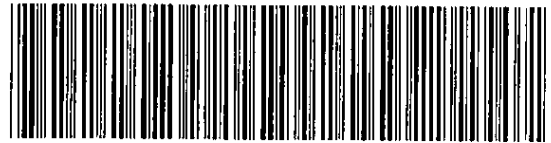
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer

Office Use Only



000431201040

W24-97545

NICy Amend

RECEIVED

2024 JUN 28 PM 3:34

CLERK OF STATE
TALLAHASSEE, FLORIDA

FILED

2024 JUN 28 AM 8:44

CLERK OF STATE
TALLAHASSEE, FLORIDA

A. RAMSEY

JUL 12 2024

* 02250, 00524, 00671

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 474211 4730518

AUTHORIZATION :

COST LIMIT

\$ 35.00

ORDER DATE : May 21, 2024

ORDER TIME : 1:41 PM

ORDER NO. : 474211-080

CUSTOMER NO: 4730518

FOREIGN FILINGS

NAME: LINCOLN FINANCIAL ADVISORS
CORPORATION

XX___ CORPORATE
___ LIMITED PARTNERSHIP
___ LIMITED LIABILITY COMPANY

XXXX AMENDMENT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

___ CERTIFIED COPY
XX___ PLAIN STAMPED COPY
___ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Shauna Godbolt -- EXT#

EXAMINER: _____

COVER LETTER

TO: Amendment Section Division of Corporations

SUBJECT: Lincoln Financial Advisors Corporation

Name of Corporation

DOCUMENT NUMBER: 822801

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Melissa Kostrzewski

Name of Contact Person

Corporation Service Company

Firm/Company

251 Little Falls Drive

Address

Wilmington, DE 19808

City/State and Zip Code

aaren.hespenheide@osaic.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Aaren Hespenheide

Name of Contact Person

at (480) 761-4345

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

☐ \$35 Filing Fee

☐ \$43.75 Filing Fee &
Certificate of Status

☐ \$43.75 Filing Fee &
Certified Copy

☐ \$52.50 Filing Fee,
Certificate of Status &
Certified Copy

Mailing Address:

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Amendment Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303



FLORIDA DEPARTMENT OF STATE
Division of Corporations

July 1, 2024

CORPORATION SERVICE COMPANY

TALLAHASSEE, FL 32301

SUBJECT: LINCOLN FINANCIAL ADVISORS CORPORATION
Ref. Number: 822801

RESUBMIT
Please give original
submission date as file date.

We have received your document for LINCOLN FINANCIAL ADVISORS CORPORATION and the authorization to debit your account in the amount of \$. However, the document has not been filed and is being returned for the following:

Please include a certified copy from Indiana showing the name change. Please move the new name to line number 5 and put the date that the name was changed in Indiana on line number 4 (the date on line number 4 must match the date on the certificate from Indiana).

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6050.

Annette Ramsey
OPS

Letter Number: 624A00014306

RECEIVED
2024 JUL 11 AM 11:17
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO APPLICATION FOR
AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

822801

(Document number of corporation (if known))

FILED
2024 JUN 28 AM 8:44
TALLAHASSEE, FLORIDA

1. Lincoln Financial Advisors Corporation

(Name of corporation as it appears on the records of the Department of State)

2. Indiana

(Incorporated under laws of)

3. 05/14/1969

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 06-06-24

5. Osaic FA, Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

9. If the amendment changes person, title or capacity in accordance with 607.1504 (4), indicate that change:

Yes, see below.

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
<u>Secretary</u>	<u>Claire Hanna</u>	<u>150 North Radnor Chester Rd, Radnor, PA 19087</u>	<input type="checkbox"/> Add
			<input checked="" type="checkbox"/> Remove
<u>Director/ President</u>	<u>David Berkowitz</u>	<u>2005 Market Street, One Commerce Square, Philadelphia, PA 19103</u>	<input type="checkbox"/> Add
			<input checked="" type="checkbox"/> Remove
<u>Treasurer</u>	<u>Adam Cohen</u>	<u>1301 South Harrison Street, Fort Wayne, IN 46802</u>	<input type="checkbox"/> Add
			<input checked="" type="checkbox"/> Remove
<u>Assistant Secretary</u>	<u>Holley Meyer</u>	<u>150 North Radnor Chester Rd, Radnor, PA 19087</u>	<input type="checkbox"/> Add
			<input checked="" type="checkbox"/> Remove
<u>Director</u>	<u>Marion Halliday</u>	<u>1301 South Harrison Street, Fort Wayne, IN 46802</u>	<input type="checkbox"/> Add
			<input checked="" type="checkbox"/> Remove

10. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

Abby Henig

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Abby Henig

(Typed or printed name of person signing)

Assistant Secretary

(Title of person signing)

FILING FEE \$35.00

474211-80

Title/ Capacity

Name

AddressType of Action

Secretary

Nina McKenna

18700 N Hayden Rd., STE 255, Scottsdale, AZ 85255

 Add

☐ RemoveDirector/
President

John DiMonda

18700 N Hayden Rd., STE 255, Scottsdale, AZ 85255

 Add

☐ Remove

Treasurer

David Schmidt

18700 N Hayden Rd., STE 255, Scottsdale, AZ 85255

 Add☐ RemoveAssistant
Secretary

Abby Henig

18700 N Hayden Rd., STE 255, Scottsdale, AZ 85255

 Add☐ Remove

Director

Greg Cornick

18700 N Hayden Rd., STE 255, Scottsdale, AZ 85255

■ Add

☐ Remove

10. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

Charles Norris

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Abby Henig

Assistant Secretary

(Typed or printed name of person signing)

(Title of person signing)

FILING FEE \$35.00

Osaic FA, Inc. (f/k/a Lincoln Financial Advisors Corporation)

Name	Title
John DiMonda	Director
Greg Cornick	Director
Matthew Schlueter	Director
John DiMonda	President, Chief Executive Officer
Greg Cornick	Executive Vice President
Matthew Schlueter	Executive Vice President
Edward Walters	Senior Vice President
Claude Campbell	Assistant Vice President, AML Officer
Timothy Bonisteel	Assistant Vice President, Chief Compliance Officer
David Schmidt	Treasurer
Marion Stark Haliday	Vice President, Chief Risk Officer
Jeffrey Sheftic	Vice President, Chief Operations Officer
Joseph Gallo	Vice President, General Counsel
Nina McKenna	Secretary
Abby Henig	Assistant Secretary

AS OF 6/10/2024

Address

18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
2300 Windy Ridge Parkway, Suite 750, Atlanta GA 30339

18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
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18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
18700 N Hayden Rd., Ste. 255, Scottsdale, AZ 85255
10 Exchange Place, Suite 1410, Jersey City, NJ 07302

State of Indiana
Office of the Secretary of State

CERTIFICATE OF EXISTENCE

To Whom These Presents Come, Greeting:

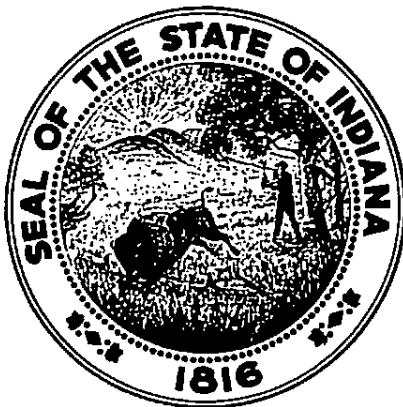
I, DIEGO MORALES, Secretary of State of Indiana, do hereby certify that I am, by virtue of the laws of the State of Indiana, the custodian of the corporate records and the proper official to execute this certificate.

I further certify that records of this office disclose that

OSAIC FA, INC.

duly filed the requisite documents to commence business activities under the laws of the State of Indiana on October 08, 1968, and was in existence or authorized to transact business in the State of Indiana on June 11, 2024.

I further certify this Domestic For-Profit Corporation has filed its most recent report required by Indiana law with the Secretary of State, or is not yet required to file such report, and that no notice of withdrawal, dissolution, or expiration has been filed or taken place. All fees, taxes, interest, and penalties owed to Indiana by the domestic or foreign entity and collected by the Secretary of State have been paid.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, June 11, 2024

Diego Morales

DIEGO MORALES
SECRETARY OF STATE

196810-115 / 20243816436

All certificates should be validated here: <https://bsd.sos.in.gov/ValidateCertificate>

Expires on July 11, 2024.

State of Indiana
Office of the Secretary of State
Certified Copies

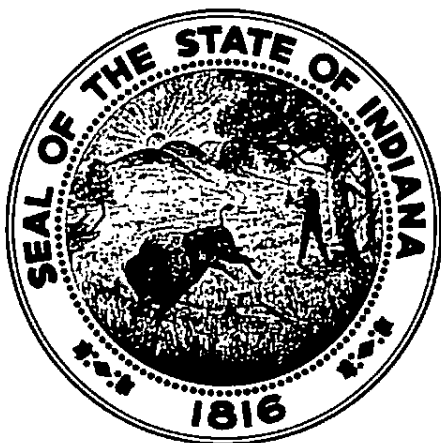
To Whom These Presents Come, Greeting:

I, DIEGO MORALES, Secretary of State of Indiana, do hereby certify that I am, by virtue of the laws of the State of Indiana, the custodian of the corporate records and the proper official to execute this certificate.

I further certify that this is a true and complete copy of this **3** page document consisting of the following records filed in this office:

Certification Date:	June 11, 2024
Business Name:	OSAIC FA, INC.
Business ID:	196810-115

Transaction	Date Filed	No. of pages
Articles of Amendment	06/06/2024	3
Total No. of pages		3



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, June 11, 2024

Diego Morales

DIEGO MORALES
SECRETARY OF STATE

196810-115 / 16764846

All certificates should be validated here: <https://bsd.sos.in.gov/ValidateCertificate>
Expires on July 11, 2024.

**State of Indiana
Office of the Secretary of State**

**Certificate of Amendment
of
LINCOLN FINANCIAL ADVISORS CORPORATION**

I, DIEGO MORALES, Secretary of State, hereby certify that Articles of Amendment of the above Domestic For-Profit Corporation have been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Code.

The name following said transaction will be:

OSAIC FA, INC.

NOW, THEREFORE, with this document I certify that said transaction will become effective Thursday, June 06, 2024.



In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, June 06, 2024

Diego Morales

DIEGO MORALES
SECRETARY OF STATE

196810-115 / 10364734

To ensure the certificate's validity, go to <https://bsd.sos.in.gov/PublicBusinessSearch>

APPROVED AND FILED
DIEGO MORALES
INDIANA SECRETARY OF STATE
06/06/2024 10:48 AM

ARTICLES OF AMENDMENT

ARTICLE I - NAME AND PRINCIPAL OFFICE ADDRESS

BUSINESS ID	196810-115
BUSINESS TYPE	Domestic For-Profit Corporation
BUSINESS NAME	LINCOLN FINANCIAL ADVISORS CORPORATION
PRINCIPAL OFFICE ADDRESS	1301 SOUTH HARRISON STREET, FORT WAYNE, IN, 46802, USA
DATE AMENDMENT WAS ADOPTED	06/06/2024

EFFECTIVE DATE

EFFECTIVE DATE	06/06/2024
EFFECTIVE TIME	08:28AM

ARTICLE I - BUSINESS NAME CHANGE

DATE OF ADOPTION	06/06/2024
NEW BUSINESS NAME	OSAIC FA, INC.

APPROVED AND FILED
DIEGO MORALES
INDIANA SECRETARY OF STATE
06/06/2024 10:48 AM

SIGNATURE

THE MANNER OF THE ADOPTION OF THE ARTICLES OF BUSINESS AMENDMENT AND THE VOTE BY WHICH THEY WERE ADOPTED CONSTITUTE FULL LEGAL COMPLIANCE WITH THE PROVISIONS OF THE ACT, THE ARTICLES OF INCORPORATION, AND THE BYLAWS OF THE CORPORATION.

THE UNDERSIGNED OFFICER OF THIS CORPORATION EXISTING PURSUANT TO THE PROVISIONS OF THE INDIANA BUSINESS CORPORATION LAW DESIRES TO GIVE NOTICE OF CORPORATE ACTION EFFECTUATING BUSINESS AMENDMENT OF CERTAIN PROVISIONS OF ITS ARTICLES OF INCORPORATION.

IN WITNESS WHEREOF, THE UNDERSIGNED HEREBY VERIFIES, SUBJECT TO THE PENALTIES OF PERJURY, THAT THE STATEMENTS CONTAINED HEREIN ARE TRUE, THIS DAY **June 6, 2024**.

THE UNDERSIGNED ACKNOWLEDGES THAT A PERSON COMMITS A CLASS A MISDEMEANOR BY SIGNING A DOCUMENT THAT THE PERSON KNOWS IS FALSE IN A MATERIAL RESPECT WITH THE INTENT THAT THE DOCUMENT BE DELIVERED TO THE SECRETARY OF STATE FOR FILING.

SIGNATURE

Abby Henig

TITLE

Assistant Secretary

Business ID : 196810-115

Filing No. : 10364734