

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
May 22, 2001 8:00 am
Secretary of State

05-22-2001 90627 048 ***150.00

DOCUMENT # 822712

1. Entity Name

MARSH & MCLENNAN SECURITIES CORPORATION

Principal Place of Business Mailing Address
 1255 23RD STREET, N.W. c/o GUY CARPENTER
 WASHINGTON, DC 20037 TWO WORLD TRADE CENTER
 NEW YORK, N.Y. 10048

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

36-2666422

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

DO NOT WRITE IN THIS SPACE

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION, FLORIDA 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
SEE ATTACHED LIST

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY - ST - ZIP

TITLE ☐ Delete
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TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY - ST - ZIP

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY - ST - ZIP

TITLE ☐ Change ☐ Addition
 NAME
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 CITY - ST - ZIP

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13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Michael J. Borik*

MICHAEL J. BORIK

4/20/01 (212)323-1692

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

Attachment Doc # 822712

1609053

Marsh & McLennan Securities Corp.
Officers and Directors

Name	Business Address	Title
Ernest M. Asaff	1166 Avenue of the Americas, New York, NY 10036	Managing Director
Timothy Ball	1166 Avenue of the Americas, New York, NY 10036	Managing Director
Jon Barry	1166 Avenue of the Americas, New York, NY 10036	Managing Director
William Goddard	1166 Avenue of the Americas, New York, NY 10036	Managing Director
Patrick Jordan	1166 Avenue of the Americas, New York, NY 10036	Managing Director / Director
Chris McGhee	1166 Avenue of the Americas, New York, NY 10036	Managing Director / Director
Thomas Snell	1166 Avenue of the Americas, New York, NY 10036	President / CEO / Director
Jamshid Ehsani	1166 Avenue of the Americas, New York, NY 10036	Exec. Vice President / Director
Stephen S. Lovell	1255 23rd Street, N.W., Washington, DC 20037	Senior Vice President
LoriAnn Lowery-Vaughn	1166 Avenue of the Americas, New York, NY 10036	Senior Vice President
Ralph White	1166 Avenue of the Americas, New York, NY 10036	Vice President
James Hall	1166 Avenue of the Americas, New York, NY 10036	Vice President
John Major	1166 Avenue of the Americas, New York, NY 10036	Vice President
Andrew Stein	1166 Avenue of the Americas, New York, NY 10036	Vice President
Marc Rakotomalala	1166 Avenue of the Americas, New York, NY 10036	Vice President
Gerard DeBenedetto	1166 Avenue of the Americas, New York, NY 10036	Vice President
Michael A. Picone	1166 Avenue of the Americas, New York, NY 10036	Vice President / CFO / Treasurer
Michael J. Borik	Two World Trade Center, New York, NY 10048	Vice President / Secretary
Molly McQueen	1166 Avenue of the Americas, New York, NY 10036	Vice President / Chief Counsel / Chief Compliance Officer