

FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)

FILED
May 28, 2002 8:00 am
Secretary of State

05-28-2002 91744 017 ***550.00

DOCUMENT # 820764

1. Entity Name

John Nuveen & Co., Incorporated

NOT FILED *AM*

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

333 W. Wacker Dr.

Suite, Apt. #, etc.

3. Mailing Address

333 W. Wacker Dr.

Suite, Apt. #, etc.

DO NOT WRITE IN THIS SPACE

City & State

Chicago, Illinois

City & State

Chicago, Illinois

4. FEI Number

36-2639476

Applied For

Not Applicable

Zip

60606

Country

Zip

60606

Country

5. Certificate of Status Desired



\$8.75 Additional
Fee Required

7. Name and Address of Current Registered Agent

Name

~~The Prentice Hall Corporation System Inc.~~

Street Address (P.O. Box Number is Not Acceptable)

1202 Hayes St.

Suite 105

City

Tallahassee

FL

Zip Code

32301

DO NOT WRITE
IN THIS SPACE

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back)



January 1 - May 1 Fee is \$150.00
After May 1, Fee is \$550.00
Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution.



\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY - ST - ZIP	PD Amboian, John P. 333 W. Wacker Dr. Chicago, Il 60606	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	CD Schwertfeger, Timothy R. 333 W. Wacker Dr. Chicago, Il 60606	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	V Wilson, Margaret E. 333 W. Wacker Dr. Chicago, Il 60606	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	VT D'Arrigo, Peter H. 333 W. Wacker Dr. Chicago, Il 60606	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	VS Berkshire, Alan G. 333 W. Wacker Dr. Chicago, Il 60606	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP		TITLE NAME STREET ADDRESS CITY - ST - ZIP	

DO NOT WRITE
IN THIS SPACE

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

5/13/02

Date

(312) 917-7700

Daytime Phone #