

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
**Katherine Harris**  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # 820764

1. Corporation Name

JOHN NUVEEN & CO., INCORPORATED

Principal Place of Business

333 WEST WACKER DRIVE  
CHICAGO ILLINOIS 60606-1286  
US

Mailing Address

333 WEST WACKER DRIVE  
CHICAGO ILLINOIS 60606-1286  
US

FILED  
Apr 29, 1999 8:00 am  
Secretary of State

04-29-1999 90229 036 \*\*\*150.00



DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

09/29/1967

4. FEI Number

36-2639476

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

\$5.00 May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☐ Yes ☒ No

2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

THE PRENTICE HALL CORPORATION SYSTEM INC.  
1201 HAYES ST  
SUITE 105  
TALLAHASSEE FL 32301

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE ☒ DELETE

NAME  
DEAN, ANTHONY T.  
STREET ADDRESS  
333 WEST WACKER DRIVE  
CITY-ST-ZIP  
CHIC, ILL 00000 60606

1.1 TITLE

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
CD  
SCHWERTFEGER, TIMOTHY R.  
STREET ADDRESS  
333 WEST WACKER DRIVE  
CITY-ST-ZIP  
CHIC, ILL 00000 60606

2.1 TITLE

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
V  
WILSON, M E  
STREET ADDRESS  
338 W WACKER DR  
CITY-ST-ZIP  
CHICAGO IL 60606

3.1 TITLE

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

TITLE ☒ DELETE

NAME  
VT  
STABENOW, H. WILLIAM  
STREET ADDRESS  
333 WEST WACKER DRIVE  
CITY-ST-ZIP  
CHICAGO IL 60606

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

TITLE ☒ DELETE

NAME  
VS  
ZIMMERMAN, GIFFORD R.  
STREET ADDRESS  
333 WEST WACKER DRIVE  
CITY-ST-ZIP  
CHIC, ILL 00000 60606

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

TITLE ☐ DELETE

NAME  
V  
AMBOIAN, JOHN P.  
STREET ADDRESS  
333 W. WACKER DR.  
CITY-ST-ZIP  
CHICAGO IL 60606

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*MANU NUVEN*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/22/99  
Date

312-917-7700  
Daytime Phone #

CR2E034 (11/98)