

CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

FILED
Jun 02 1997 8:00am
Secretary of State

DOCUMENT # 820764 (9)
Corporation Name
JOHN NUVEEN & CO., INCORPORATED

Principal Place of Business
333 WEST WACKER DRIVE
CHICAGO ILLINOIS 60606-1286
US

Mailing Address
333 WEST WACKER DRIVE
CHICAGO ILLINOIS 60606-1286
US

3. Date incorporated or Qualified 09/29/1967
3a. Date of Last Report 05/01/1995

21. Principal Place of Business Suite, Apt. #, etc. City & State Zc Country	2a. Mailing Address Suite, Apt. #, etc. City & State Zip Country	4. FEI Number 36-2639476 Applied For Not Applicable	5. Certificate of Status Desired \$8.75 Additional Fee Required
22. Principal Place of Business Suite, Apt. #, etc. City & State Zc Country	2a. Mailing Address Suite, Apt. #, etc. City & State Zip Country	4. FEI Number 36-2639476 Applied For Not Applicable	5. Certificate of Status Desired \$8.75 Additional Fee Required
23. Principal Place of Business Suite, Apt. #, etc. City & State Zc Country	2a. Mailing Address Suite, Apt. #, etc. City & State Zip Country	4. FEI Number 36-2639476 Applied For Not Applicable	5. Certificate of Status Desired \$8.75 Additional Fee Required
24. Principal Place of Business Suite, Apt. #, etc. City & State Zc Country	2a. Mailing Address Suite, Apt. #, etc. City & State Zip Country	4. FEI Number 36-2639476 Applied For Not Applicable	5. Certificate of Status Desired \$8.75 Additional Fee Required

9. Name and Address of Current Registered Agent THE PRENTICE HALL CORPORATION SYSTEM INC. 1201 HAYES ST SUITE 105 TALLAHASSEE FL 32301	10. Name and Address of New Registered Agent 81 Name 82 Street Address (P.O. Box Number is Not Acceptable) 83 84 City FL 85 Zip Code
--	--

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and the if applicable. (NOTE: Registered Agent signature required when registering)

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD SYEEN, DONALD E 333 WEST WACKER DRIVE CHIC, ILL 00000 ☐ DELETE	1.1 TITLE 1.2 NAME 1.3 STREET ADDRESS 1.4 CITY-ST-ZIP	PD Dean, Anthony T. - - - 60606 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CD FRANKE, RICHARD J 333 WEST WACKER DRIVE CHIC, ILL 00000 ☐ DELETE	2.1 TITLE 2.2 NAME 2.3 STREET ADDRESS 2.4 CITY-ST-ZIP	CD Schwertfeger, Timothy R. - - - 60606 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VC RENFFLEN, O. WALTER 333 WEST WACKER DRIVE CHICAGO IL ☐ DELETE	3.1 TITLE 3.2 NAME 3.3 STREET ADDRESS 3.4 CITY-ST-ZIP	V Foy, Stephen D. - - - 60606 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VT STABENOW, H. WILLIAM 333 WEST WACKER DRIVE CHICAGO IL ☐ DELETE	4.1 TITLE 4.2 NAME 4.3 STREET ADDRESS 4.4 CITY-ST-ZIP	500002207825 -06/10/97--01078--011 ***165.00 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VS WESOLOWSKI, JAMES J. 333 WEST WACKER DRIVE CHIC, ILL 00000 ☐ DELETE	5.1 TITLE 5.2 NAME 5.3 STREET ADDRESS 5.4 CITY-ST-ZIP	VS Zimmerman, Gifford R. - - - 60606 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVD DEAN, ANTHONY T 333 W. WACKER DR. CHICAGO IL ☐ DELETE	6.1 TITLE 6.2 NAME 6.3 STREET ADDRESS 6.4 CITY-ST-ZIP	V Amboian, John P. - - - 60606 ☒ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on attachment with an address.

SIGNATURE: Stephen D. Foy 4/30/97 312-917-7700
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR