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May 06 1997 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 820487

(7)

1. Corporation Name

A.G. EDWARDS & SONS, INC.

Principal Place of Business

ONE NORTH JEFFERSON
ST LOUIS MISSOURI 63103

Mailing Address

ONE NORTH JEFFERSON
ST LOUIS MISSOURI 63103-2205



3. Date Incorporated or Qualified

05/26/1967

3a. Date of Last Report

05/01/1996

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip

25 Country

24

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip

30 Country

4. FEI Number

43-0895447

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing

Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes

☒ Yes

☐ No

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE	CPD	☐ DELETE
NAME	EDWARDS III, BENJAMIN F.	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST. LOUIS MO	
TITLE	VPD	☒ DELETE
NAME	MESKER, DAVID W.	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST LOUIS MO	
TITLE	VSD	☐ DELETE
NAME	KELLY, DOUGLAS L	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST LOUIS MO	
TITLE	VD	☐ DELETE
NAME	KING, EUGENE J.	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST LOUIS MO	
TITLE	VAT	☐ DELETE
NAME	BIELICKE, LAWRENCE J.	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST. LOUIS MO	
TITLE	AV	☐ DELETE
NAME	BURRIS, DONNA	
STREET ADDRESS	ONE NORTH JEFFERSON	
CITY-ST-ZIP	ST. LOUIS MO	

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	☐ Change ☐ Addition
1.2 NAME	
1.3 STREET ADDRESS	
1.4 CITY-ST-ZIP	
2.1 TITLE	☐ Change ☒ Addition
2.2 NAME	CVP
2.3 STREET ADDRESS	Robert L. Proost
2.4 CITY-ST-ZIP	one N. Jefferson
3.1 TITLE	☐ Change ☐ Addition
3.2 NAME	St. Louis, mo 63103
3.3 STREET ADDRESS	
3.4 CITY-ST-ZIP	
4.1 TITLE	☐ Change ☐ Addition
4.2 NAME	
4.3 STREET ADDRESS	
4.4 CITY-ST-ZIP	
5.1 TITLE	☐ Change ☐ Addition
5.2 NAME	
5.3 STREET ADDRESS	
5.4 CITY-ST-ZIP	
6.1 TITLE	☐ Change ☐ Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: X

Signature and typed or printed name of signing officer or director

4/16/97

314 955 3000

CR2E034 (9/96)

A. G. Edwards & Sons, Inc.
Directors and Officers

Directors

Mary V. Atkin 468-76-0407	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Robert G. Avis 500-30-9657	Executive Vice President	One North Jefferson St. Louis, Mo 63103
Robert L. Bagby 495-44-0879	Executive Vice President	One North Jefferson St. Louis, Mo 63103
Donnis L. Casey 358-42-7185	Corporate Vice President	One North Jefferson St. Louis, Mo 63103
Paul B. Coffee 523-46-0797	Senior Vice President	6200 S. Syracuse Way Ste Englewood, Co 80111
Terry J. Dessent 330-38-4136	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Robert C. Dissett 330-28-1469	Executive Vice President Assistant Treasurer	One North Jefferson St. Louis, Mo 63103
Benjamin F. Edwards, III 498-36-0108	Chairman of the Board President	One North Jefferson St. Louis, Mo 63103
Benjamin F. Edwards, IV 486-64-4577	Executive Vice President	10401 Clayton Road St. Louis, Mo 63131
Siebe Rawls Fortenberry 435-50-3055	Senior Vice President	1501 First St. South Winter Haven, Fl 33880
Charles J. Galli 492-40-3648	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Alfred E. Goldman 496-34-8397	Corporate Vice President	One North Jefferson St. Louis, Mo 63103
Richard F. Grabish 492-52-8732	Senior Vice President	One North Jefferson St. Louis, Mo 63103
John H. Henning 512-32-9071	Senior Vice President	4740 Grand Ste. 100 Kansas City, Mo 64112
William V. Hyland 027-28-5275	Senior Vice President	101 Derby Street Hingham, MA 02043

A. G. Edwards & Sons, Inc.
Directors and Officers

Allan J. Kalb 151-26-7473	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Douglas L. Kelly 478-58-4371	Corporate Secretary Corporate Vice President	One North Jefferson St. Louis, Mo 63103
Ronald J. Kessler 493-48-0616	Corporate Vice President	One North Jefferson St. Louis, Mo 63103
Eugene J. King, Jr. 491-34-3156	Senior Vice President Assistant Treasurer	One North Jefferson St. Louis, Mo 63103
Earl D. Laing 196-26-7579	Senior Vice President	403 N. Shady Lane Dothan, AL 36303
Oliver M. Langenberg 488-01-3380	Senior Vice President	One North Jefferson St. Louis, Mo 63103
John F. Lee 488-01-3380	Senior Vice President	2180 Harvard St. Ste. 500 Sacramento, Ca 95815
Edwin E. Luke 376-28-8234	Senior Vice President	2377 S. Linden St. Flint, Mi 48532
Gregory C. Menne 493-44-9802	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Peter M. Miller 495-50-3383	Senior Vice President	4 Main Street Farmington, Ct. 06034
Marlow N. Morgan 434-62-5100	Senior Vice President	1904 Royal Avenue Monroe, La 71201
Howard R. Posner 054-28-2618	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Robert L. Proost 496-36-2788	Corporate Vice President Assistant Secretary	One North Jefferson St. Louis, Mo 63103
Donald N. Robinson 348-32-8788	Senior Vice President	One North Jefferson St. Louis, MO 63103
Jonathan D. Savage 350-50-6386	Senior Vice President	One North Jefferson St. Louis, Mo 63103
Michael Scafati 023-32-3181	Senior Vice President	One North Jefferson St. Louis, Mo 63103

A. G. Edwards & Sons, Inc.
Directors and Officers

Daniel J. Schaub 498-50-5031	Senior Vice President	One North Jefferson St. Louis, Mo 63103
David C. Sprowl 454-60-9877	Senior Vice President	2027 Taxoma Parkway Suite 1 Sherman, Tx 75090
William H. Sulya 332-30-5302	Senior Vice President	One North Jefferson St. Louis, Mo 63103
William J. Winter 497-46-6612	Senior Vice President Assistant Treasurer	One North Jefferson St. Louis, Mo 63103
Charles V. Zurfluh 500-44-2296	Senior Vice President	One North Jefferson St. Louis, Mo 63103

Officers

Lawrence J. Bielicke 357-28-6869	Vice President Assistant Treasurer	One North Jefferson St. Louis, Mo 63103
Donna L. Burris 351-38-5790	Associate Vice President	One North Jefferson St. Louis, Mo 63103
Joseph G. Porter 496-74-2853	Vice President	One North Jefferson St. Louis, Mo 63103
Thomas K. Goedeke 332-46-7059	Associate Vice President	One North Jefferson St. Louis, Mo 63103