

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 29, 2003 8:00 am
Secretary of State

04-29-2003 90036 025 ***150.00

DOCUMENT # 819570

1. Entity Name
UNITED LIFE & ANNUITY INSURANCE COMPANY



Principal Place of Business
**909 LOCUST STREET
DES MOINES IA 50309
US**

Mailing Address
**909 LOCUST STREET
DES MOINES IA 50309
US**

2. Principal Place of Business

3. Mailing Address
20 Washington Ave. South

Suite, Apt. #, etc.

Suite, Apt. #, etc.
Route 1261

City & State

City & State
Minneapolis, MN

Zip

Country

Zip

Country

55401

USA

4. FEI Number

72-0475131

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

☒ CHECK HERE IF MAKING CHANGES



6. Name and Address of Current Registered Agent

**STATE INSURANCE COMM.
CAPITOL BLDG.
TALLAHASSEE FL 32304**

7. Name and Address of New Registered Agent

Name

CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)

1200 South Pine Island Road

City

Plantation

FL

Zip Code

33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE *Andrea Mithras*
Signature, typed or printed name of registered agent and title if applicable.

Andrea Mithras, Asst Secy
(NOTE: Registered Agent signature required when reinstating)

4/25/03
DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution.

☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE **P** ☒ Delete
NAME **WELP, CHRISTOPHER R**
STREET ADDRESS **909 LOCUST STREET**
CITY-ST-ZIP **DES MOINES IA 50309**

TITLE **SVCF** ☒ Delete
NAME **SCHREIER, CHRIS D**
STREET ADDRESS **5780 POWER FERRY ROAD NW**
CITY-ST-ZIP **ATLANTA GA 30327**

TITLE **SV** ☐ Delete
NAME **COMBS, BOYD G**
STREET ADDRESS **5780 POWERS FERRY RD NW**
CITY-ST-ZIP **ATLANTA GA 30327**

TITLE **SVAS** ☐ Delete
NAME **JACOBSON, DAVID**
STREET ADDRESS **1475 DUNWOODY DRIVE**
CITY-ST-ZIP **WEST CHESTER PA 19380**

TITLE **SAT** ☒ Delete
NAME **MUMFORD, JAMES R**
STREET ADDRESS **909 LOCUST STREET**
CITY-ST-ZIP **DES MOINES IA 50309**

TITLE **D** ☐ Delete
NAME **GUBBAY, KEITH**
STREET ADDRESS **5780 POWERS FERRY ROAD, NW**
CITY-ST-ZIP **ATLANTA GA 30327**

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **VP** ☒ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **AS** ☐ Change ☒ Addition
NAME **Schoff, Rebecca A.**
STREET ADDRESS **20 Washington Avenue South**
CITY-ST-ZIP **Minneapolis, MN 55401**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **VP, Chief Compliance Officer and Assistant Secretary** ☒ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **S** ☐ Change ☒ Addition
NAME **Cludray-Engelke, Paula**
STREET ADDRESS **20 Washington Avenue South**
CITY-ST-ZIP **Minneapolis, MN 55401**

TITLE **PD** ☒ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Rebecca A. Schoff*
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Rebecca A. Schoff **4/24/03**

Date

Daytime Phone #

612-342-3920

CR2E034 (10/02)

Attachment

Active Officers/Directors by Company ^{#819570}

United Life & Annuity Insurance Company
909 Locust Street
Des Moines, IA 50309

Mailing Address:
20 Washington Avenue South
Route 1261
Minneapolis, MN 55401

<u>Director</u>	<u>Title</u>
Keith Gubbay	Director
Phillip Randall Lowery	Director
Thomas Joseph McInerney	Director
Mark Alan Tullis	Director
David Wheat	Director

<u>Officer</u>	<u>Title</u>
Keith Gubbay	President
Stephen Joseph Preston	Senior Vice President
Boyd George Combs	Senior Vice President, Tax
Linda Beblo	Vice President
Jeffrey Block	Vice President
Kevin Christensen	Vice President
Elizabeth Clifford	Vice President
Patricia Marie Corbett	Vice President
Shari Ann Enger	Vice President
Steven J. Haun	Vice President
Todd E. Nevenhoven	Vice President
Mark Alan Smith	Vice President
Christopher Robert Welp	Vice President
Mary Broesch	Vice President and Actuary
Michael Harris	Vice President and Actuary
Richard Lau	Vice President and Actuary
Alice Su	Vice President and Actuary
Lawrence Dale Taylor	Vice President and Actuary
Albert Joseph Zlogar	Vice President and Appointed Actuary
Frederick C. Litow	Vice President and Assistant Treasurer
David Lee Jacobson	Vice President, Chief Compliance Officer and Assistant Secretary
David Scott Pendergrass	Vice President and Treasurer
Renee Evelyn McKenzie	Vice President, Assistant Treasurer and Assistant Secretary
Cheryl Lynn Price	Vice President, Chief Financial Officer and Chief Accounting Officer
Richard Jay Slavens	Vice President, Compliance
Robin Lynn Angel	Vice President, Investments
Daniel J. Foley	Vice President, Investments
Maurice Melvin Moore	Vice President, Investments
Fred Cooper Smith	Vice President, Investments
Joseph J. Elmy	Vice President, Tax
Paula Cludray-Engelke	Secretary
Jane A. Boyle	Assistant Secretary
Linda H. Freitag	Assistant Secretary
Daniel F. Hinkel	Assistant Secretary
William Hope	Assistant Secretary
Joseph D. Horan	Assistant Secretary
Terri Wecker Maxwell	Assistant Secretary
Loralee A. Renelt	Assistant Secretary

attachment

Active Officers/Directors by Company ^{#819570}

Rebecca A. Schoff	Assistant Secretary
John Franklin Todd	Assistant Secretary
Glenn Allan Black	Tax Officer
Terry L. Owens	Tax Officer
James Taylor	Tax Officer
Jeffrey Block	Authorized Representative, 1035 Exchange
Kevin Christensen	Authorized Representative, 1035 Exchange
Kristi Cooper	Authorized Representative, 1035 Exchange
Patricia Marie Corbett	Authorized Representative, 1035 Exchange
Steven J. Haun	Authorized Representative, 1035 Exchange
Nancy Lay	Authorized Representative, 1035 Exchange
Todd E. Nevenhoven	Authorized Representative, 1035 Exchange
Mark Alan Smith	Authorized Representative, 1035 Exchange
Christopher Robert Welp	Authorized Representative, 1035 Exchange

Keith Gubbay

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327

Phillip Lowery

Business Address: ING America Insurance Holdings,
Inc.
General Manager and Chief
Actuary
5780 Powers Ferry Road NW
Atlanta, GA 30327

Thomas McInerney

Business Address: 5780 Powers Ferry Rd., NW
Atlanta, GA 30327-4390

Mark Tullis

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327-4390

Stephen Preston

Business Address: Golden American Life Insurance
Company
Senior Vice President
1475 Dunwoody Drive
West Chester, PA 19380

Boyd Combs

Business Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327-4390

Mark Smith

Home Address: 5916 Colorow Dr.
Morrison, CO 80465

attachment

Active Officers/Directors by Company ~~#~~819570

Christopher Welp

Business Equitable Life of Iowa
Address: 909 Locust Street
Des Moines, IA 50309

Frederick Litow

Business ING Americas
Address: 5780 Powers Ferry Road, NW
Atlanta, GA 30327

David Jacobson

Home Address: 22 Carriage Lane
Marlton, NJ 08053

David Pendergrass

Business 5780 Powers Ferry Road, NW
Address: Atlanta, GA 30327

Renee McKenzie

Business 5780 Powers Ferry Road, NW
Address: Atlanta,, GA 30327-4390

Cheryl Price

Business 5780 Powers Ferry Rd NW
Address: Atlanta, GA 30327

Robin Angel

Home Address: 1766 Ridgewood Dr.
Atlanta, GA 30307

Daniel Foley

Business 5780 Powers Ferry Road
Address: Atlanta, GA 30327

Maurice Moore

Business 5780 Powers Ferry Road NW
Address: Atlanta, GA 30327

Fred Smith

Business 5780 Powers Ferry Road, NW
Address: Atlanta, GA 30327

attachment

Active Officers/Directors by Company #819570

Joseph Elmy

Business 151 Farmington Avenue
Address: Hartford, CT 06516

Paula Cludray-Engelke

Business 20 Washington Avenue South
Address: Minneapolis, MN 55401

Loralee Renelt

Business 20 Washington Avenue So.
Address: Minneapolis, MN 55401

Rebecca Schoff

Business 20 Washington Avenue S.
Address: Minneapolis, MN 55401

John Todd

Business 151 Farmington
Address: Hartford, CT 06156

Glenn Black

Business 5780 Powers Ferry Road
Address: Atlanta, GA 30327-4390

Terry Owens

Business 5780 Powers Ferry Rd, NW
Address: Atlanta, GA 30327-4390

James Taylor

Business 5780 Powers Ferry Rd, NW
Address: Atlanta, GA 30327-4390