

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

FILED  
May 14 1998 8:00am  
Secretary of State

PROFIT  
CORPORATION  
ANNUAL REPORT  
1998



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # 819132 (2)  
1. Corporation Name  
SMITH BARNEY INC.



Principal Place of Business

388 GREENWICH STREET  
NEW YORK NY 10013  
US

Mailing Address

250 WEST STREET  
TAX DEPT 9TH FLR  
NEW YORK NY 10013  
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

11/02/1965

4. FEI Number

13-1912900

Applied For  
Not Applicable

5. Certificate of Status Desired

☐ \$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution

☐ \$5.00 May Be  
Added to Fees

8. This corporation owes or has paid the current year Intangible  
Personal Property Tax due June 30.

☐ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip Country

24 25

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip Country

29 30

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title, if applicable

(NOTE: Registered Agent's signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE VCD ☐ DELETE

NAME DRUSKIN, ROBERT  
STREET ADDRESS 388 GREENWICH ST.  
CITY-ST-ZIP NEW YORK NY 10013

TITLE EVPS ☐ DELETE

NAME SAKS, A. GEORGE  
STREET ADDRESS 388 GREENWICH ST.  
CITY-ST-ZIP NEW YORK NY 10013

TITLE CPD ☐ DELETE

NAME DIMON, JAMES  
STREET ADDRESS 388 GREENWICH ST.  
CITY-ST-ZIP NEW YORK NY 10013

TITLE VCD ☐ DELETE

NAME LESSIN, ROBERT  
STREET ADDRESS 388 GREENWICH ST  
CITY-ST-ZIP NEW YORK NY 10013

TITLE VCD ☐ DELETE

NAME PANITCH, MICHAEL B  
STREET ADDRESS 388 GREENWICH ST  
CITY-ST-ZIP NEW YORK NY 10013

TITLE FVP ☐ DELETE

NAME KOHN, GEORGE  
STREET ADDRESS 250 WEST STREET  
CITY-ST-ZIP NEW YORK NY 10013

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

S  
Mundheim, Robert  
7 World Trade Center  
New York, NY 10048

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE

CR2E034 (10/97)