

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 17, 2002 8:00 am**  
**Secretary of State**

04-17-2002 90266 001 \*\*\*450.00

**DOCUMENT # 818932**

1. Entity Name

**AMERICAN STATES LIFE INSURANCE COMPANY**

Principal Place of Business

**500 NORTH MERIDIAN STREET  
 INDIANAPOLIS INDIANA 46204**

Mailing Address

**REGULATORY COMPLIANCE  
 SAFECO PLAZA  
 SEATTLE WA 98185  
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

**35-1007048**

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

**\$8.75 Additional  
 Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER  
 CAPITOL BLDG  
 TALLAHASSEE FL**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent or both in the State of Florida.

SIGNATURE

(Signature typed or printed name of registered agent and State of Florida)

(NOTE: Registered Agent Signature required when not using)

DATE

9. This corporation is eligible to satisfy its intangible tax filing requirement and elects to do so (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00  
 After May 1, 2002 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution ☐

**\$5.00 May Be  
 Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

**PD** ☐ Delete  
**TALBOT, RANDALL H**  
**5069 154 PL NE**  
**REDMOND WA 98052**

☒ Change ☐ Addition  
**REDMOND, WA 98052-9669**

**EVD** ☐ Delete  
**HARBIN, ROGER F**  
**5069 154 PL NE**  
**REDMOND WA 98052**

☒ Change ☐ Addition  
**REDMOND, WA 98052-9669**

**SVSD** ☒ Delete  
**PIERSON, RODNEY A**  
**4333 BROOKLYN AVENUE N E**  
**SEATTLE WA 98105**

☐ Change ☒ Addition  
**D**  
**MICHAEL E. LaROCCO**  
**4333 BROOKLYN AVE NE**  
**SEATTLE, WA 98105-9903**

**D** ☒ Delete  
**CHAPMAN, DONALD S**  
**4333 BROOKLYN AV NE**  
**SEATTLE WA 98105**

☐ Change ☒ Addition  
**D**  
**DALE E. LAUER**  
**4333 BROOKLYN AVE NE**  
**SEATTLE, WA 98105-9903**

**VTD** ☒ Delete  
**SPAULDING, RONALD L**  
**601 UNION ST STE 2500**  
**SEATTLE WA 98101**

☐ Change ☒ Addition  
**SV/S/D**  
**CHRISTINE B. MEAD**  
**4333 BROOKLYN AVE NE**  
**SEATTLE, WA 98105-9903**

**AS** ☐ Delete  
**EGAN, RAY M**  
**4333 BROOKLYN AVENUE N E**  
**SEATTLE WA 98105**

☒ Change ☐ Addition  
**SEATTLE, WA 98105-9903**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Ray M. Egan*

March 27, 2002

(800) 544-2614

CMPLNC@SAFECO.COM

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR **RAY M. EGAN, ASST. SEC.**

Daytime Phone #

CR2E034 (9/01)

Attachment  
Document #  
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**AMERICAN STATES LIFE INSURANCE COMPANY**

|                        |   |                                           |
|------------------------|---|-------------------------------------------|
| Michael S. McGavick    | * | Chairman of the Board                     |
| Randall H. Talbot      | * | President                                 |
| Roger F. Harbin        | * | Executive V.P.                            |
| Allyn Close            |   | Sr. V.P.                                  |
| Patrick B. McCormick   |   | Sr. V.P.                                  |
| Christine B. Mead      | * | Sr. V.P., Secretary                       |
| M. Scott Taylor        |   | Sr. V.P.                                  |
| Stephen D. Collier     |   | V.P., Asst. Secy.                         |
| Jennifer V. Davies     |   | V.P.                                      |
| Michele M. Kemper      |   | V.P.                                      |
| Michael J. Kinzer      |   | V.P., Actuary                             |
| H. Paul Lowber         |   | V.P., Asst. Secy.                         |
| Kimberly E. McSheridan |   | V.P.                                      |
| Scott W. Owen          |   | V.P.                                      |
| George C. Pagos        |   | V.P., Associate General Counsel           |
| James Pirak            |   | V.P.                                      |
| Leslie J. Rice         |   | V.P., Controller, Asst. Secy.             |
| Craig J. Schmidt, MD   |   | V.P.                                      |
| Mark J. Simonetto      |   | V.P.                                      |
| Ronald L. Spaulding    | * | V.P., Treasurer                           |
| Paul A. Stevenson      |   | V.P.                                      |
| Philip Winkel          |   | V.P.                                      |
| Debra Gillespie        |   | Asst. V.P.                                |
| Bob Gooderl            |   | Asst. V.P.                                |
| Deanne L. Huff         |   | Asst. V.P.                                |
| Valerie J. Leyva       |   | Asst. V.P.                                |
| Michael E. Madden      |   | Asst. V.P.                                |
| Richard C. Manske      |   | Asst. V.P.                                |
| Colleen Murphy         |   | Asst. V.P., Asst. Controller, Asst. Secy. |
| Judy Walter            |   | Asst. V.P.                                |
| Debra K. Whitman       |   | Asst. V.P.                                |
| Jon David Parker       |   | Actuary                                   |
| Sheridan Hollender     |   | Asst. General Counsel, Asst. Secy.        |
| Michael Anderson       |   | Asst. Secy.                               |
| Ray M. Egan            |   | Asst. Secy.                               |
| Neal A. Fuller         |   | Asst. Secy.                               |
| Mike James             |   | Asst. Secy.                               |
| Susan Tracey           |   | Asst. Secy.                               |
| Bradford K. Young      |   | Asst. Secy.                               |
| Michael E. LaRocco     | * |                                           |
| Dale E. Lauer          | * |                                           |
| William T. Lebo        | * |                                           |
| James W. Ruddy         | * |                                           |
| Wayne H. Smith         | * |                                           |

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\* = Denotes Director

American States Life Insurance Company is 100% owned by SAFECO Corporation. The actual location of American States Life Insurance Company is: 500 North Meridian Street, Indianapolis, IN 64205-1275. The mailing address for the corporate headquarters is: Regulatory Compliance, SAFECO Plaza, Seattle, WA 98185-0001 and the email address is [cmplnc@safeco.com](mailto:cmplnc@safeco.com).

DATED: February 28, 2002