

# 2000 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Feb 11, 2000 8:00 am**  
**Secretary of State**

02-11-2000 90025 018 \*\*\*150.00

**DOCUMENT # 811311**

1. Entity Name

**ROYAL & SUNALLIANCE PERSONAL INSURANCE COMPANY**

Principal Place of Business  
**ONE CHASE PLAZA  
 38TH FLOOR  
 NEW YORK CITY NY 10022**

Mailing Address  
**9300 ARROWPOINT BLVD.  
 CHARLOTTE NC 28273-8136  
 US**



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **13-3635893**

Applied For  
 Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired  **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER  
 THE CAPITOL  
 TALLAHASSEE FL 32304**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so.   
 (See criteria on back)

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	<b>C</b>	<input type="checkbox"/> Delete
NAME	<b>MENDELSONN, ROBERT VICTOR</b>	
STREET ADDRESS	<b>9300 ARROWPOINT BLVD.</b>	
CITY-ST-ZIP	<b>CHARLOTTE NC 28273</b>	
TITLE	<b>PD</b>	<input type="checkbox"/> Delete
NAME	<b>BRODERICK, TERRY</b>	
STREET ADDRESS	<b>9300 ARROWPOINT BLVD.</b>	
CITY-ST-ZIP	<b>CHARLOTTE NC 28273</b>	
TITLE	<b>D</b>	<input type="checkbox"/> Delete
NAME	<b>FISHER, JOSEPH F</b>	
STREET ADDRESS	<b>9300 ARROWPOINT BLVD.</b>	
CITY-ST-ZIP	<b>CHARLOTTE NC 28273</b>	
TITLE	<b>D</b>	<input type="checkbox"/> Delete
NAME	<b>DUSZENCZUK, ANDREA</b>	
STREET ADDRESS	<b>2351 NORTH FOREST ROAD</b>	
CITY-ST-ZIP	<b>GETZVILLE NY 14068-1225</b>	
TITLE	<b>SRVD</b>	<input type="checkbox"/> Delete
NAME	<b>MCDONALD, JAMES D</b>	
STREET ADDRESS	<b>9300 ARROWPOINT BLVD</b>	
CITY-ST-ZIP	<b>CHARLOTTE NC 28273</b>	
TITLE	<b>D</b>	<input type="checkbox"/> Delete
NAME	<b>KOGEL, V. MICHAEL</b>	
STREET ADDRESS	<b>TWO JERICHO PLAZA</b>	
CITY-ST-ZIP	<b>JERICHO NY 11753-0873</b>	

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE	<b>D, SVP</b>	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>Fisher, Joseph F.</b>	
STREET ADDRESS	<b>9300 Arrowpoint Boulevard</b>	
CITY-ST-ZIP	<b>Charlotte, NC 28273</b>	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE	<b>SVP</b>	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>McDonald, James D.</b>	
STREET ADDRESS	<b>9300 Arrowpoint Boulevard</b>	
CITY-ST-ZIP	<b>Charlotte, NC 28273</b>	
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Joyce W. Wheeler*  
**Joyce W. Wheeler, Corporate Secretary**

1/31/2000

704/522-2000

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

811311

A00206c

Royal & SunAlliance Personal Insurance Company  
document #811311

Additional Officers and Directors

John Walter Bartlett	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Sean Antony Beatty	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
William Marston Becker	D,COO	9 Farm Springs Drive	Farmington, CT 06032
Leland Harold Beman	VP	9 Farm Springs Drive	Farmington, CT 06032
Mark William Bowsher	VP	1045 James Street	Syracuse, NY 13203
Peter John Brown, III	VP	1045 James Street	Syracuse, NY 13221
Gary Lee Buhmeyer, Jr.	VP	1224 Deming Way	Madison, WI 53717
Loren Wayne Claypool	VP	1240 East Diehl Road, Suite 500	Naperville, IL 60566
Leonard David Davenport	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Michael Steven DeCarlo	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
James Andrew Dixon	VP	945 East Paces Ferry Road, Suite 1890	Atlanta, GA 30326-1125
John Thomas Dummier	VP	Two Jericho Plaza	Jericho, NY 11753-0873
John Andrew Erickson	VP	9800 South Meridian Boulevard	Englewood, CO 80155
Stephen John Frisina	VP	30 Corporate Park, Suite 207	Irvine, CA 92606
Ernest C. Frohboese	D,SVP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Lawrence William Gowen	VP,T	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
David Kennedy Graham	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Wendy Tipton Harrigan	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Richard David Harris	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Raymond William Jacobsen	VP	500 Park Boulevard, Suite 900	Itasca, IL 60143
Susan Carol Kesselman	D	One Chase Plaza, 38th Floor	New York, NY 10005
Jeffrey Mark Klein	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Laura Stone Lawrence	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
John Jeffrey Limpert	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Claudia Fober Lindsey	SVP	9 Farm Springs Drive	Farmington, CT 06032
David Delamater Mackintosh Jr.	D	400 West Division Street	Syracuse, NY 13204
Gregory Jay Madson	VP	1224 Deming Way	Madison, WI 53717
Michael James McGinley	SVP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Thomas McMahon	D,VP	One Chase Plaza, 38th Floor	New York, NY 10005
Joseph John Mistretta	VP	9 Farm Springs Drive	Farmington, CT 06032
Joseph John Monteleone	VP	9300 Arrowpoint Boulevard,	Charlotte, NC 28273-8135
Rickie June Morgan	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Stephen Michael Mulready	VP	9 Farm Springs Drive	Farmington, CT 06032
Thomas Mitchell Okarna	VP	2959 Monterey/Salinas Highway	Monterey, CA 93940
Michael Lee Pautler	VP	9 Farm Springs Drive	Farmington, CT 06032
Andrea Lynn Peck	VP	9800 South Meridian Parkway	Englewood, CO 80112
Linda Y. Pettigrew	Asst S	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
James Ray Pouliot	D,COO	9800 South Meridian Boulevard	Englewood, CO 80112
John Pizetoski	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Daniel Allen Reppert	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Charles Kevin Schuver	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
David Bruce Semeraro	VP	9 Farm Springs Drive	Farmington, CT 06032
Lary Gene Simmons	D,COO	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Judy Swartout Spitzer	Asst S	9 Farm Springs Drive	Farmington, CT 06032
Brian Clifford Stahl	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Paul Harmon Stewman	D,EVP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
John Tighe	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Gregory Michael Vezzosi	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Peter Michael Vinci	VP	9 Farm Springs Drive	Farmington, CT 06032
Thomas Gill Walsh	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
John Thomas Wayhart	VP	2443 Warrenville Road, Suite 115	Lisle, IL 60532
Joyce Wethington Wheeler	D,SVP,S	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135
Robert Donald Wilkes	VP	9800 South Meridian Boulevard	Englewood, CO 80112
James Gracen Williams, III	VP	9300 Arrowpoint Boulevard	Charlotte, NC 28273-8135