

2008 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 29, 2008 8:00 am
Secretary of State

04-29-2008 90083 013 ***150.00

DOCUMENT #811107 1. Entity Name UNITED TECHNOLOGIES CORPORATION					
Principal Place of Business 1 FINANCIAL PLAZA 25 FLOOR TAX DEPT HARTFORD, CT 06101			Mailing Address 1 FINANCIAL PLAZA 25 FLOOR TAX DEPT HARTFORD, CT 06101		
2. Principal Place of Business - No P.O. Box # Suite, Apt. #, etc.		3. Mailing Address Suite, Apt. #, etc.			
City & State Zip Country		City & State Zip Country		4. FEI Number 06-0570975	
5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required				Applied For ☐ Not Applicable	
6. Name and Address of Current Registered Agent CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION, FL 33324			7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code		
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. SIGNATURE _____ Signature typed or printed (name of registered agent and title is acceptable) (NOTE: Registered Agent signature required when resigning) STATE					
FILE NOW!!! FEE IS \$150.00 After May 1, 2008 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees			
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY- ST- ZIP	VGC TRACHSEL, WILLIAM H 1 FINANCIAL PLAZA HARTFORD, CT 06101	☒ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP	VGC Gill, Charles 1 Financial Plaza Hartford, CT 06101	☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY- ST- ZIP	VTAX TREICHEL, TOBIN 1 FINANCIAL PLAZA HARTFORD, CT 06101	☐ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP		☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY- ST- ZIP	VT ROGAN, THOMAS 1 FINANCIAL PLAZA HARTFORD, CT 06101	☐ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP		☐ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY- ST- ZIP	SVP BUCKNALL, WILLIAM 1 FINANCIAL PLAZA HARTFORD, CT 06101	☒ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP	SVP Bowler, James 1 Financial Plaza Hartford, CT, 06101	☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY- ST- ZIP	PDC DAVID, GEORGE AL 1 FINANCIAL PLAZA HARTFORD, CT 06101	☒ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP	PDC Chenevert, Louis R. 1 Financial Plaza Hartford, CT 06101	☐ Change ☒ Addition
TITLE NAME STREET ADDRESS CITY- ST- ZIP	VAC HAYES, GREGORY 1 FINANCIAL PLAZA HARTFORD, CT 06101	☐ Delete	TITLE NAME STREET ADDRESS CITY- ST- ZIP		☐ Change ☐ Addition
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other key employees.					
SIGNATURE: _____ 4/24/08 SAK SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone					



Tasks | ☒ Overdue [0] | ☐ Due Today [0] | ☐ Upcoming [0] | Search | Wizards

Search

As Of 04/08/2008

 Today

Column

Legal Name: United Technologies Corporation (DE)

State File #: 0334827

Reference ID: 1707

EIN: 06-0570975

Formation Jurisdiction: Delaware

Formation Date: 21-Jul-1934

Status: Operating**Status Effective From:**

Entity Type: Inc, Corp, Co or Ltd - stock corporation

Fiscal Year End :

Date Last Edited: 7-Apr-2008

Address: One Financial Plaza
Hartford
CT 06101
United States

Custom Field	Value
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Additional Links

Automated Voting Interest - Parents

Board of Directors

Appointed Entity	Date First Elected	Date Last Elected
<u>Andre Villeneuve</u>	10/06/1997	
<u>Charles R. Lee</u>	01/31/1994	
<u>Christine Whitman</u>	12/10/2003	
<u>Frank Popoff</u>	06/14/1996	
<u>George A. L. David</u>	02/24/1992	
<u>H. Patrick Swygert</u>	07/26/2001	
<u>Harold McGraw III</u>	12/10/2003	
<u>Harold Wagner</u>	07/01/1994	
<u>Jamie Gorelick</u>	02/07/2000	
<u>Jean-Pierre Garnier</u>	02/03/1997	
<u>John Faraci</u>	12/14/2005	
<u>Louis R. Chenevert</u>	03/08/2006	
<u>Richard B. Myers</u>	09/13/2006	
<u>Richard McCormick</u>	02/08/1999	

Officers

Type	Appointed Entity	Date First Elected
Assistant Secretary	<u>Reginald R. Paige, Sr.</u>	02/01/2000
Assistant Secretary	<u>Catherine Bashaw</u>	05/15/2000
Assistant Secretary	<u>Christopher B. McDavid</u>	06/01/2000
Assistant Secretary	<u>Christopher Brogan</u>	03/17/2000
Assistant Secretary	<u>Clinton Gardiner</u>	03/04/2000
Assistant Secretary	<u>David Ware</u>	06/07/2000
Assistant Secretary	<u>Donald Cawley</u>	01/17/2000
Assistant Secretary	<u>Eugene Monahan</u>	02/16/1999
Assistant Secretary	<u>F. Scott Wilson</u>	11/01/1999
Assistant Secretary	<u>George Romanik</u>	04/30/2000
Assistant Secretary	<u>Joseph Santos</u>	08/21/2000
Assistant Secretary	<u>Lawrence Mowell</u>	09/09/1999
Assistant Secretary	<u>Michael Brown</u>	03/17/2000
Assistant Secretary	<u>Peter Graber-Lipperman</u>	06/01/2000

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Assistant Secretary	Peter Gutermann	01/08/200
Assistant Secretary	Richard Kaplan	
Assistant Secretary	Robert Hayter	11/06/200
Assistant Secretary	Sean O'Brien	01/17/200
Assistant Secretary	Susan Brattebo	08/30/200
Associate General Counsel & Assistant Secretary	Charles Hildebrand	11/16/199
Chairman and Chief Executive Officer	George A. L. David	03/08/200
Chief Operating Officer	Louis R. Chenevert ✓	03/08/200
President	Louis R. Chenevert	03/08/200
Senior Vice President - Communications	Nancy Lintner	03/30/200
Senior Vice President and General Counsel	Charles Gill ✓	04/11/200
Senior Vice President Human Resources & Organization	James Bowler ✓	11/01/200
Senior Vice President International Affairs & Government Relations	Alison (Lisi) Kaufman	02/01/200
Senior Vice President, Science & Technology	J. Michael McQuade	04/11/200
Vice President - Business Practices	Michael Monts	04/11/200
Vice President & Controller	Margaret Smyth	08/15/200
Vice President & Treasurer	Thomas Rogan ✓	
Vice President Finance	James E. Geisler	02/02/200
Vice President Operations	Jothi Purushotaman	01/01/200
Vice President Tax	Tobin Treichel ✓	07/21/200
Vice President, Accounting and Finance	Gregory Hayes ✓	07/10/200
Vice President, E-Business & Chief Information Officer	John Doucette	10/11/200
Vice President, Secretary and Associate General Counsel	Kathleen Hopko	11/01/200

Authorized Summary

Par Value	Security	Amount Authorized	Value Authorized	Amount
1.000000000	Common Stock	4,000,000,000.000000000	4,000,000,000.000000000	1,365,94
1.000000000	Preferred Stock	250,000,000.000000000	250,000,000.000000000	0.000000

Stockholder/Shareholder Summary

Registered Holder	Security	Total Holding
General Public Shareholders	Common Stock	1,301,076,385.000000000
UTC Employee Stock Ownership Plan	Common Stock	64,872,095.000000000

Foreign Qualifications

Jurisdiction	Date Qualified	Agent
Alabama	02/25/1969	
California	10/17/1955	
Connecticut	08/27/1934	
Florida	06/19/1956	
Georgia	01/22/1982	
Maine	02/06/1979	
Michigan	03/08/1967	
Missouri	11/25/2003	
New York	06/26/1958	
Texas	04/13/1999	
Virginia	01/31/1986	
Washington DC	04/17/1959	

Non-Capital Ownership

Member	Membership Type	Certificate No.	% Parent Voting Held
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Contacts

Contact Type	Entity Contact	Entity Contact Address	Indiv
Responsible Attorney	Charles Hildebrand		
Responsible Paralegal	Christina Monteith		