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# Secretary of State

03-16-1999 90131 002 ***150.00

PROFIT CORPORATION ANNUAL REPORT

**1999**

FLORIDA DEPARTMENT OF STATE
**Katherine Harris**
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 804890

1. Corporation Name

~~THE MUTUAL LIFE INSURANCE COMPANY OF NEW YORK~~

MONY Life Insurance Company

| Principal Place of Business | Mailing Address |
|---|---|
| 1740 BROADWAY<br>M.D. 8-11<br>NEW YORK NY 10019<br>US | 1740 BROADWAY<br>M.D. 8-11<br>NEW YORK NY 10019<br>US |

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualifed: 04/06/1938

2. Principal Place of Business — 21
Suite, Apt. #, etc. — 22
City & State — 23
Zip — 24 Country — 25

2a. Mailing Address — 26
Suite, Apt. #, etc. — 27
City & State — 28
Zip — 29 Country — 30

4. FEI Number: 13-1632487 ☐ Applied For ☐ Not Applicable

5. Certifcate of Status Desired ☐ **$8.75** Additional Fee Required

6. Election Campaign Financing Trust Fund Contribution ☐ **$5.00** May Be Added to Fees

8. This corporation owes the current year Intangible Personal Property Tax. ☒ Yes ☐ No

**9. Name and Address of Current Registered Agent**

INSURANCE COMMISSIONER
CAPITOL BLDG
TALLAHASSEE FL 32399

**10. Name and Address of New Registered Agent**

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City **FL** 85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ DATE
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

| 12. OFFICERS AND DIRECTORS | | | 13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12 | | |
|---|---|---|---|---|---|
| TITLE | EVD | ☐ DELETE | 1.1 TITLE | | ☐ Change ☐ Addition |
| NAME | LEVINE, KENNETH M | | 1.2 NAME | | |
| STREET ADDRESS | 1740 BRAODWAY | | 1.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 1.4 CITY-ST-ZIP | | |
| TITLE | VPS | ☐ DELETE | 2.1 TITLE | | ☐ Change ☐ Addition |
| NAME | CONKLIN, THOMAS J. | | 2.2 NAME | | |
| STREET ADDRESS | 1740 BROADWAY | | 2.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 2.4 CITY-ST-ZIP | | |
| TITLE | PCD | ☐ DELETE | 3.1 TITLE | | ☐ Change ☐ Addition |
| NAME | FOTI, SAMUEL J. | | 3.2 NAME | | |
| STREET ADDRESS | 1740 BROADWAY | | 3.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 3.4 CITY-ST-ZIP | | |
| TITLE | D | ☐ DELETE | 4.1 TITLE | | ☐ Change ☐ Addition |
| NAME | FARLEY, JAMES B. | | 4.2 NAME | | |
| STREET ADDRESS | 1740 BROADWAY | | 4.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 4.4 CITY-ST-ZIP | | |
| TITLE | EVPC | ☐ DELETE | 5.1 TITLE | | ☐ Change ☐ Addition |
| NAME | DADDARIO, RICHARD | | 5.2 NAME | | |
| STREET ADDRESS | 1740 BROADWAY | | 5.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 5.4 CITY-ST-ZIP | | |
| TITLE | CCD | ☐ DELETE | 6.1 TITLE | | ☐ Change ☐ Addition |
| NAME | ROTH, MICHAEL I. | | 6.2 NAME | | |
| STREET ADDRESS | 1740 BROADWAY | | 6.3 STREET ADDRESS | | |
| CITY-ST-ZIP | NEW YORK NY | | 6.4 CITY-ST-ZIP | | |

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:** SIGNATURE REQUIRED — 1/14/99 — (212) 708-2255
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #

Michael I. Roth, Chairman and Chief Executive Officer

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MONY LIFE INSURANCE COMPANY

**Officer Listing**

**OFFICERS**

| NAME | ADDRESS | TITLE |
|---|---|---|
| Michael I. Roth | 20 Pond View Lane<br>Stamford, CT 06903 | Chairman of the Board, and Chief Executive Officer |
| Samuel J. Foti | 1070 Lake Avenue<br>Greenwich, CT 06831 | President, and Chief Operating Officer |
| Richard Daddario | 103 Wild Duck Road<br>Wilton, CT 06897 | Executive Vice President and Chief Financial Officer |
| Kenneth M. Levine | 551 Wayne Drive<br>River Vale, NJ 07675 | Executive Vice President and Chief Investment Officer |
| Thomas J. Conklin | 24 Indian Hill Road<br>Brewster, NY 10509 | Senior Vice President and Secretary |
| Phillip A. Eisenberg | 216 Sollas Court<br>Ridgewood, NJ 07450 | Senior Vice President and Chief Actuary |
| Richard E. Mulroy, Jr. | 355 Kensington Dr.<br>Ridgewood, NJ 07450 | Senior Vice President and General Counsel |
| Richard E. Connors | 33 Wild Turkey Court<br>Ridgefield, CT 06877 | Senior Vice President |
| Stephen J. Hall | 501 Silvermine Road<br>New Canaan, CT 06840 | Senior Vice President |
| Francis J. Waldron | 421 Stanwich Rd.<br>Greenwich, CT 06830 | Senior Vice President |
| Victor Ugolyn | 17 Cardinal Court<br>Ridgefield, CT 06877 | Senior Vice President |
| David V. Weigel | 40 Everdell Ave.<br>Hillsdale, NJ 07642 | Vice President-Treasurer |

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MONY LIFE INSURANCE COMPANY
DIRECTORS LIST

| NAME | ADDRESS |
|---|---|
| Claude Mark Ballard, Jr. | 1800 Deerfield Road<br>Dripping Springs, TX 78620 |
| Tom H. Barrett | 2135 Stockbridge Road<br>Akron, OH 44313 |
| David L. Call | 108 Comstock Road<br>Ithaca, NY 14850 |
| G. Robert Durham | 244 Carlyle Lake Drive<br>Creve Coeur, MO 63141 |
| James B. Farley | Villa D'Este<br>2665 North Ocean Blvd.<br>Delray Beach, FL 33483 |
| Samuel J. Foti | 1070 Lake Avenue<br>Greenwich, CT 06831 |
| Robert Holland, Jr. | 257 Soundview Avenue<br>White Plains, NY 10606 |
| James L. Johnson | 1603 Cottonwood Valley Circle No.<br>Irving, TX 75038 |
| Robert R. Kiley | 59 E. 90th Street<br>New York, NY 10128 |
| Kenneth M. Levine | 551 Wayne Drive<br>River Vale, NJ 07675 |
| John R. Meyer | 9439 Cotton Court<br>Sanibel, FL 33957 |
| Jane C. Pfeiffer | 1050 Beach Road<br>Vero Beach, FL 32963 |
| Michael I. Roth | 20 Pond View Lane<br>Stamford, CT 06903 |
| Thomas C. Theobald | 1430 Lakeview Avenue<br>Chicago, IL 60614 |

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