

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1997



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

FILED

97 JUN 27 AM 6:06

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DOCUMENT # 803287 (2)  
1. Corporation Name  
NEW YORK LIFE INSURANCE COMPANY



Principal Place of Business  
51 MADISON AVE  
NEW YORK NY 10010-1686

Mailing Address  
51 MADISON AVE  
NEW YORK NY 10010-1603

3. Date Incorporated or Qualified 02/17/1928 3a. Date of Last Report 05/01/1996

2. Principal Place of Business

2a. Mailing Address

4. FEI Number  
13-5582869

Applied For  
Not Applicable

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

22 City & State

27 City & State

6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees

23 Zip

Country

28 Zip

Country

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☐ No

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9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

INSURANCE COMMISSIONER  
THE CAPITOL  
PLAZA LEVEL ELEVEN  
TALLAHASSEE FL 32399-0300

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

700002228787-7  
-07/02/97--01040--020  
\*\*\*\*165.00 FL \*\*\*\*165.00

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
VT  
CALHOUN, JAY S II  
51 MADISON AVE.  
NEW YORK NY 10010-0

1.1 TITLE  
1.2 NAME  
1.3 STREET ADDRESS  
1.4 CITY-ST-ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
CD  
HOHN, HARRY G  
51 MADISON AVE  
NEW YORK NY 10010

2.1 TITLE  
2.2 NAME  
2.3 STREET ADDRESS  
2.4 CITY-ST-ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
D  
ROSS, DONALD K  
51 MADISON AVE  
NEW YORK NY 10010

3.1 TITLE  
3.2 NAME  
3.3 STREET ADDRESS  
3.4 CITY-ST-ZIP  
PDC (Effective 4/1/97)  
STERNBERG, SEYMOUR  
51 MADISON AVE  
NEW YORK NY 10010  
☐ Change ☒ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
D  
BURNS, WILLIAM G  
51 MADISON AVE.  
NEW YORK NY 10010

4.1 TITLE  
4.2 NAME  
4.3 STREET ADDRESS  
4.4 CITY-ST-ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
☐ DELETE

5.1 TITLE  
5.2 NAME  
5.3 STREET ADDRESS  
5.4 CITY-ST-ZIP  
VS  
TRAPP, GEORGE J.  
51  
NEW YORK NY 10010  
☐ Change ☒ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
☐ DELETE

6.1 TITLE  
6.2 NAME  
6.3 STREET ADDRESS  
6.4 CITY-ST-ZIP  
☐ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: Maryann Incenito April 17, 1997 (212) 576-7120

CR2E034 (9/96)

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**NEW YORK LIFE INSURANCE COMPANY**

**DIRECTORS**

Robert M. Baylis	51 Madison Avenue, New York, New York 10010
William G. Burns	51 Madison Avenue, New York, New York 10010
Patricia T. Carbine	51 Madison Avenue, New York, New York 10010
Paul W. Douglas	51 Madison Avenue, New York, New York 10010
Kent B. Foster	51 Madison Avenue, New York, New York 10010
Richard L. Gelb	51 Madison Avenue, New York, New York 10010
William R. Grant	51 Madison Avenue, New York, New York 10010
Conrad K. Harper	51 Madison Avenue, New York, New York 10010
Richard M. Kernan, Jr.	51 Madison Avenue, New York, New York 10010
Thomas W. Langfitt, MD	51 Madison Avenue, New York, New York 10010
Leslie G. McCraw	51 Madison Avenue, New York, New York 10010
David W. Mitchell	51 Madison Avenue, New York, New York 10010
Richard R. Pivrotto	51 Madison Avenue, New York, New York 10010
Robert J. Richardson	51 Madison Avenue, New York, New York 10010
Frederick J. Sievert	51 Madison Avenue, New York, New York 10010

**DIRECTORS EMERITI**

William A. Marquard	51 Madison Avenue, New York, New York 10010
George B. Munroe	51 Madison Avenue, New York, New York 10010
George L. Shinn	51 Madison Avenue, New York, New York 10010
Margaret B. Young	51 Madison Avenue, New York, New York 10010

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## OFFICERS

Seymour Sternberg Chairman of the Board & Chief Executive Officer (effective 4/1/97)	51 Madison Avenue, New York, New York 10010
Lee M. Gammill, Jr. Vice Chairmen	51 Madison Avenue, New York, New York 10010
Howard I. Atkins. Executive Vice President and Chief Financial Officer	51 Madison Avenue, New York, New York 10010
Alice T. Kane Executive Vice President	51 Madison Avenue, New York, New York 10010
Richard M. Kernan, Jr. Executive Vice President and Chief Investment Officer	51 Madison Avenue, New York, New York 10010
Frederick J. Sievert Executive Vice President	51 Madison Avenue, New York, New York 10010
Frank M. Boccio Senior Vice President	51 Madison Avenue, New York, New York 10010
Jefferson C. Boyce Senior Vice President	51 Madison Avenue, New York, New York 10010
Patrick G. Boyle Senior Vice President	51 Madison Avenue, New York, New York 10010
Steven R. Braden Senior Vice President	51 Madison Avenue, New York, New York 10010
Walter M. Brady Senior Vice President	51 Madison Avenue, New York, New York 10010
Carl A. Brauer Senior Vice President	51 Madison Avenue, New York, New York 10010
Michael L. Callahan Senior Vice President	51 Madison Avenue, New York, New York 10010
Marc J. Chalfin Senior Vice President and Controller	51 Madison Avenue, New York, New York 10010

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Jessie M. Colgate Senior Vice President	51 Madison Avenue, New York, New York 10010
John J. DiNiro Senior Vice President	51 Madison Avenue, New York, New York 10010
Michael G. Gallo Senior Vice President	51 Madison Avenue, New York, New York 10010
Solomon Goldfinger Senior Vice President & Chief Financial Officer-Individual Operations	51 Madison Avenue, New York, New York 10010
Richard A. Hansen Senior Vice President	51 Madison Avenue, New York, New York 10010
Jean E. Hoysradt Senior Vice President	51 Madison Avenue, New York, New York 10010
Gerald Kaplan Senior Vice President and Tax Counsel	51 Madison Avenue, New York, New York 10010
Thomas W. Kelly Senior Vice President	51 Madison Avenue, New York, New York 10010
Leroy M. Keone Senior Vice President	2020 English Oak Court, Danville, CA 94506
Lee Lapioli Senior Vice President and Chief Information Officer	51 Madison Avenue, New York, New York 10010
Michael J. McLaughlin Senior Vice President and General Counsel	51 Madison Avenue, New York, New York 10010
Paul B. Morris Senior Vice President	51 Madison Avenue, New York, New York 10010
Carolyn M. Nightingale Senior Vice President	51 Madison Avenue, New York, New York 10010
Melbourne Nunes Senior Vice President and Deputy General Counsel	51 Madison Avenue, New York, New York 10010

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Frank J. Ollari Senior Vice President	51 Madison Avenue, New York, New York 10010
Joanne Pieper Senior Vice President	51 Madison Avenue, New York, New York 10010
Anne F. Pollack Senior Vice President	51 Madison Avenue, New York, New York 10010
Stephen G. Ray Senior Vice President	51 Madison Avenue, New York, New York 10010
Michael W Reeves Senior Vice President	51 Madison Avenue, New York, New York 10010
Robert D. Rock Senior Vice President	51 Madison Avenue, New York, New York 10010
Lester L. Schoenberg Senior Vice President	51 Madison Avenue, New York, New York 10010
Robert L. Smith Senior Vice President	51 Madison Avenue, New York, New York 10010
Linda B. Staniar Senior Vice President	51 Madison Avenue, New York, New York 10010
Stephen N. Steinig Senior Vice President & Chief Actuary	51 Madison Avenue, New York, New York 10010
Charles A. Stringfellow Senior Vice President	51 Madison Avenue, New York, New York 10010
Walter W. Ubl Senior Vice President	51 Madison Avenue, New York, New York 10010
Thomas J. Warga Senior Vice President and General Auditor	51 Madison Avenue, New York, New York 10010
Richard A. Wecker Senior Vice President	51 Madison Avenue, New York, New York 10010

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ANNUAL STATEMENT FOR THE YEAR 1996 OF THE NEW YORK LIFE INSURANCE COMPANY

DIRECTORS AND OFFICERS INFORMATION

LIFE, ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION  
THIS FORM IS ONLY TO CONTAIN CHANGES FROM 1995 TO 1996. NEW OR EX DIRECTORS AND/OR CHANGE IN ANY OTHER INFORMATION REPORTED LAST YEAR.

01	02	03	04	05	06	07	08	09	10	11	12	13
Last Name	First Name	Middle Name	Suffix	Social Security Number	Date of Birth	Position 01 Director 02 Officer, 03 both 04 Attorney-In-Fact	Position Held Since	Ceased Employment	Changed Position	Street Address Number 1	Street Address Number 2	Street Address Number 3
01. Atkins	Howard	Ian	Jr.	130-40-8536	02/21/1951	02	04/15/1996			20 Candlerood Springs		
02. Kernan	Richard	Michael		325-32-0719	12/13/1940	03		11/20/1996		23 Stoney Brook Road		
03. McNeil	Gary	Ross		332-42-2265	09/29/1947	02		11/20/1996		88 Brookside Drive		
04. Albert	Julius	Gene		333-42-9462	09/06/1948	02		1/22/1995*		272 Putnam Road		
05. Coloffinger	Solomon	William		070-42-4038	05/01/1950	02			07/91/1995*	147-19 70th Avenue		
06. Kelly	Thomas	Marie		181-40-9786	03/01/1947	02			03/18/1996	6333 MacArthur Drive		
07. Nightingale	Carolyn	William		270-40-4478	04/30/1943	02		01/29/1996		94 Poppon Street		
08. Pieper	Joanne	Gerald		105-40-8984	10/08/1946	02			08/15/1996	65 Van Scait Lane		
09. Ray	Stephen	Thomas		450-80-2256	04/03/1950	02		1/22/1996		10 Brook Street	Suite 7C	
10. Smith	Paul	Thomas		111-30-0267	05/17/1938	02			03/18/1996	194 Marshall's Court	Apt. E-1407	
11. Stanhar	India	Burton		154-38-9499	07/06/1948	02			03/18/1996	194 Marshall's Court		
12. Stringfellow	Charles	Andre		229-44-1582	02/26/1937	02			03/18/1996	72 Caminos Point Road		
13. Baylis	Robert	Montague	Jr.	101-26-2128	03/25/1934	01	01/18/1996	11/20/1996		200 East 66th Street	Apt. C-2103	
14. Gamitt	Lee	Korgan		578-58-3193	12/02/1940	01				425 Lexington Avenue		
15. Harper	Conrad	Kenneth		068-24-0081	10/01/1925	01	07/17/1996	04/10/1996		953 Clermont Lane		
16. Ross	Donald	Keith		370-44-4204	02/07/1948	01	11/20/1996			198 West Hill's Road		
17. Stewart	Frederick	James										
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\* This was inadvertently not reported in 1995.

