

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 800416 (0)

1. Corporation Name

THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES

Principal Place of Business

Mailing Address

787 SEVENTH AVENUE
NEW YORK N. 10019
US

135 WEST 50TH ST
THIRD FLOOR
NEW YORK N. 10020
US



2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

3. Date Incorporated or Qualified

08/06/1912

3a. Date of Last Report

04/21/1995

4. FEI Number

13-5570651

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☒ Yes ☐ No

MORRIS, JOSEPH E
50 N LAURA ST
JACKSONVILLE FL 32202

10. Name and Address of New Registered Agent

81. Name

82. Street Address (P.O. Box Number is Not Acceptable)

83.

84. City

FL

85. Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

☐ DELETE

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

CD
JENRETTE, RICHARD
787 SEVENTH AVENUE
NEW YORK NY

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

VS
HEINS, MOLLY K.
787 SEVENTH AVENUE
NEW YORK NY

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

VT
BYRNE, KEVIN R
787 SEVENTH AVENUE
NEW YORK NY

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

PD
MELONE, JOSEPH J
787 SEVENTH AVENUE
NEW YORK NY

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

VA
KIERNAN, WILLIAM J.
135 W. 50TH ST., 3RD FL
NEW YORK N.

TITLE

NAME

STREET ADDRESS

CITY - ST - ZIP

V
REDDY, JAMES S
135 W. 50TH ST., 3RD FL.
NEW YORK N.

☐ DELETE

13.

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

☐ Change

☐ Addition

1.1 TITLE

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY - ST - ZIP

2.1 TITLE

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY - ST - ZIP

PAULINE SHERMAN

☒ Change

☐ Addition

3.1 TITLE

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY - ST - ZIP

☐ Change

☐ Addition

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY - ST - ZIP

CHAIRMAN OF BOARD

☒ Change

☐ Addition

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY - ST - ZIP

☐ Change

☐ Addition

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY - ST - ZIP

☐ Change

☐ Addition

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as it made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone

4-22-96 (212) 641-8188

CR2E034 (12/95)

ANNUAL STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 1995
OF THE CONDITIONS AND AFFAIRS OF
THE EQUITABLE LIFE ASSURANCE SOCIETY
OF THE UNITED STATES

NAIC Group Code: 511 NAIC Company Code: 62944 Employer's ID Number: 13-5570651

Organized under the Laws of the State of NEW YORK,
using _____ as the Port of Entry, made to the

INSURANCE DEPARTMENT OF THE STATE OF

PURSUANT TO THE LAWS THEREOF

Incorporated: July 26, 1859

Commenced Business: July 28, 1859

Statutory Home Office: 787 SEVENTH AVENUE, NEW YORK, NEW YORK 10019

Main Administrative Office: 787 SEVENTH AVENUE, NEW YORK, NEW YORK 10019 - Phone Number: (212) 554-1234

Mail Address: 135 WEST 50TH STREET - 3RD FLOOR, NEW YORK, NEW YORK 10020

Phone Number: (212) 641-8231

Annual Statement Contact Person and Phone Number: Patrick K. O' Shea, Assistant Vice President (212) 641-8231

OFFICERS

RICHARD HAMPTON JENRETTE
Chairman of the Executive Committee

JOSEPH JAMES MELONE
Chairman of the Board
and Chief Executive Officer

JAMES MICHAEL BENSON
President and Chief Operating Officer

EXECUTIVE VICE PRESIDENTS

JERRY MICHAEL de ST. PAER
Chief Financial Officer

ROBERT EDWARD GARBER
General Counsel

WILLIAM THOMAS MCCAFFREY
Chief Administrative Officer

PETER DANA NORIS #
Chief Investment Officer

JOSE MINO SUAREZ SUQUET
Chief Agency Officer

SENIOR VICE PRESIDENTS

MICHAEL BEAUJEU

ABELARDO FRANK BEAZ #

FRANK JOSEPH BEHM

LEON BURTON BILLIS
Chief Information Officer

DERRY EDWARD BISHOP #

HARVEY BLITZ
Deputy Chief Financial Officer

DARWIN NATHANIEL DAVIS

GORDON GRIFFITH DINSMORE

THOMAS JOSEPH DUDDY, JR.

ALVIN HENRY FENICHEL
Controller

FRED ARTHUR FOLCO #

JEROME SIMON GOLDEN
President of Income Management Group

NANCY HELEN GREEN

WILLIAM JOSEPH GREEN #

MICHAEL PATRICK KILEY

JAMES THOMAS LIDDLE, JR.
Chief Valuation Actuary

DENIS WALLACE LORING

JOANNE THERESE MARREN #
Deputy General Counsel

MICHAEL SEARLE MARTIN

MICHAEL FRANCIS MCNELIS

ANTHONY CHARLES PASQUALE

GEOFFREY HALL RADBILL #

MICHAEL JAY RICH

FRANK SAVAGE

SAMUEL BARRY SHLESINGER

RICHARD VICTOR SILVER #
Chief Compliance Officer

GREGORY GRANT WILCOX

DENNIS DONALD WITTE

KEVIN ROBERT BYRNE
Vice President and Treasurer

PAUL JOSEPH FLORA
Vice President and Auditor

PAULINE SHERMAN #
Vice President, Secretary and
Associate General Counsel

DIRECTORS OR TRUSTEES

CLAUDE BEBEAR

JAMES MICHAEL BENSON

CHRISTOPHER JOHN BROCKSOM

FRANCOISE COLLOCH

HENRI de CASTRIES

JOSEPH LEWIS DIONNE

WILLIAM TODD ESREY

JEAN-RENE FOURTOU

DR. NORMAN CHRISTOPHER FRANCIS

DONALD JOHN GREENE

ANTHONY JOHN HAMILTON #

JOHN THOMAS HARTLEY

JOHN HENRY FARRELL HASKELL, JR.

WILLIAM EDWIN JARMAN

RICHARD HAMPTON JENRETTE

GEORGE DONALD JOHNSTON, JR.

WINTHROP KNOWLTON

ARTHUR LAWRENCE LMAN

GEORGE THEODORE LOWY

JOSEPH JAMES MELONE

GEORGE JOHN SELLA, JR.

DAVE HARRELL WILLIAMS

State of New York |
County of New York | -SS:

JAMES MICHAEL BENSON, President and Chief Operating Officer, ALVIN HENRY FENICHEL, Senior Vice President and Controller* and PAULINE SHERMAN, Vice President, Secretary and Associate General Counsel of THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES, being duly sworn each deposes and says that they are the above described officers of the said insurer, and that on the thirty-first day of December last, all of the herein described assets were the absolute property of the said insurer, free and clear from any liens or claims thereon, except as herein stated, and that this annual statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to are a full and true statement of all the assets and liabilities and of the conditions and affairs of the said insurer as of the