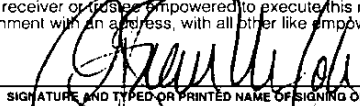


2007 NOT-FOR-PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 09, 2007 8:00 am
Secretary of State

04-09-2007 90084 044 ****61.25

DOCUMENT # 724130 1. Entity Name FLORIDA SECURITIES DEALERS ASSOCIATION, INC.					
Principal Place of Business 704 FOREST LAIR TALLAHASSEE, FL 32312			Mailing Address PO BOX 12092 TALLAHASSEE, FL 32317-2092		
2. Principal Place of Business - No P.O. Box # Suite, Apt. #, etc.			3. Mailing Address Suite, Apt. #, etc.		
City & State			City & State		
Zip	Country	Zip	Country	4. FEI Number 59-2467227	
5. Certificate of Status Desired ☐				\$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent FREDERICK, III, CPA, FREDERICK 2640-A MITCHAM DRIVE TALLAHASSEE, FL 32308				7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE					
Filing Fee is \$61.25 Due by May 1, 2007		9. Election Campaign Financing Trust Fund Contribution. ☐		\$5.00 May Be Added to Fees	
10. OFFICERS AND DIRECTORS				11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 10	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D ☒ Delete WEIST, LUCILLE E 100 SE 2ND ST., STE. 2500 MIAMI, FL 33131		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D ☒ Delete GOBLE, RICHARD 1385 WEST STATE RD., 434 LONGWOOD, FL 32750		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	PD ☐ Delete BISHOP, BILL 3390 GULF OF MEXICO DRIVE LONGBOAT KEY, FL 34228		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Delete SEE ADDITIONAL DIRECTORS ON ATTACHED SHEET		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Delete		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Delete		TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition	
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: 					
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR					

40054581



03222007 Chg-NP CR2E037 (12/06)

3-22-07 850 665 9939

40054581

ATTACHMENT

#724130

Addendum to Items 10/11

**Florida Securities Dealers Association, Inc.
Additional Officers and Directors
2006-2007**

President / D (P/D)

Evan E. Whittle

Raymond James & Associates, Inc.
1 Progress Plaza, Suite 165
St. Petersburg, Florida 33701

Vice President / Director (V/D)

Andrew Hirsch

Robert W. Baird & Co. Inc.
401 E. Jackson Street, Suite 2900
Tampa, Florida 33602

Treasurer / Director (T/D)

Kenneth Cerruto / Director

Morgan Keegan & Company, Inc.
888 East Las Olas Boulevard, 6th Floor
Fort Lauderdale, Florida 33301

Secretary / Director (S/D)

Lane O. Strumlauf / Director

Smith Barney, Inc.
1200 S. Pine Island Road, Suite 800
Plantation, Florida 33324

David H. Bigler / Director (D)

UBS Financial Services, Inc.
100 S.E. 2nd Street
Suite 2500
Miami, Florida 33131

John Busacca/ Director (D)

North American Clearing, Inc.
1385 West State Road 434
Longwood, Florida 32750

Joe Carrington / Director (D)

Banc of America Investment Services, Inc.
50 North Laura Street, 9th Floor
Jacksonville, Florida 32202

ATTACHMENT

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Bill D'Antignac / Director (D)
Wachovia Securities, LLC
225 Water Street
11th Floor
Jacksonville, Florida 32202

Michael A. Fleming (D)
Merrill Lynch
300 S. Orange Avenue
Suite 800
Orlando, Florida 32709

Steve Fricke / Director (D)
Raymond James & Associates, Inc.
301 East Pine Street, Ste. 1100
Orlando, Florida 32801

Laura J. Hawley (D)
Allen & Company of Florida, Inc.
P.O. Box 387
Lakeland, Florida 33802

Peter B. King / Director (D)
Fowler White Boggs Banker P.A.
501 E. Kennedy Boulevard, Suite 1700
Tampa, Florida 33602

Gary M. Knuckles / Director (D)
A.G. Edwards & Sons, Inc.
101 Riverfront Blvd., Suite 700
P. O. Box 25900
Bradenton, Florida 34206

Daniel J. Kraus / Director (D)
Raymond James & Associates, Inc.
2255 Glades Road, Suite 120-A
Boca Raton, Florida 33431

Terry Metzger/ Director (D)
Moors & Cabot, Inc.
2255 Glades Road, Suite 205E
Boca Raton, Florida 33431

Thomas R. Moriarty / Director (D)
InterSecurities, Inc.
570 Carillon Parkway

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40054581
#724130

St. Petersburg, Florida 33716

Declan E. O'Beirne (D)
GunnAllen Financial, Inc.
5002 W. Waters Avenue
Tampa, Florida 33634

Alex Sabo, Esq. / Director (D)
Bressler, Amery & Ross, P.C.
Huntington Centre II
2801 S.W. 149th Ave.
Miramar, Florida 33027

Carol A. Sandstrom / Director (D)
AIG Financial Advisors, Inc.
15 Pelican Place
Belleair, Florida 33756-1512

Ronald D. Shindler / Director (D)
Fowler White Burnett P.A.
Bank of America Tower
100 S.E. 2nd Street - 17th Floor
Miami, Florida 33131

Timothy Shuff / Director (D)
Edward Jones
104 N. Evers Street, Suite 102
Plant City, Florida 33563

Greg Willsey (D)
RBC Dain Rauscher, Inc.
100 2nd Avenue South, Suite 800
St. Petersburg, Florida 33701-4337

Bruce A. Zwigard / Director (D)
President
Investacorp, Inc.
15450 New Barn Road
Miami Lakes, Florida 33014

O'Bannon M. Cook / Executive Director
704 Forest Lair
Tallahassee, Florida 32312