

2001 UNIFORM BUSINESS REPORT (UBR)

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DOCUMENT # 694937
 1. Entity Name
FIRST UNION SECURITIES FINANCIAL NETWORK, INC.

FILED

01 JUN 18 PM 2: 28

**SECRETARY OF STATE
 TALLAHASSEE, FLORIDA**

Principal Place of Business Mailing Address
301 SOUTH COLLEGE STREET 301 SOUTH COLLEGE STREET
31ST FLOOR 31ST FLOOR
CHARLOTTE, NC 28288-0630 CHARLOTTE, NC 28288-0630

2. Principal Place of Business 3. Mailing Address
c/o Corporation Service Co. 1201 Hays Street
 Suite, Apt. #, etc. Suite, Apt. #, etc.

DO NOT WRITE IN THIS SPACE

City & State City & State 4. FEI Number Applied For
Tallahassee, FL Tallahassee, FL 59-2617265 Not Applicable
 Zip Country Zip Country 5. Certificate of Status Desired **\$8.75 Additional Fee Required**

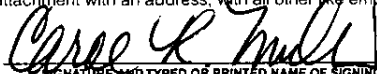
6. Name and Address of Current Registered Agent		7. Name and Address of New Registered Agent	
CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE FL 32301-2525		Name N/A	
		Street Address (P.O. Box Number is Not Acceptable)	
		City FL	Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.
 SIGNATURE  **BRIAN COURTNEY, ASST. VP.** DATE **6/18/01**
Signature typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. **FILE NOW!!! FEE IS \$150.00**
(See criteria on back) **After MAY 1, 2001 Fee will be \$550.00**
Make Check Payable to Department of State 10. Election Campaign Financing Trust Fund Contribution. **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS		12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
		Senior Vice President Robert L. Andersen 301 S. College St. Charlotte, NC 28288-0630	
		Vice President Carol R. Mullis 301 S. College St. Charlotte, NC 28288-0630	
		Director Marshall Leeds 980 N. Federal Highway Boca Raton, FL 33432	
		Director Gregg Glaser 980 N. Federal Highway Boca Raton, FL 33432	
		Director Karen Wimbish 901 East Byrd St. Richmond, VA 23219	
		Director Mark Staples 901 East Byrd St. Richmond, VA 23219	

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(f), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:  **Carol R. Mullis** Date **6/15/01** Daytime Phone # **704/374-6611**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

CR2E034 (11/00)

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ACCOUNT NO. : 072100000032
 REFERENCE : 189409 167868A
 AUTHORIZATION : *Patricia Taylor*
 COST LIMIT : \$ 550.00

ORDER DATE : June 18, 2001
 ORDER TIME : 10:52 AM
 ORDER NO. : 189409-005
 CUSTOMER NO: 167868A

CUSTOMER: Ms. Jennifer Chavis
 First Union Corporation
 One First Union Center, Nc0630
 Legal Division-31st Floor
 Charlotte, NC 28288-0630

ANNUAL REPORT FILING

NAME: FIRST UNION SECURITIES
 FINANCIAL NETWORK, INC.

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

- CERTIFIED COPY
- XX PLAIN STAMPED COPY
- CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Deborah Schroder-EXT#1118

EXAMINER'S INITIALS: _____

RECEIVED
 01 JUN 18 PM 12:25
 DEPARTMENT OF STATE
 DIVISION OF CORPORATIONS
 TALLAHASSEE, FLORIDA