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Apr 27, 1999 8:00 am
Secretary of State

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PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 559112

1. Corporation Name
WERBEL - ROTH SECURITIES, INC.

Principal Place of Business
150 E. PALMETTO PARK RD
150
BOCA RATON FL 33432
US

Mailing Address
150 E. PALMETTO PARK RD
150
BOCA RATON FL 33432
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

02/07/1978

4. FEI Number

59-1799760

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax.

☐ Yes

☐ No

2. Principal Place of Business

2a. Mailing Address

21 150 E. Palmetto Park Rd.
Suite, Apt. #, etc.

26 150 E. Palmetto Park Rd.
Suite, Apt. #, etc.

22 Suite 510
City & State

27 Suite 510
City & State

23 Boca Raton, FL
Zip Country

28 Boca Raton, FL
Zip Country

24 33432 25 US

29 33432 30 US

9. Name and Address of Current Registered Agent

PEARLMAN, CHARLES B
700 S E THIRD AVE
FT LAUDERDALE FL 33316

10. Name and Address of New Registered Agent

81 Name
Neil S. Baritz, Esq.

82 Street Address (P.O. Box Number is Not Acceptable)

83 c/o Dreier & Baritz

150 E. Palmetto Park Rd., Suite 401

84 City Boca Raton FL 85 Zip Code 33432

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

4/21/99

12. OFFICERS AND DIRECTORS

TITLE SV
NAME FEIRSTEIN, LAURENCE
STREET ADDRESS 6536 VIA REGINA
CITY-ST-ZIP BOCA RATON FL

TITLE PD
NAME ROTH, HOWARD H
STREET ADDRESS 17783 LAKE ESTATES DR
CITY-ST-ZIP BOCA RATON, FL 00000

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☒ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS 150 E. Palmetto Park Road, Suite 510

1.4 CITY-ST-ZIP Boca Raton, FL 33432

2.1 TITLE ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS 150 E. Palmetto Park Road, Suite 510

2.4 CITY-ST-ZIP Boca Raton, FL 33432

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with any other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (1/98)