

2008 FOR PROFIT CORPORATION ANNUAL REPORT

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DOCUMENT # 341815

1. Entity Name
RAYMOND JAMES & ASSOCIATES, INC.



FILED

2008 MAY -1 AM 7:45

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Principal Place of Business
880 CARILLON PKWY.
P.O. BOX 12749
ST PETERSBURG, FL 33733-2749

Mailing Address
880 CARILLON PKWY.
P.O. BOX 12749
ST PETERSBURG, FL 33733-2749

2. Principal Place of Business - No P.O. Box #

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

04232008

Chg-P

CR2E034 (12/06)

4. FEI Number

59-1237041

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

MATECKI, PAUL
880 CARILLON PKWY.
ST. PETERSBURG, FL 33716

Name

CT Corporation System

Street Ac

1200 South Pine Island Road

Plantation, FL 33324

City

ip Code

8. The above named entity submits this statement for the purpose of changing its registered agent, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

Madonna Cuddy
Special Assistant Secretary

4/30/08

FILE NOW!!! FEE IS \$150.00
After May 1, 2008 Fee will be \$550.00

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
CEOD
JAMES, THOMAS A.
880 CARILLON PKWY
SAINT PETERSBURG, FL 33716 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
VS
PIPPENGER, LYNN
880 CARILLON PKWY
ST PETERSBURG, FL ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
200129226372 ☐ Change ☐ Addition
05/13/08--01036--021 **150.00

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
D
HELCK, CHESTER B
880 CARILLON PKWY
SAINT PETERSBURG, FL 33716 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
DP
ZANK, DENNIS W.
880 CARILLON PKWY
ST PETERSBURG, FL ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
DV
TREMAINE, THOMAS T
880 CARILLON PKWY
SAINT PETERSBURG, FL 33716 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
VT
FRANZ, RICHARD B II
880 CARILLON PKWY
ST PETERSBURG, FL ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

D. W. Zank

DENNIS W. ZANK

4-29-08

727-567-3800

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

RAYMOND JAMES & ASSOCIATES, INC.

Incorporated: 8/16/1962
 Federal ID: 59-1237041
 Florida Charter: 341815
 SEC 8-10999 8/31/1962
 NASD District 705

DIRECTORS:

Thomas A. James	Director	880 Carillon Parkway	St. Petersburg	FL	33716
Chet Helck	Director	880 Carillon Parkway	St. Petersburg	FL	33716
Dennis W. Zank	Director	880 Carillon Parkway	St. Petersburg	FL	33716
Jeffrey P. Julien	Director	880 Carillon Parkway	St. Petersburg	FL	33716
Thomas R. Tremaine	Director	880 Carillon Parkway	St. Petersburg	FL	33716

OFFICERS:

Thomas A. James	Chief Executive Officer	880 Carillon Parkway	St. Petersburg	FL	33716
Dennis Zank	President, Chief Operating Officer	880 Carillon Parkway	St. Petersburg	FL	33716
Robert F. Shuck	Executive Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Francis S. Godbold	Executive Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Thomas R. Tremaine	Executive Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Jeffrey E. Trocin	Executive Vice President, Equity	880 Carillon Parkway	St. Petersburg	FL	33716
M. Thomas Hudson	Senior Vice President, National	880 Carillon Parkway	St. Petersburg	FL	33716
Scott A. Curtis	Senior Vice President, Private	880 Carillon Parkway	St. Petersburg	FL	33716
Lynn Pippenger	Senior Vice President, Secretary	880 Carillon Parkway	St. Petersburg	FL	33716
Richard B. Franz, II	Senior Vice President, CFO, Treasurer	880 Carillon Parkway	St. Petersburg	FL	33716
Van C. Saylor	Senior Vice President, Fixed Income	880 Carillon Parkway	St. Petersburg	FL	33716
James A. Fulp	Senior Vice President, Correspondence	880 Carillon Parkway	St. Petersburg	FL	33716
Donald F. Gregory	Senior Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Trudy Bixby	Senior Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Paul Matecki	Chief Legal Officer	880 Carillon Parkway	St. Petersburg	FL	33716
Kip M. Carevic	Senior Vice President, Chief Compliance Officer	880 Carillon Parkway	St. Petersburg	FL	33716
William C. Roney, III	Regional Sales Manager	880 Carillon Parkway	St. Petersburg	FL	33716
Ronald D. Whitaker	Vice President/Assistant Treasurer	880 Carillon Parkway	St. Petersburg	FL	33716
Bradley Bond	Assistant Treasurer	880 Carillon Parkway	St. Petersburg	FL	33716
Julie Rambo	Assistant Vice President	880 Carillon Parkway	St. Petersburg	FL	33716
Elizabeth Eriksen	Assistant Vice President	880 Carillon Parkway	St. Petersburg	FL	33716

Betty K. Hill
Lisa M. Pack

Assistant Vice President
Assistant Secretary

880 Carillon Parkway St. Petersburg FL 33716
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