

2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P10071

Entity Name: CATERPILLAR INC.**Current Principal Place of Business:**510 LAKE COOK ROAD,
SUITE 100
DEERFIELD, IL 60015**Current Mailing Address:**510 LAKE COOK ROAD,
SUITE 100
DEERFIELD, IL 60015 US**FEI Number:** 37-0602744**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name DICKINSON, DANIEL M
Address C/O CORPORATE SECRETARY, 510
LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR, CEO
Name D. JAMES , UMPLEBY III
Address 510 LAKE COOK ROAD,
SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT
Name JOHNSON, DENISE C
Address 510 LAKE COOK ROAD,
SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title ASSISTANT SECRETARY
Name FUNK, JONI J
Address 510 LAKE COOK ROAD,
SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title TREASURER
Name MOORE, RICHARD D
Address 510 LAKE COOK ROAD,
SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title ASST. TREASURER
Name WITHERS, CHAD
Address 510 LAKE COOK ROAD,
SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name CALHOUN, DAVID L
Address C/O CORPORATE SECRETARY, 510
LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name GALLARDO, JUAN T.
Address C/O CORPORATE SECRETARY, 510
LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Continues on page 2

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CHAD WITHERS

ASSISTANT TREASURER 03/17/2020

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title DIRECTOR
Name AYOTTE, KELLY JR.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name REED-KLAGES, DEBRA
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name RUST, EDWARD B. JR.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name WHITE, MILES D.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name WALTON, DAVID T.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name CHAN, WAI MAN
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name CHEN, QI HUA
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name FASSINO, ANTHONY
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT
Name DE LANGE, BOB
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name KAROL, KATHRYN D.

Title DIRECTOR
Name WILKINS, RAYFORD JR.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR
Name OSBORN, WILLIAM A.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
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Title DIRECTOR
Name SCHWAB, SUSAN C.
Address C/O CORPORATE SECRETARY, 510 LAKE COOK RD., STE 100
City-State-Zip: DEERFIELD IL 60015

Title CORPORATE SECRETARY, GENERAL COUNSEL
Name LONG, SUZETTE M.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT
Name AINSWORTH, WILLIAM P.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name BLUTH, THOMAS J.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name GIRAUD, DAMIEN
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name CREED, JOSEPH E.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name FRAKE, THOMAS G.
Address 510 LAKE COOK ROAD, SUITE 100
City-State-Zip: DEERFIELD IL 60015

Title VP
Name KOZINER, PABLO M.

Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	LAGACY, JULIE A.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	GROUP PRESIDENT
Name	PELLETTE, THOMAS
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	WEISS, KARL E.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	ISTAS, FREDERIC
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	SNODGRASS, CHRISTOPHER H.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	CONKLIN, JASON JR.
Address	510 LAKE COOK RD., STE 100
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Title	VP
Name	REDZIC, OGI
Address	510 LAKE COOK ROAD, STE. 100
City-State-Zip:	DEERFIELD IL 60015
Title	CHIEF ACCOUNTING OFFICER
Name	MARVEL, MIKE
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	EPLEY, KYLE
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP

Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	LEWIS, NIGEL A.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	UTLEY, TANA L.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	ASST. SECRETARY
Name	EPPEL, DESMOND J.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	KELLIHER, PHILIP G.
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	GROUP PRESIDENT
Name	YOUNESSI, RAMIN
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	FERGUSON, STEVEN
Address	510 LAKE COOK ROAD, STE. 100
City-State-Zip:	DEERFIELD IA 60015
Title	CFO
Name	BONFIELD, ANDREW
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	ASST. SECRETARY
Name	THOMAS, BARBARA
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	VP
Name	HAYCRAFT, MARTIN
Address	510 LAKE COOK ROAD, SUITE 100
City-State-Zip:	DEERFIELD IL 60015
Title	ASSISTANT SECRETARY

Name HOENES, ROBERT
Address 510 LAKE COOK ROAD,
 SUITE 100
City-State-Zip: DEERFIELD IL 60015

Name SCHOTT, JENNIFER
Address 510 LAKE COOK ROAD,
 SUITE 100
City-State-Zip: DEERFIELD IL 60015