

**2021 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P10071

Entity Name: CATERPILLAR INC.

**Current Principal Place of Business:**

510 LAKE COOK ROAD,  
SUITE 100  
DEERFIELD, IL 60015

**Current Mailing Address:**

510 LAKE COOK ROAD,  
SUITE 100  
DEERFIELD, IL 60015 US

FEI Number: 37-0602744

Certificate of Status Desired: No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR  
Name DICKINSON, DANIEL M  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR, CEO, CHAIRMAN OF THE  
BROAD OF DIRECTORS  
Name DONALD, UMPLEBY J. III  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT  
Name JOHNSON, DENISE C  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title TREASURER  
Name MOORE, RICHARD D  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title ASST. TREASURER  
Name WITHERS, CHAD J.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name CALHOUN, DAVID L.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name AYOTTE, KELLY A.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name WILKINS, JR., RAYFORD  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

SIGNATURE: NICOLE PUZA

CORPORATE  
SECRETARY

04/29/2021

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title DIRECTOR  
Name REED-KLAGES, DEBRA  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name RUST, JR., EDWARD B.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name WHITE, MILES D.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name WALTON, DAVID T.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name CHAN, WAI MAN  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name GIRAUD, DAMIEN  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name FRAKE, THOMAS G.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name LAGACY, JULIE A.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name UTLEY, TANA L.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title ASST. SECRETARY

Title DIRECTOR  
Name JOHNSON, GERALD  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR  
Name SCHWAB, SUSAN C.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title GENERAL COUNSEL, CHIEF LEGAL  
OFFICER  
Name LONG, SUZETTE M.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT  
Name FASSINO, ANTHONY D.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name CHEN, QI HUA  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT  
Name DE LANGE, BOB  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name KAROL, KATHRYN D.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title GROUP PRESIDENT  
Name CREED, JOSEPH E.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP  
Name WEISS, KARL E.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name SCHOTT, JENNIFER K.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name KELLIHER, PHILIP G.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name CONKLIN, JASON L.  
Address 510 LAKE COOK RD., STE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name REDZIC, OGNJEN  
Address 510 LAKE COOK ROAD, STE. 100  
City-State-Zip: DEERFIELD IL 60015

Title CHIEF ACCOUNTING OFFICER

Name MARVEL, MIKE  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name EPLEY, KYLE J.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name HOENES, ROBERT L.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title DIRECTOR

Name MACLENNAN, DAVID W.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Name ISTAS, FREDERIC

Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name SNODGRASS, CHRISTOPHER R.  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name FERGUSON, STEVEN M.  
Address 510 LAKE COOK ROAD, STE. 100  
City-State-Zip: DEERFIELD IA 60015

Title CFO

Name BONFIELD, ANDREW  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title ASST. SECRETARY

Name THOMAS, BARBARA  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title VP

Name HAYCRAFT, MARTIN  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title CORPORATE SECRETARY

Name PUZA, NICOLE  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015

Title SVP

Name PELLETTE, THOMAS  
Address 510 LAKE COOK ROAD,  
SUITE 100  
City-State-Zip: DEERFIELD IL 60015