2014 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P08707

Entity Name: H.D. VEST INVESTMENT SECURITIES, INC.

Current Principal Place of Business:

6333 N. STATE HWY, 161 SUITE 400 IRVING, TX 75038

Current Mailing Address:

PO BOX 140189 HD VEST ACCOUNTING IRVING, TX 75014

FEI Number: 75-1869963 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Apr 14, 2014

Secretary of State

CC9769922942

Officer/Director Detail:

PSD Title Title

OCHS, ROGER C BENNETT, JOEL Name Name

Address 6333 N. STATE HWY 161, SUITE 400 Address 6333 N. STATE HWY 161, SUITE 400

City-State-Zip: IRVING TX 75038 City-State-Zip: IRVING TX 75038

Title **DIRECTOR** Title DIRECTOR

Name DODSON, ANDREW Name BARTLETT, MARSHALL

FOUR EMBARCADERO CENTER Address 500 BOYLSTON ST.- STE 1640 Address

STE 3610

DIRECTOR

BOSTON MA 02116 City-State-Zip: City-State-Zip: SAN FRANCISCO CA 94111

Title DIRECTOR

Name HOFFMAN, SPENCER P. GOLSON, BRIAN Name

150 N. RADNOR CHESTER RD. Address FOUR EMBARCADERO CENTER Address

Title

STE A200 STE 3610

City-State-Zip: RADNOR PA 19087 City-State-Zip: SAN FRANCISCO CA 94111

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

04/14/2014 SIGNATURE: JOEL BENNETT **TREASURER**