

2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F96000001971

Entity Name: SII INVESTMENTS, INC**Current Principal Place of Business:**5555 GRANDE MARKET DR
APPLETON, WI 54913**Current Mailing Address:**P O BOX 5097
APPLETON, WI 54912-5097 US**FEI Number:** 39-1099262**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title PRESIDENT, CEO, ASSISTANT
SECRETARY
Name KINART, TODD M
Address 5555 W GRANDE MARKET DR
City-State-Zip: APPLETON WI 54913

Title DIRECTOR
Name KINART, TODD M
Address 5555 W GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title CFO, SVP
Name COLLINS, MAURA
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title SVP, CHIEF COMPLIANCE OFFICER
Name LOASBY, DAVID A
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title SVP
Name MILLER, JAMES P
Address 5555 W GRANDE MARKET DR
City-State-Zip: APPLETON WI 54913

Title DIRECTOR
Name GUNDERSON, GERRY
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title SENIOR VICE PRESIDENT, GENERAL
COUNSEL
Name GUNDERSON, GERRY
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title SVP, CHIEF OPERATIONS OFFICER
Name KALINOWSKI, DAWN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TODD KINART

CEO/ DIRECTOR

04/01/2015

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title VP
Name ARMOCK, RYAN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP, ASSOCIATE GENERAL COUNSEL
Name FORBUSH, SCOTT A
Address 1 CORPORATE WAY
City-State-Zip: LANSING MI 48951

Title VP, CONTROLLER, FINOP
Name LAMBRECHTS, VANESSA
Address 100 NORTH SEPULVEDA BLVD
City-State-Zip: EL SEGUNDO CA 90245

Title VP
Name VANALSTINE, KELLY
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP
Name WITTHUHN, SUE
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name BURTON, LESLIE
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name DESMIDT, JON
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name GOMEZ, ALFREDO
Address 100 NORTH SEPULVEDA BLVD
City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT
Name KOTTKE, WENDY
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name VANDEN BROOKE, KELLY
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title SECRETARY
Name MEYER, THOMAS J

Title VP
Name CARLSON, BRIAN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP
Name HERMAN, JAN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP
Name NAEF, M ALICE
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP
Name WEISS, DANIEL
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title ASSISTANT VICE PRESIDENT
Name BURTON, JEREMY
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANCT VICE PRESIDENT
Name DEROSA, MICHAEL
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name GARRISON, JAMES
Address 1 CORPORATE WAY
City-State-Zip: LANSING MI 48951

Title ASSISTANT VICE PRESIDENT
Name HANK, M KATHLEEN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
Name MANEVAL, TODD A
Address 1 CORPORATE WAY
City-State-Zip: LANSING MI 48951

Title ASSISTANT VICE PRESIDENT
Name VERSTEGEN, BRAD
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title ASSISTANT SECRETARY
Name JULIA, A GOATLEY
Address 1 CORPORATE WAY

Address1 CORPORATE WAYCity-State-Zip: LANSING MI 48951

City-State-Zip: LANSING MI 48951