

**2018 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F96000001971

**Entity Name:** SII INVESTMENTS, INC

**Current Principal Place of Business:**

5555 GRANDE MARKET DR  
APPLETON, WI 54913

**FILED**  
**Apr 03, 2018**  
**Secretary of State**  
**CC3469425591**

**Current Mailing Address:**

P O BOX 5097  
APPLETON, WI 54912-5097 US

**FEI Number: 39-1099262**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title           PRESIDENT, CEO, ASSISTANT  
SECRETARY  
Name           KINART, TODD M  
Address        5555 W GRANDE MARKET DR  
City-State-Zip: APPLETON WI 54913

Title           SVP  
Name           MILLER, JAMES P  
Address        5555 W GRANDE MARKET DR  
City-State-Zip: APPLETON WI 54913

Title           DIRECTOR  
Name           KINART, TODD M  
Address        5555 W GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title           CFO, SVP  
Name           COLLINS, MAURA  
Address        7601 TECHNOLOGY WAY  
City-State-Zip: DENVER CO 80237

Title           SENIOR VICE PRESIDENT, GENERAL  
COUNSEL, ASSISTANT SECRETARY  
Name           GUNDERSON, GERRY  
Address        7601 TECHNOLOGY WAY  
City-State-Zip: DENVER CO 80237

Title           SVP, CHIEF OPERATIONS OFFICER  
Name           KALINOWSKI, DAWN  
Address        5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title           VP  
Name           ARMOCK, RYAN  
Address        5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title           VP  
Name           CARLSON, BRIAN  
Address        5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: TODD KINART** \_\_\_\_\_

**04/03/2018**

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title VP, ASSOCIATE GENERAL COUNSEL  
Name FORBUSH, SCOTT A  
Address 1 CORPORATE WAY  
City-State-Zip: LANSING MI 48951

Title VP  
Name WEISS, DANIEL  
Address 7601 TECHNOLOGY WAY  
City-State-Zip: DENVER CO 80237

Title ASSISTANT VICE PRESIDENT  
Name HANK, M KATHLEEN  
Address 5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT  
Name VANDEN BROOKE, KELLY  
Address 5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT  
Name DEVANNEY, WILLIAM  
Address 1 CORPORATE WAY  
City-State-Zip: LANSING MI 48951

Title SVP  
Name WRIGHT, DANIEL  
Address 300 INNOVATION DRIVE  
City-State-Zip: FRANKLIN TN 37067

Title ASSISTANT VICE PRESIDENT  
Name KENNEY, COURTNEY  
Address 100 NORTH SEPULVEDA BLVD  
City-State-Zip: EL SEGUNDO CA 90245

Title SECRETARY  
Name RICHARDSON, KRISTAN L  
Address 1 CORPORATE WAY  
City-State-Zip: LANSING MI 48951

Title VP  
Name BLINDER, AIMEE ROSE  
Address 100 NORTH SEPULVEDA BLVD.  
SUITE 1800  
City-State-Zip: EL SEGUNDO CA 90245

Title VP, CONTROLLER, FINOP  
Name LAMBRECHTS, VANESSA  
Address 100 NORTH SEPULVEDA BLVD  
City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT  
Name BURTON, JEREMY  
Address 5555 W. GRANDE MARKET DRIVE  
City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT  
Name MANEVAL, TODD A  
Address 1 CORPORATE WAY  
City-State-Zip: LANSING MI 48951

Title VP  
Name AVENA, ALLAN R  
Address 100 NORTH SEPULVEDA BLVD  
City-State-Zip: EL SEGUNDO CA 90245

Title DIRECTOR  
Name HARRIS, BRADLEY O  
Address 300 INNOVATION DRIVE  
City-State-Zip: FRANKLIN TN 37067

Title VP  
Name COLEMAN, CAREN  
Address 100 NORTH SEPULVEDA BLVD  
City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT  
Name MOHR, RICK  
Address 100 NORTH SEPULVEDA BLVD  
City-State-Zip: EL SEGUNDO CA 90245

Title DIRECTOR  
Name DOWDEN, STEVE  
Address 12750 CITRUS PARK LANE  
SUITE 300  
City-State-Zip: TAMPA FL 33625