

**2024 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F23000006177

Entity Name: LPL HOLDINGS, INC.

**Current Principal Place of Business:**

4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121

**FILED**  
**Apr 04, 2024**  
**Secretary of State**  
**4758763046CC**

**Current Mailing Address:**

4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121 US

**FEI Number: 04-3056611**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR  
Name BERNARD, EDWARD C.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name EBERHART, PAULETT  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name GLAVIN, WILLIAM F. JR.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name MNOOKIN, ALLISON H.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name MULCAHY, ANNE M.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name SCHIFTER, RICHARD P.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name THOMAS, COREY E.  
Address 75 STATE STREET  
22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title DIRECTOR  
Name KO, ALBERT J.  
Address 4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: GREGORY M. WOODS**

**SECRETARY**

**04/04/2024**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title           TREASURER  
Name           GOODMAN, BRETT  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           VP  
Name           BROWN, ALTHEA  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           VP  
Name           VINCENT, PETER  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           ASSISTANT SECRETARY  
Name           HATFIELD III, ROBERT  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           CHIEF EXECUTIVE OFFICER & PRESIDENT  
Name           ARNOLD, DAN H.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           VICE PRESIDENT AND CHIEF RISK OFFICER  
Name           SIMONICH, BRENT  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           DIRECTOR  
Name           ARNOLD, DAN H.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           DIRECTOR  
Name           PUTNAM, JAMES S.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           ASSISTANT TREASURER  
Name           WYCOFF, DIRK  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           VP  
Name           EASTLEY, REED  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           VP  
Name           COTMAN, CAT  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           TAX OFFICER  
Name           STOKES, NICHOLAS  
Address        4707 EXECUTIVE DRIVE  
City-State-Zip: SAN DIEGO CA 92121

Title           CFO  
Name           AUDETTE, MATTHEW J.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           SECRETARY  
Name           WOODS, GREGORY M.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109

Title           CHAIRMAN OF THE BOARD  
Name           PUTNAM, JAMES S.  
Address        75 STATE STREET  
                22ND FLOOR  
City-State-Zip: BOSTON MA 02109