

2023 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F20000004111

Entity Name: ASL CAPITAL MARKETS INC.**Current Principal Place of Business:**600 SUMMER STREET STE 402
STAMFORD, CT 06901**Current Mailing Address:**600 SUMMER STREET STE 402
STAMFORD, CT 06901 US**FEI Number:** 81-3980925**Certificate of Status Desired:** Yes**Name and Address of Current Registered Agent:**O'CONNELL, PATRICK JOSEPH
1650 SE 17TH ST STE 404
FORT LAUDERDALE, FL 33316 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:** PATRICK JOSEPH O'CONNELL

04/26/2023

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR, CEO, PRESIDENT
Name GERHARD, EVAN N
Address 600 SUMMER STREET STE 402
City-State-Zip: STAMFORD CT 06901

Title DIRECTOR, COO
Name WATSON, BRIAN G
Address 600 SUMMER STREET STE 402
City-State-Zip: STAMFORD CT 06901

Title DIRECTOR, CFO, TREASURER
Name TUIE, ROBERT K
Address 600 SUMMER STREET STE 402
City-State-Zip: STAMFORD CT 06901

Title HEAD OF LEGAL
Name FLEISCHER, MICHAEL A
Address 600 SUMMER STREET STE 402
City-State-Zip: STAMFORD CT 06901

Title CHIEF COMPLIANCE OFFICER
Name NEILL, JAMES K
Address 600 SUMMER STREET STE 402
City-State-Zip: STAMFORD CT 06901

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ROBERT K. TUIE

CFO

04/26/2023

Electronic Signature of Signing Officer/Director Detail

Date