

**2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F16000003561

**Entity Name:** TRADITION SECURITIES AND DERIVATIVES INC.**Current Principal Place of Business:**32 OLD SLIP, 28TH FLOOR  
NEW YORK, NY 10005**Current Mailing Address:**32 OLD SLIP, 28TH FLOOR  
NEW YORK, NY 10005 US**FEI Number: 13-3517908****Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**COGENCY GLOBAL INC.  
115 NORTH CALHOUN ST.  
STE. 4  
TALLAHASSEE, FL 32301 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title DIRECTOR  
Name BRISEBOIS, FRANCOIS  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title DIRECTOR AND CHIEF OPERATING OFFICER  
Name ROSENSHEIN, LARRY  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title DIRECTOR  
Name WOSTYN, WILLIAM  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title EXECUTIVE VP  
Name MEHAN, JEFF  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title DIRECTOR AND CHIEF EXECUTIVE OFFICER  
Name LEIBOWITZ, MICHAEL  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title DIRECTOR, CFO, SECRETARY AND TREASURER  
Name RICCIARDI, JUDITH  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

Title PRESIDENT  
Name BACCALA, RAYMOND  
Address 32 OLD SLIP, 28TH FLOOR  
City-State-Zip: NEW YORK NY 10005

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: JUDITH RICCIARDI****SECRETARY****03/12/2019**

Electronic Signature of Signing Officer/Director Detail

Date