

**2023 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F16000001353

**Entity Name:** BOFA SECURITIES, INC.

**Current Principal Place of Business:**

ONE BRYANT PARK  
NEW YORK, NY 10036

**FILED**  
**Apr 25, 2023**  
**Secretary of State**  
**3863234255CC**

**Current Mailing Address:**

ONE BRYANT PARK  
NEW YORK, NY 10036 US

**FEI Number: 47-4921463**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title           MANAGING DIRECTOR  
Name           LOUIS, WALTER R  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           SECRETARY  
Name           BENNETT, JENNIFER E.  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           CHAIRMAN OF THE BOARD, CEO  
Name           ZUBERI, SOOFIAN J.  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           MANAGING DIRECTOR, CHIEF  
                  OPERATIONS OFFICER  
Name           GUARDINO, JOSEPH A.  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           MANAGING DIRECTOR  
Name           MUNSEY, ROBERT  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           MANAGING DIRECTOR  
Name           O'KANE, EDWARD RORY  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           MANAGING DIRECTOR  
Name           MCLAREN, EDWARD  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title           MANAGING DIRECTOR  
Name           YANKAUER, STEPHEN  
Address        ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: BARTH , NATHAN A.**

**VP**

**04/25/2023**

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title MANAGING DIRECTOR, CFO  
Name ALAM, FARUQE  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title VP  
Name BARTH, NATHAN A.  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title CHIEF COMPLIANCE OFFICER/REGISTERED  
INVESTMENT ADVISOR  
Name BLISS, CLAIRE  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title TREASURER, MANAGING DIRECTOR  
Name ISHII, MANAMI  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title CHIEF COMPLIANCE OFFICER/FUTURES  
COMMISSION MERCHANT  
Name MCLAREN, EDWARD  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title CHIEF LEGAL OFFICER  
Name RAE, GLEN A  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title VICE PRESIDENT - TAX, VP  
Name STANDING , RESOLUTIONS  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title ASST. SECRETARY  
Name PRYOR, ELIZABETH A  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title MANAGING DIRECTOR  
Name MANELSKI, DENIS  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title CO-CHIEF COMPLIANCE  
OFFICER/FUTURES COMMISSION  
MERCHANT, CHIEF COMPLIANCE  
OFFICER/BROKER-DEALER  
Name BHATIA, KASHYAP  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title ASSISTANT SECRETARY  
Name COSTAMAGNA, CHRISTINE M  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title ASSISTANT SECRETARY  
Name MARGOLIN, ANDREW S  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title SENIOR VICE PRESIDENT  
Name RACANIELLO, FRANK  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title ANTI-MONEY LAUNDERING  
COMPLIANCE OFFICER  
Name RICCIARDI, LUIGI  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036

Title ASST. SECRETARY  
Name JOHNSON, COLLEEN O  
Address ONE BRYANT PARK  
City-State-Zip: NEW YORK NY 10036