

**2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F11000004267

**Entity Name:** LOCKWOOD ADVISORS, INC.**Current Principal Place of Business:**ONE PERSHING PLAZA  
JERSEY CITY, NJ 07399**Current Mailing Address:**ONE PERSHING PLAZA  
JERSEY CITY, NJ 07399 US**FEI Number:** 23-2842975**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title ASST. SECRETARY  
Name HELSCHER, ALICE  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title MANAGING DIRECTOR  
Name LEWIN, JAMIE WILLIAM  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR  
Name SCHWARTZ, JOAN  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR  
Name SHOLES, THOMAS P.  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title TREASURER  
Name HLUSHAK, MICHAEL  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title MANAGING DIRECTOR  
Name ABOUNADI, MOUSTAPHA  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title PRESIDENT  
Name SHOLES, THOMAS P.  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR  
Name CROWLEY, JAMES  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** CLAUDINE ORLOSKI**ASST. TREASURER- TAX 05/24/2020**

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title COO  
Name HEMPEL, JOEL  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. TREASURER- TAX  
Name DOUMAR, CHARLES C.  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. SECRETARY  
Name RICE, CRISTINA M.  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title CHAIRMAN  
Name SHOLES, THOMAS P.  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. TREASURER- TAX  
Name ORLOSKI, CLAUDINE  
Address ONE PERSHING PLAZA  
City-State-Zip: JERSEY CITY NJ 07399