

**2023 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F11000004267

**Entity Name:** LOCKWOOD ADVISORS, INC.**Current Principal Place of Business:**1800 AMERICAN BLVD.  
SUITE 300 – POD D  
PENNINGTON, NJ 08534**Current Mailing Address:**1800 AMERICAN BLVD.  
SUITE 300 – POD D  
PENNINGTON, NJ 08534 US**FEI Number:** 23-2842975**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title ASST. SECRETARY  
Name CHASE, AYERS  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title PRESIDENT, DIRECTOR, COO  
Name HEMPEL, JOEL  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR  
Name CROWLEY, JAMES  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VP  
Name ANTHONY, DESTRO  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title TREASURER  
Name HLUSHAK, MICHAEL  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR, CHAIRMAN  
Name SHOLES, THOMAS P.  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title SECRETARY  
Name RICE, CRISTINA M.  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX  
Name CHARLES, DOUMAR C.  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** CRISTINA M. RICE**SECRETARY****04/24/2023**

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title CHIEF INVESTMENT OFFICER  
Name MATTHEW, FORESTER  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VICE PRESIDENT - HUMAN RESOURCES  
Name SUSAN, O'DONOVAN  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VP  
Name REZA, SARMASTI  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VICE PRESIDENT - HUMAN RESOURCES  
Name MARIANNE, THOMAS E.  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VP  
Name VICTOR, ZUCZEK  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR  
Name JOAN, SCHWARTZ  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX  
Name VIVIAN, HERRERA M.  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX  
Name DENNIS, RIMKUNAS  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title VP  
Name KRISTINE, SCHWORK  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title CHIEF COMPLIANCE OFFICER  
Name NINA, WEISS  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR  
Name BRENDAN, O'NEILL  
Address 1800 AMERICAN BLVD.  
SUITE 300 – POD D  
City-State-Zip: PENNINGTON NJ 08534