

2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F11000004267

Entity Name: LOCKWOOD ADVISORS, INC.**Current Principal Place of Business:**ONE PERSHING PLAZA
JERSEY CITY, NJ 07399**Current Mailing Address:**ONE PERSHING PLAZA
JERSEY CITY, NJ 07399 US**FEI Number:** 23-2842975**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title ASST. SECRETARY
Name HELSCHER, ALICE
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title MANAGING DIRECTOR
Name LEWIN, JAMIE WILLIAM
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR
Name SCHWARTZ, JOAN
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR
Name SHOLES, THOMAS P.
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title TREASURER
Name HLUSHAK, MICHAEL
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title MANAGING DIRECTOR
Name ABOUNADI, MOUSTAPHA
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title PRESIDENT
Name SHOLES, THOMAS P.
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title DIRECTOR
Name CROWLEY, JAMES
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Continues on page 2

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CLAUDINE ORLOSKI

ASST. TREASURER- TAX 05/24/2020

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title COO
Name HEMPEL, JOEL
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. TREASURER- TAX
Name DOUMAR, CHARLES C.
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. SECRETARY
Name RICE, CRISTINA M.
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title CHAIRMAN
Name SHOLES, THOMAS P.
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399

Title ASST. TREASURER- TAX
Name ORLOSKI, CLAUDINE
Address ONE PERSHING PLAZA
City-State-Zip: JERSEY CITY NJ 07399